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Factors Associated with Upper Limb Function in Breast Cancer Survivors

Running title: Upper Limb Function in Breast Cancer

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Factors Associated with Upper Limb Function in Breast Cancer Survivors

Introduction: Breast cancer survivors may suffer side effects from treatment, such as impaired upper limb function after surgery, which may be affected by a range of factors.

Aim: The aim of this study is to analyse the association between upper limb function and strength, fear avoidance, and central sensitisation symptoms among breast cancer survivors, and to explore how these variables are associated with upper limb function.

Design: Validation cohort.

Setting: Institutional Practice at Public Hospital

Patients: 174 breast cancer survivors who had been undergone surgery for a primary tumour.

Interventions: Not applicable.

Main Outcome Measure: Upper limb function was measured by the Upper Limb Functional Index (ULFI-Sp). Independent outcomes were: handgrip strength, which was measured using a Jamar dynamometer on the dominant side; fear avoidance, measured using the Fear-Avoidance Components Scale (FACS-Sp); and central sensitisation symptoms, that were measured using the Central Sensitisation Inventory (CSI-Sp). A linear regression model explaining the ULFI-Sp results was constructed with the variables.

Results: The regression model was significant ($F=46.826$; $p=0.00$), and explained 45% of the variance of the ULFI values. All variables showed strong associations with upper limb function.

Conclusions: Greater upper limb function is associated with higher grip strength, lower fear-avoidance behaviour and fewer central sensitisation symptoms among breast cancer survivors. These variables explained 45% of the upper limb function in the regression model, and concur with earlier research showing that factors such as central sensitisation symptoms and kinesiophobia negatively affect upper limb function in such patients. Clinicians should therefore take into account strength, fear avoidance, and central

sensitisation symptoms when considering interventions aimed at improving upper limb function among breast cancer survivors.

Keywords: cancer rehabilitation; disability evaluation; oncology rehabilitation; outcomes assessment/measurement; physical therapy; occupational therapy

Introduction

Breast cancer is a global problem, with approximately two million women diagnosed in 2018¹⁶. Early diagnosis techniques now provide better prognosis and ensure patient function is less impacted^{24,42}. Breast cancer survivors show additional symptoms such as pain, fatigue and psychological stress^{2,29}, and may also have altered upper limb function or fear of movement (kinesiophobia)^{36,37}. There are also risk factors around developing chronic pain in the event of complications from surgery¹⁴.

Treating pain among breast cancer survivors has major therapeutic benefits and can be managed through pharmacological or non-pharmacological interventions^{1,34}. Patient education is essential in non-pharmacological interventions²⁷, while techniques such as self-control of pain, functional and emotional aspects of cancer pain management, and pain relief and its implications all require continuous analysis²⁹. Pain can originate from a range of aetiologies, such as neuropathic, nociceptive, or central sensitising pain. Indeed, breast cancer survivors undergoing hormonal treatment have a relatively high risk of suffering from central sensitisation²³. Central sensitisation is defined as the increased responsiveness of nociceptive neurons due to heightened excitability in the central nervous system in response to stimulus activity, inflammation, and neuronal injury²¹.

Kinesiophobia is also present under chronic pain conditions, including among breast cancer survivors³⁸, where it appears to increase the risk of lymphoedema, depression, and anxiety while at the same time diminishing upper limb function¹⁵. This circumstance is directly associated with the patient's state of health, meaning kinesiophobia decreases after the rehabilitation process³⁸. Pain-related fear avoidance is a common problem among patients suffering from pain, and can lead to them avoiding activities, hypervigilance, and even depression, physical disuse, deconditioning, and disability among the oncology population^{5,39,40}. Although fear avoidance among breast cancer

survivors is still unknown, constructs such as kinesiophobia, pain catastrophising, hypervigilance, and avoidance behaviour contribute to disuse, disability, and pain chronification under musculoskeletal conditions⁴¹.

Managing upper limb impairment is fundamental in recovery after cancer treatment, as it can bring both physical and psychosocial benefits²⁰. It is therefore vitally important to design systems that allow risk factors that significantly impair upper limb function to be identified³⁵ and to carry out assessments among breast cancer survivors using a large number of instruments³. In this regard, earlier research has studied the way upper limb function contributes to motion, pain and central sensitisation¹¹. Upper limb function has also been associated with questionnaires such as the Brief Pain Inventory (BPI)³⁶. However, such earlier models did not include FA.

This study aims to analyse the association between upper limb function and strength, fear avoidance, and central sensitisation symptoms among breast cancer survivors, and explore how these variables relate to upper limb function.

Methods

Design

An analytical cross-sectional study was carried out in order to develop an explanatory model of the upper limb's functional limitations with regard to handgrip strength, fear avoidance, and central sensitisation symptoms.

Participants

One hundred and seventy-four breast cancer survivors took part in this study, all of whom had undergone surgery. All participants accepted and signed the informed consent, and the study was approved by the Ethics Committee at the University Clinical Hospital. The Ethics Committee followed the principles set out in the Declaration of Helsinki. All participants were from Virgen de la Victoria University Hospital in Málaga. The inclusion criteria were women aged 18 years or over who had undergone surgery for breast cancer without any recurrence. The exclusion criteria were a lack of reading comprehension and cognitive abilities, as required to fill in the questionnaires.

Measurements

Upper limb function: Upper limb functionality was evaluated in all participants using the Spanish version of the Upper Limb Functional Index (ULFI-Sp). This questionnaire has excellent internal consistency ($\alpha=.94$) and reliability ($r=.93$) in healthy adults. The ULFI questionnaire has 25 items graded from 0 to 100, with higher scores indicating greater dysfunction⁶. For statistical analysis, the results of the ULFI-Sp questionnaire were applied in terms of functionality, with higher scores showing greater functionality.

Grip strength was performed using a Jamar dynamometer on both sides³². Patients were seated with the shoulder in adduction and neutral rotation, elbow at 90° flexion, and

wrist and forearm in neutral position. The mean of three measurements was used for analysis, with the results shown in kg.

Fear avoidance: The Spanish version of the Fear-Avoidance Components Scale instrument was applied (FACS-Sp). FACS measures three constructs with the FA model: cognitive (pain catastrophising), affective (pain-related fear/anxiety), and behavioural (avoidance) constructs. Furthermore, FACS contemplates the FA-related concept of patient perception of victimisation and blame related to an injury²⁶. The FACS-Sp questionnaire has twenty items on a Likert scale from 0 to 5. It is graded from 0 to 100, with higher scores showing greater fear and avoidance behaviours. The instrument has high internal consistency ($\alpha=.902$), validated in subjects with chronic pain due to musculoskeletal disorders⁷ and breast cancer survivors ($\omega=.91$)¹⁷.

Central sensitisation symptoms: The Spanish version of Central Sensitisation (CSI-Sp) was used. CSI shows positive results in internal consistency ($\alpha=.872$) and test-retest reliability ($r=.91$)⁸, as well as good psychometric properties among breast cancer survivors³³. It has two sections: section 1 has 25 items broken down into categories (never, rarely, sometimes, often, always), while section 2 deals with medical diagnosis. Higher scores in section A indicate greater symptomatology⁸.

Statistical Analysis

Descriptive analyses (mean \pm SD) were used for participant descriptive variables (weight, height, BMI, and age), and percentages were applied for discrete variables. T-test for related samples was used to compare grip strength between affected and non-affected sides. A linear regression model explaining the ULFI-Sp results was constructed using all variables with a significant univariate association. Unadjusted and adjusted beta coefficients (B,p) were calculated with one predictor at a time and for each independent

predictor, respectively. The Kolmogorov–Smirnov test showed normal data distribution ($P > .05$). α level was set at 0.05 for all statistical comparisons. SPSS v22.0 was used for all statistical computations.

Results

One hundred and seventy-four breast cancer survivors took part in this study. The age of participants was (50.91 ± 9.23) and body mass index (BMI) was (27.60 ± 5.57). Thirty-seven women (21.2%) were diagnosed with lymphoedema. All women were right-handed, and 59 (34.2%) had undergone surgery on the side of their dominant limb. No significant differences were observed in handgrip strength between affected and non-affected sides ($p=0.103$). The descriptive results for clinical variables, ULFI-Sp, handgrip, FACS-Sp, CSI-Sp, surgical intervention and cancer-related treatment are shown in Table 1.

Table 1

The regression model was significant ($F=46.826$; $p=0.00$). This explained 45% of the variance of ULFI values ($R^2=0.452$, standard error of the estimate= 16.519). Unadjusted standardized beta coefficients (calculated with one predictor at a time) and adjusted standardized beta coefficients (calculated for each independent predictor) are shown in Table 2.

Table 2

Discussion

This main findings are that higher levels of upper limb function are associated with greater grip strength, lower fear-avoidance behaviour, and fewer central sensitisation symptoms among breast cancer survivors. These variables explained 45% of the upper limb function in the regression model. As far as the authors are aware, this is the first report on the association of fear avoidance in upper limb function.

The results of this regression model back up earlier research indicating the significant association of factors such as grip strength¹¹, kinesiophobia³⁷, and central sensitisation symptoms¹² in upper limb functionality among breast cancer survivors.

Our finding that fear avoidance behavior is negatively associated with upper limb function are in line with the findings of previous studies, which found that kinesiophobia (which is a component of fear avoidance²⁶) increases the risk of lymphoedema, depression and anxiety, and impaired upper limb function among breast cancer survivors¹⁵.

Moreover, an earlier study found that 40% of the variance related to pain-related disability could be explained directly by kinesiophobia, negative perception of the consequences of the disease, and pain catastrophising among breast cancer survivors³⁷. Moreover, patients who are afraid of movement have a lower perceived state of health³⁸. Existing literature has shown that signs of central sensitisation, along with pain characteristic, pain catastrophising, range of motion and grip strength, are associated with upper limb function¹¹. Specifically, central sensitisation mechanisms alone could explain 40% of the variance in upper limb dysfunction among breast cancer survivors¹¹. Other studies have found the presence of central sensitisation symptoms among breast cancer survivors⁹, showing a moderate correlation with pain-related disability ($r=0.482$)³⁷ and a low correlation with pain intensity ($r=0.353$)¹². Pain severity and higher levels of pain catastrophising also contribute to a higher level of central sensitisation, explaining up to 24% of the variance of CSI¹².

In this study, upper limb function measured by ULFI ranged from 0% to 100%, reflecting the variability of function among breast cancer survivors. These findings are in line with existing literature, which shows that functional limitations can last for a year-and-a-half^{25,26} and even up to 6 years following surgery³⁵.

The mean reported values for the FACS and CSI questionnaires were 30.37 (22.52) and 34.09 (14.74) respectively. Based on established clinical values^{25,26}, women had mild levels of fear-avoidance and central sensitisation. However, it should be noted that there was heterogeneity in this sample, as seen in the minimum and maximum values (Table 1).

Concerning clinical implications, the results from the present study back up the literature in terms of the influence of grip strength and central sensitisation in upper limb function¹¹. Therefore, rehabilitation providers should assess objective measures such as grip strength and patient-reported outcomes such as CSI or FACS to establish central sensitisation and fear-avoidance levels among breast cancer survivors with impaired upper limb function. An objective assessment would allow them to determine which patients would benefit most from a biopsychosocial management approach¹³, for example, by integrating pain neuroscience education in patients with predominant central sensitisation pain^{10,27,31}, or rehabilitation with graded activity^{30,38} in those with high levels of fear avoidance. In this regard, while supervised strength exercise can prevent breast cancer-related lymphoedema⁴, there is a myth among breast cancer survivors that using the affected arm will exacerbate lymphoedema or increase the risk of developing it²². Rehabilitation providers must also consider that the 3 questionnaires used have at least 20 items, although some are already available in short form²⁸.

Limitations

This study has some limitations. Firstly, several factors associated with upper limb function were not included in the analyses, such as mobility and surgery type³⁶. Secondly, psychological factors such as post-traumatic stress¹⁹ contribute to upper limb function

and may also be considered in these patients by the medical team¹⁹. Future research should further study the association of other factors^{18,27,29} with upper limb function.

Conclusion

This study shows that upper limb function is positively associated with handgrip strength and negatively associated with central sensitisation symptoms and fear-avoidance behaviour among breast cancer survivors. These variables explained 45% of the variance of upper limb function values and concurred with earlier research showing that central sensitisation symptoms or kinesiophobia negatively affect upper limb function in these patients. Clinicians should assess these variables using questionnaires such as CSI or FACS in order to ensure better decision-making, always aiming to improve upper limb function among breast cancer survivors.

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Table 1 Participant descriptive and clinical variables (n=174).

	Mean (SD)	Min-Max
Age (years)	50.9 (9.2)	32.0-70.0
BMI (Kg/m ²)	27.6 (5.5)	17.6-43.5
Years from diagnosis	2.62 (2.2)	0-13.0
ULFI (%)	68.8 (22.1)	0-100
HG dominant side (kg)	21.4 (5.7)	5-35
HG affected side (kg)	20.6 (4.6)	10.3-31.6
HG non affected side (Kg)	21.8 (5.6)	10.3-36.0
FACS-Sp (0-100)	30.3 (22.5)	0-80
CSI-Sp (0-100)	34.0 (14.7)	0-73
Surgical Intervention		Percentage (n)
	Breast-Conserving surgery	66% (115)
	Mastectomy	33.9% (59)
Cancer Treatment		
	Chemotherapy	43.1% (75)
	Radiotherapy	43.1% (75)
	Hormone Therapy	38.5% (67)
	Monoclonal Antibody	12.6% (22)
Current treatment		
	None	24.1% (42)
	Radiotherapy	1.1% (2)
	Monoclonal antibody	6.8% (12)
	Hormone therapy	56.8% (99)

BMI: body mass index; ULFI: *Upper Limbs Functional Index*; HG: *Handgrip*; FACS-Sp: *Fear Avoidance Components Scale (Spanish version)*; CSI-Sp: *Central Sensitisation Inventory (Spanish version)*.

Table 2. Adjusted and unadjusted standardized beta coefficients from linear regression with upper limb functioning index as the dependent variable.

	Unadjusted Standardized		Adjusted Standardized	
	Coefficients		Coefficients	
	B	p	B	p
Handgrip	0.258	0,001	0.161	0.006
FACS	-0.508	0,000	-0.243	0.000
CSI	0.606	0,000	-0.461	0.000

FACS-Sp: *Fear Avoidance Components Scale (Spanish version)*; CSI: *Central Sensitisation Inventory (Spanish version)*; ULFI-Sp: *Upper Limbs Functional Index (Spanish version)*