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Animal and cellular models of Alzheimer's disease: Progress, promise and future approaches

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Keywords:	Alzheimer's disease, transgenic mice, iPSCs, amyloid-beta, tau, neuroinflammation, comorbidities

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Animal and cellular models of Alzheimer's disease: Progress, promise and future approaches

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Abstract

Alzheimer's disease (AD) is an incurable neurodegenerative disease affecting over 45 million people worldwide. Transgenic mouse models have made remarkable contributions towards clarifying the pathophysiological mechanisms behind the clinical manifestations of AD. However, the limited ability of these *in vivo* models to accurately replicate the biology of the human disease have precluded the translation of promising preclinical therapies to the clinic.

In this review, we highlight several major pathogenic mechanisms of AD that were discovered using transgenic mouse models. Moreover, we discuss the shortcomings of current animal models and the need to develop reliable models for the sporadic form of the disease, which accounts for the majority of AD cases, as well as human cellular models to improve success in translating results into human treatments.

Introduction

Alzheimer's disease (AD) is the leading cause of disability and dependency among the elderly. This fatal neurodegenerative condition causes a gradual decline in memory and cognitive abilities, ultimately leading to dementia. Today, over 45 million people worldwide are affected, and the number is projected to reach 131 million by 2050 (Nguyen 2018). Thus, AD is one of the foremost public health and social care challenges currently facing our aging society. Further research to better understand the underlying pathobiology of this disorder is crucial for developing novel and effective disease-modifying therapies, since approved treatments only provide symptomatic relief (Hebert and others 2013; Cummings and others 2020).

Transgenic (Tg) animal models of AD, especially rodents, have provided critical insights into the pathogenic mechanisms underlying the development and progression of this neurodegenerative condition, and these models have been by far the most commonly used preclinical testing tools (Sasaguri and others 2017; Dawson and others 2018). Overexpression of human amyloid precursor protein (APP) harboring familial AD (FAD) mutations has been widely used in the field, starting in 1995 with PDAPP mice, the intracellular amyloid-beta ($A\beta$) model created by LaFerla, followed shortly by the generation of Tg2576 and APP23 mice (reviewed in Sasaguri and others 2017; Dawson and others 2018). These models recapitulate several key hallmarks found in AD brains, such as $A\beta$ plaque formation, dystrophic neurites and an associated inflammatory response, leading to cognitive impairments (reviewed in Sasaguri and others 2017; Dawson and others 2018). Since the first generation of AD animal models, other FAD mutations (including presenilin 1 and 2 mutations) have been introduced, generating models that develop more aggressive pathological phenotypes, and the microtubule-associated protein tau has also been incorporated, generating models that better mimic the human disease (reviewed in Sasaguri and others 2017; Dawson and others 2018; Martini and others 2018). Furthermore, the technological advances of the past few decades in terms of genetic manipulations have allowed the generation of novel mouse lines in which a gene is overexpressed, knocked out, knocked in, or conditionally expressed or repressed temporally or even in specific cell types, allowing researchers to uncover the role of these cells in the onset and progression of AD (Dawson and others 2018; Garcia-Leon and others 2019; Myers and McGonigle 2019). Although it is undeniable that the generation of animal models of AD has been one of the most

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3 significant developments in the field and that the current Tg lines have offered useful
4 insight into the pathobiology of AD, the success that has been achieved in preclinical
5 research has not been translated into the clinic for numerous reasons, including some
6 inherent limitations of these models (reviewed in LaFerla and Green 2012; Drummond
7 and Wisniewski 2017). Thus, the field needs to generate mouse models that better reflect
8 the more common form of the disease, namely, sporadic AD (sAD), with the expectation
9 that these new models can achieve better concordance with human cases, which, in turn,
10 will improve the likelihood of translating basic biological discoveries into safe and
11 effective clinical applications (King 2018).
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19 In this review, we provide an overview of the current state of animal and cellular models
20 of AD, with a central focus on transgenic mice, highlighting some of the major
21 pathological mechanisms discovered through these lines of research and discussing the
22 factors that may account for the discordance between preclinical and clinical results.
23 Finally, we propose new approaches and lines of research for AD modeling that may be
24 more suitable for preclinical therapeutic development.
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32 **Animal models of AD: What have we learned from them?**

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34 The existing variety of transgenic models in AD research has allowed researchers to
35 dissect key disease-associated cellular and molecular processes, such as amyloid
36 formation, tau pathogenesis, [glia activation](#)/inflammation, neurodegeneration, synaptic
37 deficits, metabolic alterations, [and the implications of genetic and comorbid risk factors](#)
38 (Figure 1). Here, we highlight several of these relevant and complex pathological
39 processes that are critical in the progression of this brain disorder.
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45 One of the first predominant paradigms in the understanding of AD was the cholinergic
46 hypothesis, which posited an association between cholinergic dysfunction (degeneration
47 of cortically projecting cholinergic neurons in the basal forebrain) and cognitive decline
48 in AD (Hampel and others 2018; Hampel and others 2019). Following this hypothesis,
49 the first group of models developed were based on chemical lesions of cholinergic
50 pathways, showing that acetylcholine (ACh) is an important neurotransmitter in learning
51 and memory-related processes (including acquisition, encoding, consolidation, extinction
52 and retrieval processes) (reviewed in H. Ferreira-Vieira and others 2016; Hampel and
53 others 2018). In AD mouse models, the disruption of choline acetyltransferase (ChAT),
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3 nicotinic (nAChRs) and muscarinic acetylcholine receptors (mAChRs), and levels of
4 ACh is similar to what is observed in human cases (Gil-Bea and others 2005; Medeiros
5 and others 2013; Lombardo and Maskos 2015). These studies have provided critical
6 insights for a better understanding of the implications of cholinergic alterations and the
7 progression of AD pathology. Moreover, they have also led to the development of
8 cholinesterase inhibitor-based therapies, which are currently the most prescribed drugs to
9 alleviate cognitive symptoms in mild to moderate stages of the disease, as well as the
10 recent agonists design of nAChRs and mAChRs (Verma and others 2018; Moran and
11 others 2019).

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20 The initial studies of the amyloidogenic hypothesis were conducted by intracerebral
21 injection of A β in wild-type (WT) rodents, providing an important proof of concept of
22 the pathological role of the amyloid peptide, including, the generation of fibrillar
23 aggregates (Soto and others 1998). Further studies have shown that this type of approach
24 also produces severe cognitive and synaptic impairment, as well as changes in the
25 inflammatory response, insulin resistance, oxidative stress, among other pathological
26 consequences (Ferreira and others 2018; Goswami and others 2020; Wang and others
27 2020). With the development of new genetic modification techniques transgenic mice
28 expressing familial AD mutations were also developed in the 1990s, bearing mutations
29 in human *APP*, *PS1* and *PS2* genes (reviewed in Sasaguri and others 2017). These
30 amyloidogenic models were key to the investigation of A β processing, aggregation,
31 toxicity and propagation. As models for tau-related pathology, transgenic rodents were
32 also generated by overexpressing WT human tau or introducing human mutations in the
33 microtubule-associated protein tau (*MAPT*) gene, increasing the severity of
34 neurodegeneration (Ramsden and others 2005; Yoshiyama and others 2007). These tau
35 transgenic models, unlike APP and presenilin mutants, are not based on familial AD
36 mutations, since none of the FAD mutations identified until now affects the *MAPT* gene
37 (reviewed in (Götz and Ittner 2008). While models exhibiting either amyloid deposition
38 or neurofibrillary pathology have been useful for studying these two processes separately,
39 the combined model provides insight into the interaction between these two hallmarks of
40 AD. In this regard, one of the most relevant models, recapitulating both A β plaques and
41 neurofibrillary tangles (NFTs), is the triple-transgenic 3xTg-AD line (Oddo and others
42 2003). This model shows early A β accumulation, starting at 3-4 months of age, and
43 develops plaques from 6 months of age (Belfiore and others 2019). NFTs appear later in
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3 the pathological progression, at approximately 12 months of age, and suggest a direct
4 effect of A β on the neurofibrillary pathology. A later study using an A β immunotherapy
5 approach in 3xTg-AD mice also supports this idea and shows that early A β treatment can
6 reduce the level of hyperphosphorylated tau (Oddo and others 2004). Similar evidence
7 has been reported in other mouse models expressing mutant APP and tau proteins, such
8 as the TAPP model, obtained by crossing Tg2576 mice (bearing the Swedish mutation
9 KM670/671NL in APP) with JNPL3 mice (bearing a P301L mutation in tau) (Lewis
10 2001). TAPP mice develop amyloid plaques at the same age as the Tg2576 model;
11 however, TAPP mice show more neurofibrillary pathology than JNPL3 mice, mostly in
12 the limbic system and olfactory cortex (Lewis 2001). Further studies have identified
13 several putative mechanisms by which A β can trigger tau pathology by altering the levels
14 of the C-terminus of heat shock protein 79-interacting protein (CHIP) or by acting on
15 interleukin-1 β (IL-1 β) signaling (Oddo and others 2008; Kitazawa and others 2011).
16 Recent evidence has shown that tau is a downstream target of A β in the mechanisms of
17 cognitive and synaptic impairments in AD (reviewed in Forner and others 2017). Further
18 studies have demonstrated that A β binds to the cellular prion protein (PrP^C) in the
19 membrane of neurons, triggering a cascade that activates the nonreceptor tyrosine kinase
20 Fyn, which is implicated in the phosphorylation of tau and facilitates tau-dependent
21 cognitive impairments (Ittner and others 2010; Larson and others 2012; Li and Götz
22 2017). These studies have resulted in the design of a novel and specific inhibitor of Fyn
23 kinase as a promising therapeutic agent to alleviate cognitive decline in AD patients. [All
24 these evidences from APP/tau models support the amyloid cascade hypothesis,
25 corroborating the idea that A \$\beta\$ is a primary trigger and tau is a downstream target \(Selkoe
26 and Hardy 2016\).](#)

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Given the importance of genetic variants of immune-related genes such as the microglial
triggering receptor expressed in myeloid cells 2 (TREM2) in sporadic AD, this field of
research is now intensely focused on the glial inflammatory response (reviewed in
Heneka and others 2015; Heneka and others 2018; Pimenova and others 2018; Kunkle
and others 2019). Both amyloidogenic and some tau models have shown strong microglia
and astroglia activation, and although it is not yet clear whether this glial response
exacerbates or attenuates AD pathology, these models are helping researchers to dissect
the role of immune cells in disease progression. Activated microglia were once classified
as M1 (cytotoxic) and M2 (protective); however, the phenotypic diversity of activated

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3 microglia transcends this simple binary polarization (Ransohoff 2016; Tang and Le
4 2016). Thanks to transcriptional single-cell RNA-seq studies in amyloidogenic models, a
5 disease-associated microglia (DAM) gene profile was identified (Keren-Shaul and others
6 2017). This microglial molecular signature is present not only in AD models but also in
7 multiple sclerosis and amyotrophic lateral sclerosis models. DAM microglia are
8 characterized by the upregulation of genes related to glial activation and phagocytosis
9 (i.e., *ApoE*, *Trem2*, *Cst7*, *Csf1*, *MHC-I (H2-d1)* and *MHC-II (Cd74)*) and the
10 downregulation of homeostatic genes (i.e., *Tmem119*, *P2ry12* and *Cx3cr1*). Although
11 DAM activation is not fully understood, it appears to be a two-step process dependent on
12 the expression of *TREM2* and *APOE*, among others (Keren-Shaul and others 2017). In
13 order to elucidate the role of DAM microglia in AD pathogenesis, new amyloidogenic
14 models with *TREM2* deficiency or overexpression have been developed, as have *APOE*-
15 based models, providing a critical understanding of the role of microglial cells in disease
16 progression (Ulland and others 2017; Gratuze and others 2018; Zhong and others 2019).
17 Likewise, several studies in 5xFAD mice suggest that activated microglia might be
18 protective, working not only to phagocytose A β but also to compact amyloid fibrils and
19 isolate plaques to reduce their local toxicity. Active microglia might also participate in
20 the formation and aggregation of amyloid plaques, sequestering highly toxic A β
21 oligomers from the brain parenchyma. Experiments with sustained depletion of microglia
22 in 5xFAD mice have shown a decrease in amyloid deposition, supporting the role of
23 microglia in the generation of plaques (Sosna and others 2018; Spangenberg and others
24 2019). However, activated microglia can also be detrimental, since A β activates Toll-like
25 receptors and the inflammasome, triggering the release of proinflammatory cytokines and
26 causing neuronal damage; thus, the microglial response may differ depending on the stage
27 of disease, the brain region and the stimulus received (reviewed in Heneka and others
28 2018). Microglia are also able to modulate tau pathology. Many studies in tau transgenic
29 mice suggest that neurofibrillary pathology spreads through synapses, since tau
30 aggregates propagate between synaptically connected regions (Wu and others 2016;
31 Wang and others 2017). Tau also spreads between unconnected regions, and microglia
32 may be a key contributor factor of this pathway. A study performed by Ikezu's lab has
33 shown that phosphorylated tau colocalizes with microglial cells and that microglia are
34 able to spread tau via exosome secretion (Asai and others 2015), suggesting that
35 microglial cells participate in tau propagation between neurons mediated by phagocytosis
36 and exocytosis processes.

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3 On the other hand, reactive astrogliosis is also observed in AD models, allowing a better
4 understanding of the role of this cell type in AD pathogenesis (Perez-Nievas and Serrano-
5 Pozo 2018). In an APP/PS1 model, the deletion of *Gfap* and *vimentin* in astrocytes causes
6 an increase in the number and sizes of amyloid plaques and dystrophic neurites (Kraft
7 and others 2013). These findings support the notion that astrocyte activation limits plaque
8 growth and toxicity. Moreover, reactive astrocytes surrounding amyloid plaques can
9 phagocytose dystrophic presynaptic terminals, both in APP/PS1 models and in AD brains,
10 contributing to clear dysfunctional synapses (Gomez-Arboledas and others 2018). Initial
11 transcriptomic studies (Liddelow and others 2017) proposed two functional phenotypes
12 for reactive astrocytes in acute central nervous system (CNS) injury conditions: “A1”, or
13 the LPS-induced neurotoxic profile, and “A2”, or the stroke-induced neuroprotective
14 profile. However, reactive astrogliosis induced by A β or tau pathology acquires
15 transcriptional signatures that do not fit this simplistic binary classification (Das and
16 others 2020), suggesting greater functional diversity of reactive astrocytes during chronic
17 neurodegeneration.

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30 AD is often referred to as type III diabetes since brain insulin resistance is frequently seen
31 in patients with dementia. Insulin enters the brain through the blood–brain barrier and
32 carries out several important functions, such as modulating synaptic plasticity and
33 improving memory function (reviewed in de la Monte 2017; Lyra e Silva and others
34 2019). Multiple transgenic AD models such as 3xTg-AD, APP/PS1 and Tg2576 have
35 demonstrated that A β oligomers cause profound alterations in insulin signaling in the
36 brains of these models (reviewed in Lyra e Silva and others 2019). These studies suggest
37 that A β interacts with the insulin receptor, either directly by causing its disruption or
38 indirectly by modulating tumor necrosis factor alpha (TNF α), leading to brain insulin
39 resistance (reviewed in Lyra e Silva and others 2019). Moreover, recent evidence from
40 animal models has suggested that tau pathology is also a key trigger of impaired insulin
41 sensitivity and secretion (Gonçaves and others 2019), indicating that multiple
42 pathological mechanisms may be responsible for the alteration of the insulin pathway in
43 the brain.
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57 **Clinical discordance and limitations of the current models**

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3 At present, the available treatments for AD are limited to agents (several cholinesterase
4 inhibitors and one NMDA antagonist) that temporarily improve cognitive symptoms
5 without altering disease progression. Since the latest of them (memantine) was approved
6 in 2003, hundreds of promising treatment candidates, mostly targeting the amyloid
7 cascade, have been identified in animal models; however, successful translation from
8 preclinical testing to human clinical trials has failed (at a rate of more than 99%)
9 (Cummings and others 2020). This continuing failure to develop an effective AD
10 treatment can be attributed, at least in part, to inappropriate choice of mouse models,
11 including premature translation of results obtained in animal models that mimic only
12 specific pathological features of human AD (Figure 2). In this context, a better
13 understanding of the pathogenic mechanisms and the improvement of existing animal
14 models are urgently needed to provide critical insights for therapeutic development.

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24 Over the years, most potential drug candidates have been tested in transgenic models that
25 overexpress one or multiple mutated genes related to FAD; however, some limitations
26 should be taken into account with overexpression-based mouse models, since their
27 pathological changes do not develop in a physiological manner (reviewed in LaFerla and
28 Green 2012; Götz and others 2018). Regarding the APP sequence, although mice and
29 humans share 97% of the full protein sequence (695 isoform), overexpression of mutated
30 human APP is necessary in most cases to generate A β plaques in mice. *Considering that*
31 *APP695 (the most utilized isoform), is endogenously expressed in neurons, the use of*
32 *different neuron-specific exogenous promoters (hamster prion protein, PDGFB or mouse*
33 *Thy) is also needed to control robust and persistent expression* (reviewed in LaFerla and
34 Green 2012; Sasaguri and others 2017). Therefore, the phenotype observed in many
35 transgenic models strongly depends on their specific design (selected FAD mutations,
36 hAPP, or promoter and background strain chosen, among others; see
37 www.alzforum.org/research-models), challenging the interpretation of downstream
38 response to A β pathology (Figure 2). *Furthermore, several exogenous promoters have*
39 *sex-regulatory transcription factors that may alter the expression of the inserted genes;*
40 *this is the case of the 3xTg-AD mice, that showed differences in term of A β and tau onset*
41 *and progression between male and female mice (Carroll and others 2010). With this*
42 *regard, it is also important to consider that pathological changes might occur as an artifact*
43 *caused by overexpression of FAD genes or by APP cleavage fragments other than A β*
44 *(reviewed in Drummond and Wisniewski 2017). Moreover, one or multiple copies of the*
45 *transgene construct can be randomly integrated into endogenous gene loci, leading to*
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3 unknown phenotypic consequences (reviewed in Götz and others 2018). Hence, some
4 phenotypes might be due to transgene overexpression rather than normal disease
5 pathology, which hinders interpretation of the results (Figure 2). In order to address part
6 of these limitations, new AD mice have been developed using a Knock-in strategy
7 approach to express the inserted genetic mutations in a more physiological manner
8 (Sasaguri and others 2017).
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13 Another disadvantage of traditional transgenic AD mice is the influence of the specific
14 strain background on the behavioral and molecular phenotypes (Figure 2). Mouse strain
15 backgrounds can affect both APP processing and the age of amyloid accumulation onset
16 (reviewed in Jankowsky and Zheng 2017). Importantly, recent studies have supported
17 previous evidence that genetic diversity is an important factor modulating AD
18 pathogenesis (Neuner and others 2019; Onos and others 2019). More specifically, these
19 authors showed remarkable differences regarding amyloid deposition and plaque-
20 associated myeloid response when analyzing different genetic backgrounds on the well-
21 established 5xFAD and APP/PS1 lines. Overall, these findings suggest that wild-derived
22 strains are most promising lines to model AD.
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31 The postmortem AD brain is characterized by the presence of amyloid-beta accumulation
32 together with neurofibrillary pathology. However, there are very few AD models that
33 develop both protein pathologies, and even if APP models display moderate levels of
34 hyperphosphorylated tau, none of them develop NFTs (Figure 2). In addition, endogenous
35 mouse tau is unable to produce NFTs; therefore, overexpression of the MAPT gene is
36 necessary for NFTs development (Kampers and others 1999). It should be taken into
37 account that mutations in the MAPT gene are not found in AD but, in some cases, may
38 cause frontotemporal lobar degeneration (FTLD) (Iqbal and others 2016). Moreover, the
39 development of mutated tau in a non-physiological manner might interact with A β in a
40 different manner than in human patients (Busche and Hyman 2020).
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49 The main limitation in most of the existing transgenic models is the lack of extensive
50 neuronal loss and brain atrophy, two key neuropathological features observed in all AD
51 patients (Wirhth and Zampar 2020) (Figure 2). While some models have shown a
52 substantial degree of neurodegeneration, it is manifested only in very specific brain
53 regions and mostly in aged animals (reviewed in Onos and others 2016; Drummond and
54 Wisniewski 2017; Jankowsky and Zheng 2017). Since dementia is a clinical
55 manifestation of neurodegeneration, this shortcoming must be considered when selecting
56 animal models.
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3 Anatomical and physiological differences between mice and humans species are also
4 difficult to monitor. Major AD-related proteins, such as A β , tau or ApoE, differ between
5 rodents and humans in their molecular and biochemical properties (i.e., more SDS-soluble
6 amyloid plaques in rodents), the isoforms they produce (i.e., only 4R tau in mice and only
7 one ApoE isoform similar to ApoE4 in mice) and their pathogenicity (i.e., rodent A β and
8 tau do not aggregate) (reviewed in Drummond and Wisniewski 2017). Moreover,
9 amyloidogenic Tg mice have failed to reproduce the diversity and complexity of amyloid
10 plaques of AD patients (Dickson and Vickers 2001; Thal and others 2015; Condello and
11 others 2018; Boon and others 2020), since most of these models mainly develop fibrillar
12 neuritic plaques (Drummond and Wisniewski 2017).
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16 Therefore, these rodent proteins (and downstream molecular pathways) may respond and
17 interact differently, supporting the idea that phenotype effects in transgenic animals could
18 be different from those observed in humans. In addition, immune components and the
19 inflammatory response are distinct between animal models and human patients (discussed
20 below). Thus, these variables should be taken into consideration when testing preclinical
21 AD therapeutics, such as amyloid-clearing drugs.
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25 Another major consideration is the choice of an appropriate time point in the lifespan of
26 the animal to reproduce a human neurodegenerative disease, given that age represents the
27 main risk factor in AD (Figure 2). For instance, A β pathology in human patients appears
28 approximately two decades before cognitive dysfunction arises (Long and Holtzman
29 2019). In contrast, plaque onset and behavioral abnormalities can occur before 6 months
30 of age in most APP-transgenic models. Since aging involves several biological processes
31 (immune system and mitochondrial dysfunction as well as proteasome dysregulation) and
32 conditions (vascular changes and comorbid pathologies including hypertension and
33 diabetes), the brain environment in which pathological changes are occurring should be
34 critically studied (Sun and others 2020).
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38 Tg mice are intended to reproduce the protein aggregation pathologies found in AD
39 brains. However, targeting the amyloid cascade has failed in clinical translation for
40 decades, revealing that AD still has unsolved complexities beyond plaques and tangles
41 (Selkoe and Hardy 2016). In this sense, several genes involved in innate immunity (i.e.,
42 *TREM2*, *CD33*) have been identified in recent years as risk factors for sAD, indicating
43 that glial cells have a critical role in disease progression (Scheiblich and others 2020;
44 Sims and others 2020). The current scenario, based on the findings in amyloidogenic
45 models, posits a cytotoxic/proinflammatory role for activated microglia along with a
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3 strong inflammatory response to A β accumulation (Heneka and others 2015; Hansen and
4 others 2018; Heneka and others 2018). However, emerging data from human samples,
5 alongside the identification of sAD risk factors associated with microglial function
6 (*TREM2*, *CD33*, *CRI*, etc.) (Takatori and others 2019), reveal the existence of a weak
7 immune system in AD patients rather than overactivation. In this sense, compelling
8 evidence of AD-associated degenerative microglia has been reported (Sanchez-Mejias
9 and others 2016; Davies and others 2017; Streit and others 2020; Swanson and others
10 2020; Shahidehpour and others 2021). Thus, this disparity between transgenic models and
11 patients' immune system activation could be a major critical factor that explain the lack
12 of clinical translational meaning between rodent and humans.
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22 Finally, it should be noted that FAD cases correspond to less than 1% of the total AD
23 cases, with most clinical trials being conducted in sAD patients (Long and Holtzman
24 2019). Most Tg models used in preclinical studies harbor FAD and/or FTLN mutations;
25 therefore, they do not specifically model sAD (Figure 2). Since genetic studies in human
26 patients have revealed several heritable risk factors associated with mechanisms other
27 than A β and tau, including the immune system, cholesterol metabolism and synaptic
28 function (reviewed in Sims and others 2020), all these data highlight the need to consider
29 specific targeting of sAD-related genes when designing preclinical AD models. In
30 addition, a better understanding of the multiple pathological mechanisms underlying AD
31 progression and its modulation will be necessary to guarantee successful clinical
32 translation.
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43 **Towards a new era of modeling: leaving behind classic FAD models**

44 *Knockins: A new generation of mouse models*

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46 Modeling AD using autosomal dominant familial mutations in Tg mice has been quite
47 fruitful for an initial understanding of the basic pathogenic mechanisms involved in this
48 neurodegenerative proteinopathy. Nevertheless, this approach has been insufficient for a
49 complete clinical recapitulation of the predominant form of AD, the sporadic form.
50 Consequently, these models have limited predictive value to test the effectiveness of
51 novel treatments in patients. An illustrative example is the large number of compounds
52 that successfully targeted A β pathology in preclinical assays but later failed in clinical
53 validation phases. These unwelcome outcomes highlight the need to develop models that
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3 more closely resemble the sporadic form of the disease (Cummings and others 2020)
4 (Figure 3). A starting point to overcome the shortcomings of such an artificial and
5 uncommon AD-like type was to develop mouse models expressing WT isoforms of
6 human tau (htau mice) in a context free of endogenous tau (mTauKO) (Andorfer and
7 others 2003; Andorfer and others 2005). However, these models generally showed a more
8 limited tau pathology (late development of NFTs) than their mutated counterparts.
9 Concerning β -amyloidosis, strains of *App* knockin (*App*-KI) mice were generated to drive
10 humanized A β accumulation, aiming to avoid artifacts due to *App* overexpression. APP-
11 KI mice, expressing human *App* with FAD Swedish (NL) and Iberian (F) mutations
12 (*App*^{NL-F}) or with those two mutations as well as the Arctic mutation (*App*^{NL-G-F}), were
13 conceived to better reproduce the slow progression of sAD, although this model contained
14 multiple FAD mutations, making it a truthful model for familial disease. These mice show
15 age-related memory impairment (at 18 months), A β 42 overproduction, AD-like plaque
16 formation including pyroglutamate A β , synaptic loss, gliosis and the expression of AD
17 neuroinflammation-related genes (Saito and others 2014; Sasaguri and others 2017; Saito
18 and Saito 2018). However, they do not reproduce neurodegeneration, so these mice may
19 serve as models for preclinical stages. As a further step, De Strooper's laboratory has
20 created several rodent models that express a humanized form of A β without any FAD
21 mutation, resulting in a more representative model of sAD (Serneels and others 2020).
22 Remarkably, there are virtually no signs of tauopathy in these *App*-KI models, which calls
23 into question the reliability of the AD-like tau pathology exhibited by FAD models (Saito
24 and others 2014; Serneels and others 2020). More recently, mice bearing the humanized
25 *Mapt* gene (*MAPT*-KI) were crossed with the *App*-KI model. The resulting double-KI
26 (dKI) mice showed neuronal loss together with an increase in phospho-tau levels
27 compared to the single htau-KI (Saito and others 2019), reinforcing the idea about a close
28 link between A β and tau pathologies in sAD (Figure 3).

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Most recently, a new initiative created by the National Institute of Aging (NIA) has
recognized the need to create novel animal models for this disorder and funded a new
consortium, called Model Organism Development and Evaluation for Late-Onset
Alzheimer's Disease (MODEL-AD; www.model-ad.org), with the promise of enabling
the generation, characterization and validation of the next generation of mouse models of
late-onset Alzheimer's disease and stating general guidelines for preclinical testing and
bringing together data and research results, among others. The rationale of this

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3 consortium is to generate multiple humanized knockin lines to use as platforms to
4 introduce several genetic risk variants commonly expressed in sporadic AD cases. It is
5 hoped that this new program will help to fill some gaps in the standardization of modeling
6 processes and increase the translatability of successful preclinical assays in the future.
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10 11 12 13 *Apolipoprotein E4 and genetic loci associated with sAD risk*

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15 Inheritance of ApoE4 is the strongest and most common genetic risk factor for sAD,
16 increasing the odds up to 15-fold (in homozygosis) compared with the isoform ApoE3
17 (Serrano-Pozo and others 2021). Surprisingly, although the role of ApoE has been deeply
18 investigated in classic FAD models, this pleiotropic molecule has received comparatively
19 scarce attention as a therapeutic target. One of the reasons is the morphological and
20 functional disparity between mouse (mApoE, one isoform) and human ApoE (hApoE,
21 three isoforms). A proposal to overcome these drawbacks at modeling was the crossing
22 of ApoE-KO or hApoE targeted replacement (ApoE-TR) mice with FAD- and tau-based
23 mouse models, such as EFAD (5xFAD^{+/+}/ApoE-TR) or TE4 (P301S/hApoE4),
24 respectively. The lack of mApoE in PDAPP mice reduces the A β burden, and the effect
25 of hApoE on A β deposition seems to be isoform-dependent (Balu and others 2019).
26 Specifically, among the ApoE isoforms, E4 is the strongest enhancer of pathological A β
27 aggregation into oligomers/fibrils (E4>E3>E2) (Figure 3). Therefore, precluding or
28 modulating the ApoE/A β interaction has potential significant therapeutic benefits. In
29 addition, isotype loss of function seems to compromise A β clearance, as shown in
30 PDAPP-ApoE4TR (Wisniewski and Drummond 2020). Concerning tau pathology,
31 E4FAD mice showed an increase in phospho-tau, and further tauopathy and
32 neurodegeneration were also reported in the TE4 model compared with TE2, TE3 and
33 TEKO (P301S/ApoE-KO) mice (Balu and others 2019; Shi and others 2019) (Figure 3).
34 However, a more recent work showed no direct impact of ApoE on tauopathy; rather,
35 there was an indirect effect through the modulation of the microglial response (Shi and
36 others 2019). Therefore, in contrast to A β pathology, more clarification is still needed on
37 whether tau accumulation in AD is ApoE isoform-specific (Balu and others 2019;
38 Yamazaki and others 2019).
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56 Genetic polymorphisms underlying sAD are involved in a wide spectrum of functions,
57 such as cholesterol/lipid metabolism (*CLU/APOJ*), endocytosis (*PICALM*), innate
58 immunity and microglial function (*TREM2*, *CRI*, *CD33*, *ABCA7*, etc.) among others
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3 (Andrews and others 2020; Sims and others 2020) and table 1. CLU, the second most
4 common cerebral lipoprotein, seems to be involved in AD due to its metabolic and
5 inflammatory role. Moreover, CLU deletion in PDAPP/ApoE-KO mice showed that this
6 lipoprotein cooperates with ApoE in A β clearance (Yu and Tan 2012). However, a recent
7 work using 5xFAD/CLU-KO mice reported that this protein might be involved in AD
8 progression, increasing toxic A β pools, but only at the early stages (Oh and others 2019).
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14 As mentioned above, the immune response has come to be recognized as one of the main
15 elements of sAD risk; thus, immunomodulation appears to be a potential pharmacological
16 target. Therefore, these genetic variants have been progressively incorporated or silenced
17 in Tg mouse models. In fact, it was previously reported that homozygous loss-of-function
18 mutations in TREM2 cause a rare form of dementia, Nasu-Hakola disease, pointing to a
19 pivotal role of microglia in neurodegenerative conditions. Next, the TREM2 missense
20 variant R47H was found to increase the risk for developing AD as much as the presence
21 of the ApoE4 allele. Since then, other rare TREM2 variants conferring risk have been
22 discovered, including R62H. It is not surprising that many groups quickly started to
23 combine FAD-based mice with TREM2 variants/deletions to incorporate inflammatory
24 dysfunction in an amyloidogenic context (Ulland and Colonna 2018). Deletion of TREM2
25 in the 5xFAD model increases amyloid burden, impairing microglial activation and
26 survival. PS2APP/TREM2-KO mice exhibit an increase in amyloid and neuritic
27 pathology (Meilandt and others 2020). In contrast, the upregulation of TREM2 in
28 APP/PS1 Δ 9 ameliorates neuropathology and cognitive impairment (Jiang and others
29 2014). Hence, combining amyloidogenesis and TREM2 dysfunction helped to clarify that
30 alterations in microglial activation (DAM) impair A β phagocytosis and plaque
31 compaction (Ulland and Colonna 2018; Price and others 2020) (Figure 3). Regarding tau
32 pathology, some groups reported that TREM2 silencing in the PS19 tauopathy model
33 increased phospho-tau accumulation at early stages of the pathology, whereas others
34 found no changes or reduced tau pathology and neurodegeneration in PS19 mice with the
35 TREM2-KO or R47H variant (Leyns and Holtzman 2017; Gratuze and others 2020).
36 Moreover, genetic variants of *LGALS3* (coding for Galectin-3 (Gal3), a ligand of
37 TREM2) have recently emerged as another risk factor for AD. In fact, the
38 5xFAD/Gal3KO model shows a reduction in both A β pathology and behavior alterations
39 (Boza-Serrano and others 2019). Interestingly, TREM2 interacts with lipoproteins such
40 as ApoE and CLU (Yeh and others 2016). In turn, both ApoE and TREM2 interact with
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3 and are involved in A β clearance. In this sense, ApoE was found to participate in the
4 modulation of the microglial functional profile together with TREM2 (Wolfe and others
5 2019). As a recent example, APP/PS1 Δ 9/hApoE mice lacking TREM2 showed more
6 severe alterations in microglial function within an ApoE4-isoform context (Fitz and
7 others 2020) (Figure 3). Clearly, the combination of genetic sAD risk in murine FAD
8 models yields very valuable information about the basic pathological mechanisms
9 underlying these genetic risk factors. The new models generated from MODEL-AD using
10 these genetic variants may provide more precise insight into the role of these genetic risks
11 in the onset and progression of sAD pathology.
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21 *Making matters worse: comorbidities and dysbiosis*

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23 sAD is a very complex disease whose development and progression depend on many
24 factors, such as comorbidities, diet, dysbiosis, lifestyle, and sex. This leads to the
25 conceptual idea that the future treatment of AD may require customized multitarget
26 therapy (Lee and others 2018; Saito and Saido 2018; Mauvais-Jarvis and others 2020).
27 Therefore, it comes as no surprise that there is an increasing trend towards assays based
28 on disease-modifying agents targeting pathways other than amyloid- β and tau, such as
29 vascular damage and epigenetics (Cummings and others 2020). Therefore, there is also a
30 need to develop reliable animal models able to (at least in part) reproduce such a variety
31 of conditions for a better understanding of the implications of these risk factors in the
32 progression of the disease.
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40 Diabetes mellitus (DM) and related comorbidities such as obesity, hyper- or dyslipidemia
41 and hypertension are important metabolic risk factors for dementia, including sAD
42 (Dineley and others 2014; Baglietto-Vargas and others 2016; De Sousa and others 2020)
43 (Figure 3). For example, obesity-dependent diabetes (db/db), streptozotocin (STZ)-
44 induced diabetes, and high-fat diet-fed mice reproduce insulin resistance and AD-like
45 neuropathology to some extent, including tau hyperphosphorylation (Bonds and others
46 2019; Kim and others 2019) without APP- or tau-based transgenesis. Combinations of
47 type-1 or type-2 DM models with FAD lines have shown an increase in amyloidosis
48 and/or tau hyperphosphorylation under DM conditions (Abbondante and others 2014;
49 Mehla and others 2014; Guo and others 2016; Moreno-Gonzalez and others 2017;
50 Trujillo-Estrada, Nguyen, and others 2019). Furthermore, several studies from our lab
51 have reported that DM causes synaptic/memory impairment in a similar manner to AD
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3 via a tau-dependent mechanism, suggesting a close relationship between these impactful
4 diseases (Abbondante and others 2014; Trujillo-Estrada, Nguyen, and others 2019).

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6 Another similarity between AD and DM is the activation of inflammatory pathways. The
7 notion of neuroimmune communication, as the crosstalk between peripheral immunity
8 and the neural system, is driving the scientific community to a more holistic conception
9 of AD, conditioned by brain-body interactions, that is being translated to new paradigms
10 of modeling and therapies. In this regard, the role of the “microbiota-gut-brain axis” is
11 receiving increasing attention in the context of aging and neurodegenerative disorders
12 (reviewed in Fang and others 2020) (Figure 3). Microbiota modifications in mice
13 modulate the neuroimmune system, altering glial morphology and function. Importantly,
14 metabolic risk factors and comorbidities such as DM, obesity or a high-fat diet can lead
15 to dysbiosis, which, in turn, contributes to the perpetuation of these conditions. This has
16 been convincingly demonstrated by the development of obesity in mice receiving
17 microbial transplantation from obese patients, even as control mice remained healthy
18 (Ridaura and others 2013; Levy and others 2017). Interestingly, amyloid deposition was
19 modulated by the deleterious effect of antibiotics on the gut microbiome of the
20 APP/PS1 Δ E9 model. In addition, the colonization of germ-free APP-based mice with
21 microbiota from APP mice leads to an increase in cerebral amyloidosis in comparison to
22 those colonized with microbiota from WT mice. The P301L mouse model exhibits gut
23 microbiome alterations with aging (Sun and others 2019). Moreover, a recent work with
24 ApoE-TR mice showed evidence of an interrelation between this potent sAD risk factor
25 and gut microbiome profiles (Fang and others 2020). Therefore, murine models are
26 effective for proof-of-concept studies, although it seems there is still a long way to go in
27 deciphering the underlying mechanisms connecting AD and dysbiotic microbiome
28 configurations.

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30 Many other medical (cerebrovascular disease, traumatic brain injury, infections),
31 psychological (stress, anxiety or depression) and environmental conditions are important
32 AD risk factors (reviewed in Edwards and others 2020; Moreno-Gonzalez and others
33 2020) but go beyond the aim of this review. Interestingly, not only are some hallmarks
34 reproduced by FAD- and tau-based models, but they can also be modeled after being
35 induced in Tg or WT mice to unravel the impact of all of them on AD progression
36 (Baglietto-Vargas and others 2015; Kosel and others 2020).

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Looking for more alternatives: Modeling across other mammalian species

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3 In comparison to mice, rats have been less preferred for AD modeling mainly due to
4 technical reasons, although they show several advantages, such as a larger brain size and
5 greater resilience to stress. Since 2004, several Tg rat models of FAD have been created,
6 reproducing intra- and extracellular A β buildup, tau hyperphosphorylation and cognitive
7 impairment (reviewed in Götz and others 2018). The expression of truncated htau in rats
8 led to the reproducibility of AD-like neurofibrillary pathology (Götz and others 2018).
9 ApoE-KO rats are also available and are better models to mimic the speed progression of
10 atherosclerosis than mice (Lee and others 2010).

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12 Taking into consideration the contribution of longevity and aging, other long-lived
13 vertebrates and nonhuman primates (NHP) can be considered natural models of AD
14 (Gunn-Moore and others 2018). However, many mammal species usually fail at
15 reproducing both plaques and tangles together with cognitive deficits (Devinsky and
16 others 2018). For instance, aged dogs exhibit amyloid deposition, CAA and memory
17 decline but not neurofibrillary changes (Youssef and others 2016). Interestingly, both
18 plaques and tau pathology (NFT or related changes) have been reported to coexist in
19 different cerebral regions from cheetah, aged striped dolphin (*Stenella coeruleoalba*),
20 pinniped species (sea lion, seals and walrus) (Moreno-Gonzalez and Soto 2012; Gunn-
21 Moore and others 2018; Takaichi and others 2021) and some NHP (Moreno-Gonzalez
22 and Soto 2012; Devinsky and others 2018; Gunn-Moore and others 2018). In this sense,
23 since the aminoacidic sequence of A β peptide is identical between marmosets (*Callithrix*
24 *jacchus*) and humans, the recently generated PSEN1- Δ E9 marmoset might be a promising
25 NHP model of FAD (Sato and others 2020). Finally, it is important to mention that all
26 NHP are homozygous for ApoE4 that, on the other hand, functions similarly to ApoE3
27 because of a different amino acid at position 61 (Wisniewski and Drummond 2020).
28 Further analysis regarding this point might yield new data concerning the role of ApoE
29 in AD.
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50 **Beyond amyloid and tau. New approaches for modeling AD: use of human induced** 51 **pluripotent stem cell-based models of AD**

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53 As discussed throughout the manuscript, animal models have notably contributed to
54 decipher altered mechanisms in AD pathology. Nonetheless, the lack of translationality
55 of therapies tested in preclinical studies to the clinical practice, indicate that important
56 discordances between models and patients pathology exist, stressing the need of using
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3 complementary models depending on the question to address. In this regard, the
4 employment of cell culture lines have helped to elucidate, for example, how propagation
5 of amyloids as A β or Tau occurs and the molecules and pathways implicated (Vaquer-
6 Alicea and Diamond 2019).
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10 Nevertheless, a reliable AD modeling requires the employment of complex systems able
11 to recreate several aspects of the disease. In addition, notable biological and intrinsic
12 differences exist between human and murine cells, which makes the first desirable for
13 modeling human disease. Scarce access to human viable brain samples and limited
14 expansion of brain cells *ex vivo* have strongly limited the development of AD patient-
15 derived models to study the disease. However, this scenario changed with the discovery
16 of human induced pluripotent stem cells (hiPSCs) in 2007, which opened a new paradigm
17 in disease modeling, especially for neurodegenerative diseases. Human iPSCs are
18 generated by the reprogramming of somatic cells, usually skin fibroblasts or blood
19 leukocytes, towards a pluripotent stem cell (resembling embryonic stem cells) that can
20 divide almost indefinitely and give rise to nearly any cell type in the body once subjected
21 to proper molecular cues, similar to what occurs *in vivo* (Garcia-Leon and others 2019;
22 Garcia-Leon and others 2020). Thus, over the past few years, several studies have used
23 hiPSC-derived neurons and glial cells for disease modeling, assessment of pathogenic
24 pathways implicated in AD and evaluation of candidate drugs in human-derived
25 platforms in an effort to better assure the translation of the discoveries towards the cure
26 of AD and reduce the intrinsic limitations that most AD models have (Figure 4).
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43 *hiPSC-derived neurons and glial cells for modeling AD*

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45 The amyloid hypothesis is still the most accepted thesis to explain the pathological
46 cascade of AD (Selkoe and Hardy 2016), which focuses on neurons as the main cell type
47 implicated in the disease. Several studies have generated hiPSC-derived neurons from
48 familial AD cases to assess whether aberrant accumulation of A β can be reproduced *in*
49 *vitro*, its functional consequences and/or the suitability of the platform for drug
50 assessment. Most of these studies reported that mutant neurons secreted more long A β
51 peptides (A β ₄₀ and A β ₄₂), presented increased activation of GSK3 β and
52 hyperphosphorylation of tau and showed a stressed phenotype, alterations that could be
53 reversed after specific blockade of APP processing (Yagi and others 2011; Israel and
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3 others 2012; Muratore and others 2014; Arber and others 2019; Lee and others 2019). On
4 the other hand, few studies have evaluated the phenotypes shown by neurons derived
5 from sporadic cases bearing *APOE4*, and they have reported an increased production of
6 long A β peptides, a higher tau phosphorylation level and an altered neuronal maturation
7 capacity (Meyer and others 2019) (Figure 4). Among glial cells, astrocytes are one of the
8 most abundant cells present in the CNS of mammals and are essential for brain
9 development and homeostasis (reviewed in Trujillo-Estrada and others 2019). Several
10 groups have developed specific protocols for the generation of astrocytes from hPSCs,
11 mimicking the *in vivo* ontogeny of these cells, which share a common progenitor with
12 neurons (Krencik and others 2011; Shaltouki and others 2013; Santos and others 2017;
13 TCW and others 2017). Human PSC-derived astrocytes from FAD patients presented
14 increased expression of long A β peptides, which led to higher cellular stress and altered
15 cytokine secretion after stimulation, with defective support to neurons (Oksanen and
16 others 2017) (Figure 4). In astrocytes derived from sAD with the *APOE4* genotype,
17 researchers have reported an altered phenotype, such as reduced cell volume and
18 mislocalization of astrocytic markers and altered inflammatory response, suggesting that
19 these astrocytes presented a stunted state, which led to poor neuronal support (Zhao and
20 others 2017). Oligodendrocytes (OLs) are highly specialized glial cells that produce
21 myelin within the CNS, allowing proper and fast conduction of electrical signals and
22 providing metabolic support (Goldman and Kuypers 2015). The role that OLs play in AD
23 was widely unknown until a recent study using spatial transcriptomics and in situ
24 sequencing. De Strooper's laboratory reported that the expression of oligodendrocytic
25 genes increases in the vicinity of A β plaques at the beginning of the pathology and
26 dramatically decreases at advanced stages, responses that vary within different brain
27 regions, partly because of differences in the A β load among them. In addition, they
28 reported an oligodendrocyte A β -reactive state, suggesting that, together with activated
29 astrocytes and microglia, OLs are clearly part of the multicellular inflammatory
30 environment linked to A β plaques (Chen and others 2020) (Figure 4). These studies
31 suggest that OLs may also play an important role in the inflammatory processes that occur
32 in AD pathology, but we are far from a full understanding of the role that OLs play in AD
33 pathology.

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57 Microglia are innate immune cells of the brain that examine the brain parenchyma and
58 react to damage to maintain brain homeostasis. In AD brains, activated microglia
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3 accumulate around A β plaques, suggesting that they may have an important role in A β
4 phagocytosis and in plaque compaction, and notably dysfunctional/degenerative
5 microglia have been reported in human AD (Sanchez-Mejias and others 2016; Navarro
6 and others 2018). Only recently has the developmental origin of microglia been revealed,
7 which has facilitated the production of specific protocols to generate hiPSC-derived
8 microglia. These protocols generate microglia-like cells that resemble human primary
9 microglia able to phagocytose, secrete cytokines in response to stimuli and undergo
10 calcium transients (Muffat and others 2016; Abud and others 2017a; Douvaras and others
11 2017; Haenseler and others 2017; Pandya and others 2017; Takata and others 2017;
12 McQuade and others 2018). hiPSC-derived microglia are able to phagocytose both A β
13 and tau oligomers both *in vitro* and *in vivo* and undergo important gene expression
14 changes after exposure to these pathological insults (Abud and others 2017a; McQuade
15 and others 2018) (Figure 4). In addition, *in vivo* matured hiPSC microglia expressed genes
16 associated with AD, and when encountered with A β plaques, they acquired a distinctive
17 phenotype resembling the DAM, with specific gene alterations that are unique to human
18 microglia in contrast to murine microglia, suggesting that this system is appropriate for
19 modeling AD (Hasselmann and others 2019). As the *TREM2 R47H* variant increases the
20 risk of sporadic AD 3- to 4-fold, few studies have examined this using hPSC-derived
21 microglia. Mutant microglia presented impaired survival and reduced phagocytic capacity
22 of apoptotic bodies and A β plaques (Claes and others 2018). Overall, these previously
23 described studies have been helpful for gaining insight into the role of neuronal and glial
24 cells in AD pathology, and further studies in this field are needed to discover the novel
25 underlying mechanisms by which these cells seem to play a critical role in AD.
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45 *hiPSC-derived 3D cultures and brain organoids for modeling AD*

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47 The generation of individual brain cell types from hPSCs has long been demonstrated.
48 Nonetheless, as outlined above, the field lacks the ability to generate more complex
49 structures reminiscent of the human brain to study neural mechanisms and disease
50 modeling. In 2013, Lancaster and collaborators developed a 3D culture of neural cells
51 that matured and formed a 3D structure, which served as a model for human-specific brain
52 diseases poorly recapitulated by animal models (Lancaster and others 2013). This
53 approach may be relevant for AD, as 3D cultures allow a higher maturation of neurons
54 and glial cells and a higher retention of secreted molecules by the system, allowing more
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3 credible A β and tau accumulation than monocultures. Using human neural progenitors
4 overexpressing FAD mutations and cultured in 3D, the Tanzi laboratory reported the
5 generation of A β plaques in culture, which led to the presence of tau aggregates (Choi
6 and others 2014). Treatment with gamma- or beta-secretase-specific inhibitors reduced
7 the levels of A β and, remarkably, attenuated tau pathology. On the other hand, a reduction
8 in tau phosphorylation had no effect on A β accumulation (Kwak and others 2020). The
9 group further optimized the system by including hPSC-derived microglia to introduce the
10 inflammatory component into the system. They found that microglia migrated to the core
11 of the culture formed by neurons and astrocytes and acquired a reactive and inflammatory
12 phenotype only in cultures overexpressing FAD *APP* variants, which led to neuronal
13 toxicity and astrogliosis. Their study is, to date, the only study using a 3D *in vitro* model
14 able to reproduce the main pathological hallmarks AD, such as A β and tau pathologies
15 and neuroinflammation (Park and others 2018). All these studies performed using brain
16 organoids suggest that 3D culture may be a better system to reproduce AD *in vitro*, as it
17 supports further cell maturation and allows a retention within the matrix of secreted
18 proteins, enhancing the aggregation of A β and tau, better reflecting the *in vivo* situation
19 and allowing the study of the relationship between A β and tau pathologies (Figure 4).
20 However, this tool requires further optimization to reduce variability and cell
21 composition, as most of the generated brain organoids lack microglia and
22 oligodendrocytes, which makes them less than an optimal system for modeling the human
23 brain.

24 *hiPSC-derived neuron and glial cell xenotransplants in AD models*

25 AD is characterized by a complex and still understood pathology in which all brain cell
26 types participate. Therefore, in order better assess the functionality and features of hPSC-
27 derived cells, their interactions with the other brain cell types should be tested in the
28 context of AD. In addition, hPSC-derived brain cells are characterized by presenting
29 mostly a fetal/immature phenotype, which impedes the full assessment of the mature
30 functionality of generated cells. For these reasons, some groups have performed
31 transplantation of hPSC-derived neuronal and glial cells into immunodeficient mouse
32 brain parenchyma presenting AD pathology. De Strooper's group first described the
33 transplantation of hPSC-derived neurons into the brains of *APP/PS1* mutant NOD-SCID
34 mice, finding that transplanted neurons expressed 3R and mature 4R tau isoforms,
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3 presented tau hyperphosphorylation and associated neurodegeneration, interacted with
4 endogenous A β plaques and associated with reactive astrocytes and microglia, helping to
5 decipher the AD-associated mechanisms affecting neuronal functioning (Espuny-
6 Camacho and others 2017).
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10 As hPSC-derived microglia present an immature phenotype when generated *in vitro* and
11 play a key role in AD pathology, few groups have evaluated whether increased microglial
12 maturity can be achieved in an *in vivo* environment and how they react to AD pathology.
13 The Blurton-Jones group first transplanted hPSC-derived microglia into
14 immunocompromised AD mice, finding that transplanted cells presented a similar
15 phenotype and functioning as endogenous microglia, as they were able to respond to A β
16 plaques (Abud and others 2017b; McQuade and others 2018). Next, they further
17 characterized the transcriptome and functionality of hPSC-derived microglia when
18 transplanted into AD mice, reporting that transplanted cells better resembled primary
19 human microglia compared to *in vitro* cultured cells, performed homeostatic functions,
20 responded to injury, and reacted to A β plaques with a differential transcriptome signature
21 compared to murine microglia, suggesting that these procedures could be better used for
22 assessing the role of human microglia in AD (Hasselmann and others 2019). Recently,
23 they evaluated the responses of both WT and *TREM2* KO hPSC-derived microglia in an
24 *in vivo* environment and found that *TREM2* deletion reduces the survival, phagocytosis
25 and chemotaxis of microglia, resulting in an impaired response to A β plaques *in vivo*,
26 with a loss of DAM responses (McQuade and others 2020). Similarly, Mancuso and
27 colleagues reported that hPSC-derived microglia survive and integrate in the mouse brain
28 and mimic primary human cells at the transcriptome level, with hPSC-derived and host
29 mouse microglia displaying a divergent response to oligomeric A β (Mancuso and others
30 2019). These emerging studies highlight that transplantation of hPSC-derived neural cells
31 into an *in vivo* AD environment may better reveal pathways and functions altered in AD
32 patients, but further studies are needed to accurately address this.
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55 **Concluding remarks**

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57 Current Tg AD models have provided critical mechanistic insights shedding light on the
58 ways in which multiple pathological processes occur in this devastating illness.
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3 Moreover, these models have been used to decode the role and involvement of multiple
4 risk factors in the onset and progression of AD. However, they present multiple
5 limitations, reducing their similarity to human cases and the likelihood of developing
6 effective clinical therapeutic interventions. We hope that the shortcomings of the existing
7 models can be addressed with the new lines generated by the MODEL-AD consortium
8 and that these humanized platforms provide more predictable outcomes for the sporadic
9 form of this neurodegenerative disorder. The combination of these new animal lines with
10 hiPSCs, organoids and chimeric models (xenotransplants of hiPSCs in AD models) may
11 provide better consistency with AD cases, and promising clinical success may be
12 achieved for this disease in the near future.
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56 **Figure legends**

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58 **Figure 1. Discovery of the main pathological processes underlying AD by using**
59 **transgenic rodents.** Rodent models have been used to shed light on many pathways and
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mechanisms implicated in AD pathogenesis, such as A β and tau pathology, inflammatory processes, cell loss, synaptic dysfunction, metabolic alterations and the implications of comorbid and genetic factors.

Figure 2. Main pathological differences between AD patients and classical transgenic models. The high failure rate in clinical translation may be due to the inherent limitations of overexpression-based mice, the most important of which are listed in this illustration.

Figure 3. Old and new ways of modeling of AD. The brains of sAD patients present amyloid neuritic plaques, NFTs, synaptic/neuronal loss and gliosis. AD development has also been related to age-associated microglial dysfunction/degeneration. Classical APP-based FAD models have usually exhibited excessive amyloid deposition and disproportionate glial response compared to AD patients. Even though these mice accumulate hyperphosphorylated tau (phospho-tau) within dystrophic neurites, they do not generate NFTs. Only Tg mice bearing human MAPT with AD-unrelated mutations (Mtau-Tg) reproduce tangle formation. Plaques and NFTs are only found when FAD and MAPT mutations are combined in the same animal. With the aim of better imitating sAD, multiple combinations of mouse models are emerging. Knockin (KI, green) models consist of mice expressing humanized A β (*APP*-KI), tau (*MAPT*-KI) or both (dKI). AD and *APP*/*MAPT*-dKI deposits are usually more similar than plaques shown by FAD-based mice. Moreover, dKI mice exhibit a higher accumulation of phospho-tau than single *MAPT*-KI mice. However, MAPT expression does not affect plaque burden. The severity of both amyloid and tau pathology is differentially affected by the expression of human ApoE isoforms (orange; Epo4>E3>E2), involving hampered A β clearance. TREM2 silencing (blue) led to alterations in microglial phagocytosis and plaque-compactness capacity, giving rise to a higher neuritic and amyloid burden within an FAD context. The glial response is downregulated in Mtau-Tg/TREM2KO mice, but the role of TREM2 in tauopathy remains unclear due to conflicting results. Finally, plaque size increases earlier in the FAD background when TREM2-KO and hApoE4 expression are combined (brown), and the expression of DAM genes is differentially influenced by the ApoE isoform. In addition, a higher likelihood of developing sAD is associated with physiological and psychological comorbidities as well as lifestyle. All of these risk factors can be reproduced using models of diabetes, a high-fat diet or dysbiosis (among others), which, in turn, can be crossed with all the previous ones, enabling the study of both the basic mechanisms and customized novel therapies.

Figure 4. Summary of the methodology employed for the derivation of neural cells and brain organoids from hPSCs. The main phenotypes encountered in neural cells and brain organoids derived from iPSCs of AD patients are depicted. *hPSCs*: human pluripotent stem cells; *iPSCs*: induced pluripotent stem cells; *NPCs*: neural precursor cells; *OPCs*: oligodendrocyte precursor cells; *MPs*: myeloid precursor cells; *OPs*: organoid precursors; *SB*: SB431542; *LDN*: LDN193189; *CNTF*: Ciliary Neurotrophic Factor; *LIF*: Leukemia inhibitory factor; *RA*: Retinoic acid; *Shh*: Sonic hedgehog; *PDGF α* : platelet-derived growth factor alpha; *T3*: triiodothyronine; *NT-3*: neurotrophin 3; *FGF2*: fibroblast growth factor 2; *IL-3*: interleukin 3; *IL-6*: interleukin 6; *IL-34*: interleukin 34; *TGF β* : Transforming Growth Factor beta; *CX3CL1*: chemokine (C-X3-C motif) ligand 1; A β : amyloid-beta; AD: Alzheimer's disease.

Table 1. Validated AD genomic risk factors. Gene nomenclature, common name, expression pattern and gene function for the most frequent GWAS related to AD. For review see (Cuyvers and Sleegers 2016; Robinson and others 2017; Andrews and others 2020).

Gene nomenclature	Common name	Expression pattern	Gene function	Reference
ABCA7	ATP-binding cassette sub-family A member 7	Microglia, neurons	Immune system, lipid metabolism	(Hollingworth and others 2011; Steinberg and others 2015; Bellenguez and others 2017)
APOE4	Apolipoprotein E4	Astrocytes, microglia	Cholesterol/lipid metabolism	(Strittmatter and others 1993)
BIN1	Bridging integrator 1	Neurons, astrocytes, microglia	Synaptic function, endocytosis, trafficking, immune response, calcium homeostasis, apoptosis.	(Chapuis and others 2013; Tan and others 2013; Taga and others 2020)
CD33	Sialic acid-binding immunoglobulin-like family (siglec-3)	Myeloid cell receptor expressed in microglia and macrophages	Immune system, synaptic function, A β clearance	(Ulyanova and others 1999; Hollingworth and others 2011)
CLU	Clusterin or Apolipoprotein J	Neurons, astrocytes	Cholesterol/lipid metabolism, immune system	(Bell and others 2007; Harold and others 2009; Lambert and others 2009; Schürmann and others 2011; Herring and others 2019)
CR1	Complement receptor type 1	Microglia, astrocytes	Immune system	(Lambert and others 2009; Fonseca and others 2016)
PICALM	Phosphatidylinositol binding clathrin assembly protein	Neurons, astrocytes	Synaptic function, endocytosis	(Lambert and others 2009; Parikh and others 2014)
SORL1	Sortilin-related receptor 1	Neurons	Intracellular vesicular sorting of amyloid precursor protein	(Böhm and others 2006; Rogaeva and others 2007; Pottier and others 2012; Bellenguez and others 2017)

TREM2	Triggering receptor expressed on myeloid cells 2	Microglia	Immune system	(Guerreiro and others 2013; Jonsson and others 2013; Bellenguez and others 2017)
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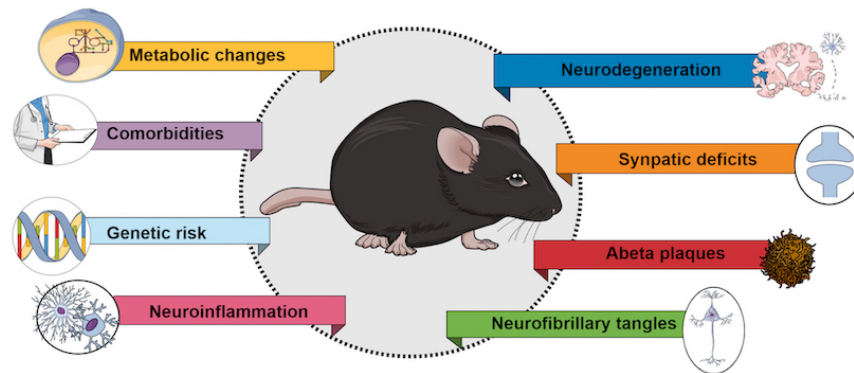
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For Peer Review



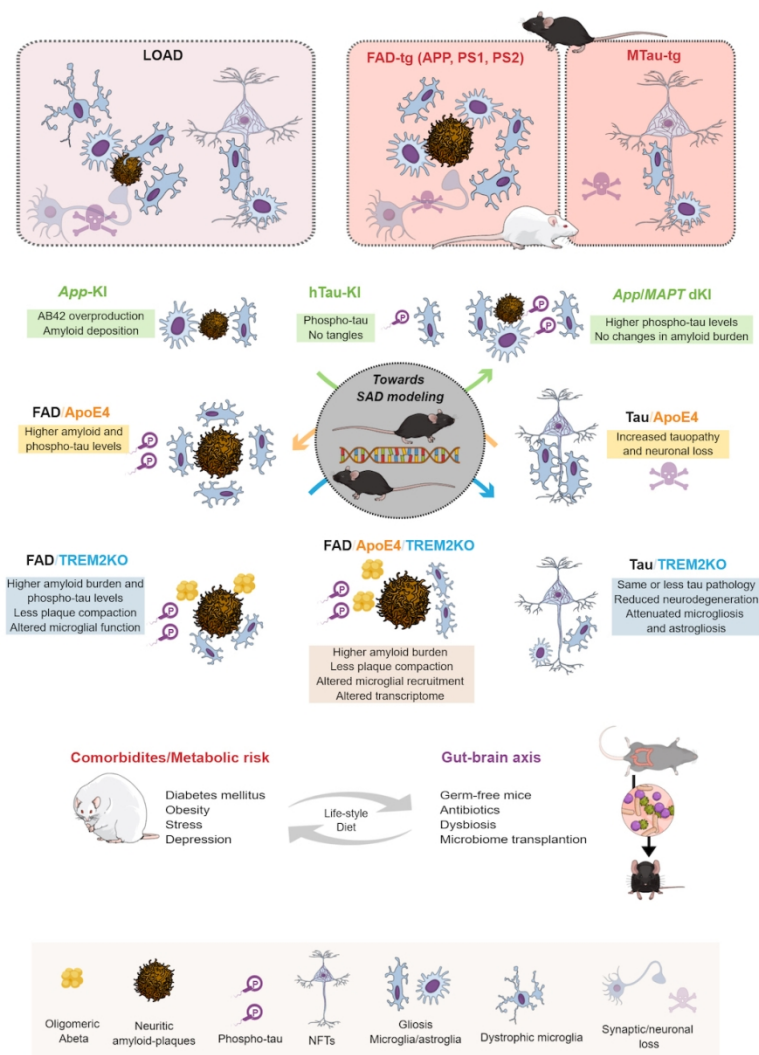
Discovery of the main pathological processes underlying AD by using transgenic rodents

80x60mm (300 x 300 DPI)

AD patients		Overexpression-based mice
Real phenotype: physiological expression pattern (endogenous promoter)	1	Artificial phenotype: overexpression of transgene (exogenous promoter, multiple copy, random location)
High inter-individual genetic variability	2	Poor genetic diversity (inbreed) Influence of the strain genetic background
Wide neurofibrillary pathology No MAPT mutations associated with AD	3	Lesser extent of neurofibrillary pathology NFTs associated with MAPT mutations (FTLD)
Extensive neuronal loss	4	Limited neuronal loss
LOAD (low-risk factors) and FAD cases (high-risk factors)	5	Only FAD models (high-risk factors considered)
Weak neuroinflammatory response Dysfunctional microglia	6	Robust neuroinflammatory response Reactive microglia
Amyloid pathology develops much earlier than clinical dementia	7	Cognitive failures appear earlier than amyloid deposition
Aged patients	8	Short life span

Main pathological differences between AD patients and classical transgenic models

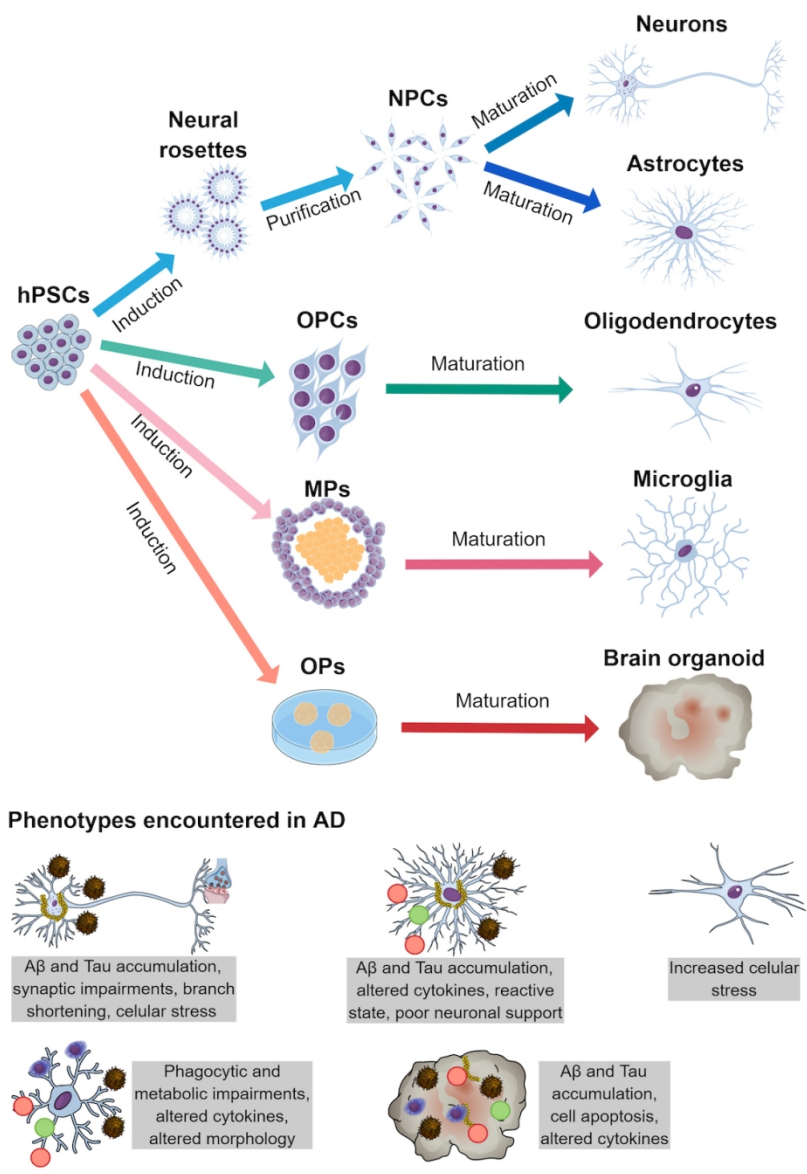
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Old and new ways of modeling of AD

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Summary of the methodology employed for the derivation of neural cells and brain organoids from hPSCs

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