



**SHAPE AT THE CROSS-ROADS: HOMOPLASY AND HISTORY
IN THE EVOLUTION OF THE CARNIVORAN SKULL TOWARD
HERBIVORY**

Journal:	<i>Journal of Evolutionary Biology</i>
Manuscript ID:	JEB-2010-00349
Manuscript Type:	Research Papers
Date Submitted by the Author:	21-Jun-2010
Complete List of Authors:	Figueirido, Borja; University of Málaga, Ecology and Geology Serrano-Alarcón, Francisco; University of Málaga, Ecology and Geology Slater, Graham; University of California, Los Angeles, Ecology and Evolutionary Biology Palmqvist, Paul; University of Málaga, Ecology and Geology
Keywords:	Comparative studies, Herbivory, Mammals, Morphometrics, Phenotypic plasticity

1 SHAPE AT THE CROSS-ROADS: HOMOPLASY AND HISTORY IN THE
2 EVOLUTION OF THE CARNIVORAN SKULL TOWARD HERBIVORY

3
4 **Running title:** Herbivorous adaptations in carnivores

5
6 Borja Figueirido^{1*}, Francisco J. Serrano-Alarcón¹, Graham J. Slater² & Paul Palmqvist¹

7
8 ¹ Departamento de Ecología y Geología, Área de Paleontología, Facultad de Ciencias,
9 Universidad de Málaga, Campus Universitario de Teatinos, 29071-Málaga, Spain. E-
10 mail: Francisco.figueirido@uv.es

11
12 ² Department of Ecology and Evolutionary Biology, University of California, Los
13 Angeles, CA, 90095-1606, USA.

14
15
16 *Corresponding author: Borja Figueirido

17 Área de Paleontología

18 Departamento de Ecología y Geología, Facultad de Ciencias

19 Universidad de Málaga

20 Campus Universitario de Teatinos

21 29071-Málaga (Spain)

22 Phone: + 34 952 13 4213

23 Email: Francisco.figueirido@uv.es

26 **ABSTRACT**

27 Patterns of skull shape in Carnivora provide examples of parallel and convergent
28 evolution for similar ecomorphological adaptations. However, although most
29 researchers report on skull homoplasies among hypercarnivorous taxa, evolutionary
30 trends toward herbivory remain largely unexplored. In this study we analyze the skull of
31 the living herbivorous carnivorans to evaluate the importance of natural selection and
32 phylogenetic legacy in shaping the skulls of these peculiar species. We quantitatively
33 estimated shape variability using geometric morphometrics. A principal components
34 analysis of skull shape incorporating all families of arctoid carnivorans recognized
35 several common adaptations toward herbivory. Ancestral state reconstructions of skull
36 shape and the reconstructed phylogenetic history of morphospace occupation more
37 explicitly reveal the true patterns of homoplasy among the herbivorous carnivorans. Our
38 results indicate that both historical constraints and adaptation have interplayed in the
39 evolution toward herbivory of the carnivoran skull, which has resulted in repeated
40 patterns of biomechanical homoplasy.

41

42 **Key words:** Homoplasy, geometric morphometrics, herbivory, Carnivora, adaptation,
43 history, skull shape.

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51 **INTRODUCTION**

52 One of the main goals of evolutionary biology is to understand the role and
53 limits of natural selection in sorting phenotypic variation. Adaptive evolution means
54 that natural selection shapes a given trait for a particular function within a determined
55 environment. However, natural selection does not cope with endless variation, as its
56 domain is constrained by shared and stable developmental programs, pleiotropic effects,
57 specific properties of biomaterials and morphogenetic processes (Seilacher, 1970;
58 Gould & Lewontin, 1979; Gould, 1980, 1989; Cheverud, 1982, 1985; Wagner, 1988;
59 Goswami, 2006; Raia *et al.*, in press). Different kinds of evolutionary constraints
60 influence the nature and number of adaptive solutions (Schwenk, 1995; Arthur, 2001;
61 Gould, 2002; Brakefield, 2006). In fact, evolution under similar environmental
62 conditions combined with the effects of historical contingency result in repeated
63 patterns of homoplasy, which define the range of phenotypic possibilities (e.g., Raia *et*
64 *al.*, in press).

65 A number of studies on the covariation between function and phylogeny have
66 increased our understanding of the role and limits of natural selection and historical
67 contingency in shaping the mammalian skull (e.g., Cheverud, 1982; Cheverud, 1984;
68 Polly, 1998; Cardini, 2003; Cardini & O' Higgins, 2004; Caumul & Polly, 2005;
69 Cardini & Elton, 2008; Raia *et al.*, in press) and particularly the carnivoran skull (e.g.,
70 Goswami, 2006; Wroe & Milne, 2007; Polly 2008; Slater & Van Valkenburgh, 2008;
71 Meloro *et al.*, 2008). In fact, the order Carnivora represents a good choice for exploring
72 homoplasy because over the past 65 Ma different taxa have adapted to different feeding
73 strategies with a limited set of phenotypic variants (Van Valkenburgh, 1999, 2007).
74 This strongly suggests that evolution under similar environmental conditions plus the

75 presence of shared developmental constraints have led to repeated patterns of
76 homoplasy in the evolution of carnivoran skull shape.

77 Patterns of parallel evolution of skull shape among hypercarnivores have been
78 reported previously by several authors (e.g., Van Valkenburgh, 1991, 1995, 2007;
79 Holliday & Stepan, 2001; Wroe & Milne, 2007). However, homoplasies toward
80 herbivory remain largely unexplored. This is surprising, as the herbivorous carnivorans
81 represent an excellent choice for examining homoplasy. Taxa from distantly related
82 lineages have repeatedly developed adaptations to a feeding behavior that represents the
83 opposite extreme of the ancestral carnivorous condition (Van Valkenburgh, 2007).
84 Furthermore, few organisms have received as much attention from an evolutionary
85 point of view as the two living pandas, the giant panda (*Ailuropoda melanoleuca*) and
86 the red or lesser panda (*Ailurus fulgens*). Molecular data indicate unequivocally that
87 these species belong to two distinct families (Ursidae and Ailuridae, respectively) that
88 diverged ~40 Ma ago, during early Oligocene times (Goldman *et al.*, 1989; Ledje &
89 Arnason, 1996; Flynn *et al.*, 1998, 2000, 2005; Bininda-Emonds *et al.*, 1999; Ginsburg,
90 1999), and these emblematic mammals are often cited as one of the best examples of
91 convergent evolution (e.g. Gittleman, 1994). Both feed almost entirely on bamboo, and
92 share a number of morphological specializations to this specialized diet, including broad
93 cheek teeth, well-developed zygomatic arches, a wide temporal fossa, powerful jaws
94 with an extremely enlarged coronoid, and an elongated wrist bone, the radial sesamoid,
95 that functions as an opposable “thumb” (Radinsky, 1981; Endo *et al.*, 2001a; Endo *et*
96 *al.*, 2001b; Antón *et al.*, 2006; Salesa *et al.*, 2006; Endo *et al.*, 2008).

97 Given that the feeding adaptations of carnivorans are usually reflected in their
98 skull (e.g., Van Valkenburgh, 1988; Van Valkenburgh *et al.*, 2003; Biknevicius & Ruff,
99 1992; Palmqvist *et al.*, 1999, 2003, 2007, 2008; Sacco & Van Valkenburgh, 2004;

100 Christiansen & Adolfssen, 2005; Friscia *et al.*, 2006; Goswami, 2006; Christiansen,
101 2007; Christiansen & Wroe, 2007; Wroe *et al.*, 2007; Wroe & Milne, 2007; Figueirido
102 *et al.*, 2009, 2010; Slater *et al.*, 2009; Slater & Van Valkenburgh, 2009), herbivorous
103 taxa are an excellent choice for investigating how adaptive evolution shaped
104 morphological and ecological diversity.

105 In this paper we explore the role and limits of natural selection and historical
106 contingency in shaping skull design in herbivorous carnivorans. We investigate the
107 evolutionary dynamics of skull change using *landmark*-based methods of geometric
108 morphometrics and biomechanical models. Specifically, we address the following
109 questions in this study: (i) Is there a phylogenetic signal in skull shape? (ii) Is there a
110 significant correlation between skull shape and diet? (iii) Which are the adaptations
111 toward herbivory in the carnivoran skull? (iv) Are there patterns of homoplasy among
112 herbivorous carnivorans in the evolution of skull shape? (v) Which are the roles of
113 natural selection and historical contingency in shaping the skull of herbivorous
114 carnivorans? The answers to these questions reveal that phylogenetic legacy and natural
115 selection are both significant causal factors in the evolution of skull shape.
116 Consequently, some adaptations toward herbivory are reflected in the carnivoran skull,
117 but these adaptations are strongly influenced by the particular phylogenetic legacy of
118 the order Carnivora. Thus, it is the combined effects of adaptation and phylogeny which
119 explains the repeated patterns of biomechanical homoplasy in the skull of herbivorous
120 carnivorans.

121

122 **MATERIAL AND METHODS**

123 *Dataset*

124 This study is based on 194 crania and 184 mandibles of adult individuals
125 belonging to 24 species from the infraorder Arctoidea (Table 1). Our analysis included
126 representatives of all terrestrial arctoid families (Ailuridae, Mephitidae, Mustelidae,
127 Procyonidae, and Ursidae) and one aquatic family (Phocidae). We considered
128 specialized herbivores to be those species consuming more than 95% of vegetal matter
129 (fruits and foliage). It is for this reason that we restricted our sample to the infraorder
130 Arctoidea, as no species of Feliformia has these dietary requirements. The percentage of
131 vegetal items consumed by each species studied was taken from published analyses of
132 scat contents (see Table 1 and Appendix 1). For those species for which several
133 estimates were available, the arithmetic means for the percentages of the principal
134 dietary items consumed (i.e., vegetal matter, arthropods and vertebrates) were computed
135 (Appendix 1). As a result, five species were considered as specialized herbivores in this
136 study: the giant panda (*A. melanoleuca*, Ursidae), the red or lesser panda (*A. fulgens*,
137 Ailuridae), the bushy-tailed olingo (*Bassaricyon gabbi*, Procyonidae), the kinkajou
138 (*Potos flavus*, Procyonidae) and the spectacled bear (*Tremarctos ornatus*, Ursidae).

139 We must admit that the definition of “herbivory” used here is very broad and,
140 consequently, that the feeding category of “herbivore” does not represent a completely
141 homogeneous group. For example, two herbivorous species can forage differently if
142 they consume quite different kinds of vegetal resources (e.g., bamboo or fruits) or even
143 if they consume the same resource but feed on different parts of the plant (e.g., bamboo
144 stems or leaves). However, we only use the ecological categories of herbivore,
145 omnivore, insectivore and carnivore (Table 1) for interpreting the results obtained in the
146 subsequent morphometric analyses and it should be clear that we do not employ
147 statistical methods such as discriminant analysis or canonical variates analysis that
148 require specifying “a priori” distinct feeding groups. In contrast, we follow an approach

149 based on principal components analysis (PCA) for depicting the distribution of species
150 within the phenotypic space (see below).

151 Only adult specimens were gathered to avoid effects of ontogenetic variation.
152 Similar numbers of males and females of each species and similar proportions of
153 individuals from different populations were sampled whenever possible. Specimens
154 were sampled at the American Museum of Natural History (New York, USA), the
155 Natural History Museum (London, UK), the Museum für Naturkunde (Berlin,
156 Germany) and the Donald R. Dickey Collection at the University of California (Los
157 Angeles).

158

159 ***Phylogenetic analysis***

160 In order to evaluate phylogenetic structure in our data and to estimate ancestral
161 skull shapes (see below), we developed a time-calibrated phylogenetic hypothesis for
162 the taxa included in the study using gene sequences and fossils. Specifically, we
163 retrieved full length (1140 bp) cytochrome b (*Cytb*) sequences from genbank for all taxa
164 included in this study plus the gray wolf (i.e., *Canis lupus*) as a representative sister
165 taxon to the arctoid carnivores (for accession numbers of sequences, see Appendix
166 2). We used BEAST v.1.5.2 to produce a time-calibrated phylogeny under a relaxed
167 molecular clock. We identified ten extinct taxa representing the earliest known
168 members of crown clades that were represented in our tree and used these taxa as
169 minimum age calibrations for those nodes. We assigned lognormal prior distributions to
170 all calibrations, with the 95% range spanning the distance from the fossil calibration to
171 the next node to which each fossil could be assigned (Table 2).

172 There are a number of robust phylogenetic studies on arctoid familial
173 relationships (Flynn *et al.*, 2005; Krause *et al.*, 2008; Koepfli *et al.*, 2007, 2008) and we

174 used them to constrain the tree topology in our dating analysis. We chose this approach
175 instead the one based on unconstrained analysis because the use of *Cytb* sequence data
176 for inferring higher level carnivoran phylogeny can lead to topologies in conflict with
177 those provided by other analyses based on larger and/or less saturated datasets (e.g.,
178 Agnarsson *et al.*, 2010). We assigned a GTR+I+G model of sequence evolution to three
179 partitions corresponding to codon position, and specified a Yule prior for speciation
180 rates. We ran our analysis for 100,000,000 generations, sampling from the MCMC
181 chain every 10,000 generations. After inspecting the output files to ensure convergence
182 of evolutionary parameters using Tracer v. 1.51, we produced a maximum clade
183 credibility tree for comparative morphological analysis.

184

185 ***Landmark digitization: biomechanical modeling of skull function***

186 All the landmarks (LMs) were digitized on high-resolution digital images into
187 two-dimensional Cartesian coordinates (x, y) of crania (Fig. 1A) and mandibles (Fig.
188 1B) in lateral view using the program TPSdig v.2.11 (Rohlf, 2008). The digital images
189 were captured using a tripod and following a standardized protocol for avoiding lens
190 distortion and parallax (see Marugán-Lobón & Buscalioni, 2004; Meloro *et al.*, 2008).

191 Feeding adaptations in carnivores involve specific mechanical and physiological
192 requirements for exerting an efficient mastication, which are reflected in craniodental
193 morphology (e.g., Van Valkenburgh, 1988; Biknevicius & Ruff, 1992; Palmqvist *et al.*,
194 1999; Palmqvist *et al.*, 2003, 2008; Van Valkenburgh *et al.*, 2003; Sacco & Van
195 Valkenburgh, 2004; Christiansen & Adolfssen, 2005; Friscia *et al.*, 2006; Anyonge &
196 Baker, 2006; Goswami, 2006; Christiansen, 2007; Christiansen & Wroe, 2007; Wroe *et*
197 *al.*, 2007; Wroe & Milne, 2007; Figueirido *et al.*, 2009, 2010; Slater *et al.*, 2009; Slater
198 & Van Valkenburgh, 2009). Consequently, the LMs digitized in this study were chosen

199 to capture key functional features of the carnivoran skull (Fig. 1C). The jaw joint was
200 modeled as a third class lever system (Turnbull, 1970; Greaves, 1978, 1982, 1988). In
201 this kind of lever the force exerted by the temporalis and masseter muscles (Fig. 1D) is
202 applied between the area of resistance (i.e., the dentition) and the fulcrum (i.e., the jaw
203 joint) of the lever (Fig. 1E). Therefore, we selected landmarks in the mandible that
204 describe the input moment arms for the temporalis and masseter muscles, the output
205 moment arms to different points of the dentition, as well as other functional traits,
206 including the bending strength of the horizontal ramus at different interdental gaps or
207 the proportions between the molar and premolar teeth (Fig. 1E). Similarly, we selected
208 landmarks in the cranium that capture the output moment arms to different points of the
209 dentition, the area of insertion of the temporalis and masseter muscles in the temporal
210 fossa and the zygomatic arch, respectively, rostral depth and the relative development of
211 the premolar and molar teeth (Fig. 1E).

212

213 *Geometric morphometrics and principal components analysis*

214 Specimens were aligned using generalized Procrustes fit and projected to the
215 tangent space (e.g., Dryden and Mardia, 1998) separately for each sample (i.e., jaws and
216 crania). The mean shape for each species was then computed by averaging the aligned
217 coordinates and centroid sizes. We averaged the specimens sampled from each species
218 in order to eliminate the effects of static allometry and sexual shape dimorphism.
219 Centroid size, which represents the size of the object, was computed as the square root
220 of the sum of squared distances of the digitized landmarks to the centroid of the object
221 and retained for analysis of interspecific allometry for the size of mandibles and crania
222 (Bookstein, 1991).

223 In order to investigate the distribution of carnivorans in the phenotypic space,
224 we computed separately principal components analyses (PCA) from the covariance
225 matrix of the aligned coordinates derived from the mean cranial and mandible shapes in
226 the 24 species analyzed. We also explored the major dimensions of shape variation
227 around the mean shape (Zelditch *et al.*, 2004) for identifying those traits of skull shape
228 shared by the herbivorous carnivorans. Although skull shape was analyzed here using
229 landmarks instead of semilandmarks, we modeled the interlandmark distances by means
230 of an outline, which allowed us to obtain clearer shape transformation models in PCAs
231 and ancestral skull shape reconstructions (see below). All the geometric morphometric
232 procedures and PCAs were computed with program MorphoJ (Klingenberg, 2008)

233

234 *Ancestral skull shape reconstruction*

235 The hypothetical morphology of the ancestral nodes in a phylogenetic tree can
236 be reconstructed when the shapes of all terminal taxa are known (e.g., Maddison, 1991;
237 McArdle & Rodrigo, 1994; Martins & Hansen, 1997; Garland *et al.*, 1999; Polly, 2001;
238 Rohlf, 2001; Finarelli & Flynn, 2006; Astúa, 2009). These comparative methods can be
239 used for delivering indirect inferences on the paths followed by morphological
240 evolution, which can be tested later with data obtained from the fossil record (e.g.,
241 Polly, 2001). Here, we use the squared-change parsimony method (Maddison, 1991),
242 weighted by the respective branch lengths, for reconstructing cranial and jaw shape at
243 the internal nodes of the phylogeny with program MorphoJ (Klingenberg, 2008). It is
244 worth noting that although several limitations have been described for this parsimony
245 method (e.g., the obtaining of wide confidence intervals; Webster & Purvis, 2002), this
246 approach is probably one of the best procedures for working with continuous characters
247 (Astúa, 2009). Finarelli & Flynn (2006) have shown that if all data available (i.e.,

248 character observations and temporal information for extinct taxa) are incorporated in the
249 reconstruction of ancestral character states, this improves the results obtained with the
250 weighted squared-change parsimony method. However, the scarcity in the carnivoran
251 fossil record of complete, well-preserved and non-deformed skulls represents a major
252 bias for incorporating shape data that go beyond mere scalar traits, which precludes the
253 use of this information in the reconstruction of ancestral skull shapes. However, special
254 attention was paid in this study to incorporating biochronologic data from the fossil
255 record as well as to increasing taxon sampling. Also, we compared qualitatively the
256 shapes reconstructed for the internal nodes of the phylogeny with the fossil data
257 available.

258 The reconstructed ancestral shapes were plotted into the shape spaces obtained
259 in PCA. Subsequently, the branches of the tree were connected (Klingenberg & Ekau,
260 1996; Rohlf, 2002; Polly, 2008; Astúa, 2009; Gidaszewski *et al.*, 2009; Klingenberg &
261 Gidaszewski, 2010) for assessing the evolutionary history of skull shape changes. This
262 “phylomorphospace” approach provides the possibility of exploring the history of the
263 phylogenetic occupation of skull morphospaces.

264

265 ***Quantifying the effects of size, phylogeny and diet on skull shape***

266 The presence of a phylogenetic structure in our data was evaluated with a
267 permutation test (Laurin, 2004; Klingenberg & Gidaszewski, 2010). The test operates
268 by randomly reassigning the 24 observed mean species shapes to the tips of the
269 phylogenetic tree in each permutation (10,000 in our case). Ancestral shape
270 reconstructions are recomputed for the permuted data by squared-change parsimony
271 (Maddison, 1991), weighting by branch lengths (Gidaszewski *et al.*, 2009; Klingenberg
272 & Gidaszewski, 2010). The test provides a *P*-value, which is the proportion of

273 permutations that result in a tree length (calculated as the sum of the squared Procrustes
274 distances between ancestor and descendant for all branches) equal to or less than the
275 observed one. Therefore, if our data have a strong phylogenetic structure, the tree length
276 obtained in each permutation should be greater than the one obtained with the original
277 data (Gidaszewski *et al.*, 2009; Klingenberg & Gidaszewski, 2010). We used this *P*-
278 value for assessing the phylogenetic signal present in mandible and cranial shape.

279 The Procrustes coordinates of each species were regressed on log-transformed
280 centroid size values for assessing the effects of size change on interspecific shape
281 variation (i.e., evolutionary allometry) using multivariate regression analysis (Monteiro,
282 1999). The statistical significance of the results was tested with a permutation test
283 against the null hypothesis of size independence, following Drake and Klingenberg
284 (2008).

285 In order to explore the association between skull shape and diet, we computed a
286 multivariate regression analysis (Monteiro, 1999) of skull shape (Procrustes coordinates
287 of crania and mandibles) on the percentage of vegetal matter over the total volume of
288 food items included in the diet of the species studied (see Table 1 and Appendix 1).
289 Statistical significance was tested with a permutation test against the null hypothesis of
290 independence between shape and diet (Drake and Klingenberg, 2008).

291 However, given that the species analyzed are related phylogenetically, they
292 cannot be treated as statistically independent data points (Felsenstein, 1985). This
293 violates the assumption of independent sampling, which is a variant of the classic Type
294 I error (e.g., Harvey & Pagel, 1991). As a result, the morphological correlations could
295 be attributed to the phylogenetic pattern, which can lead to incorrect interpretations
296 (e.g., MacLeod, 2001). In order to avoiding this bias, we computed phylogenetically
297 independent contrasts analyses (Felsenstein, 1985) of skull shape, size and diet.

298 Subsequently, the contrast for shape was regressed on the ones for diet and size, which
299 allowed exploring the effects of size and diet on skull shape after accounting for
300 similarity due to shared ancestry. Statistical significance was tested with a permutation
301 test against the null hypothesis of independence (Drake and Klingenberg, 2008). The
302 quantification of the effects of size, phylogeny and diet on skull shape and independent
303 contrast analyses were computed with program MorphoJ (Klingenberg, 2008).

304

305 **RESULTS**

306 *Phylogeny*

307 Figure 2 shows the time-calibrated phylogenetic reconstruction obtained from
308 complete *Cytb* sequences under a relaxed molecular clock. Our divergence time
309 estimates are broadly consistent with dates inferred in other studies. However,
310 divergence times within Mustelidae are uniformly older than those recovered in a recent
311 study based on 22-kbp sequence data from 22 gene segments (Koepfli *et al.*, 2008). It is
312 worth noting that a uniformly older or younger dating of internal nodes is not relevant
313 for the reconstructions of ancestral values by weighted squared-change parsimony
314 because the method uses the relative branch lengths. In spite of this, we manipulated
315 node ages within Mustelidae to mirror the mean node ages reported by Koepfli *et al.*,
316 (2008) to determine if these discrepancies affected our analyses. Results obtained using
317 both trees were similar and, consequently, we report results from analyses using the tree
318 with branch lengths derived from this study.

319

320 *Principal components analysis*

321 *Mandible shape*

322 The first three PCs derived from PCA of mandible shape jointly explain more
323 than 70% of the original variance. Among them, the third PC was significantly
324 correlated with log-transformed centroid size values. Consequently, we only present
325 here the results for the first two axes (>55 % of variance). Figure 3A shows the scores
326 for the species means on the morphospace depicted by these first two PCs.

327 The first PC describes a shape gradient that goes from the stoutly built mandible
328 of *P. flavus*, to the most slender jaws of *Nasua* (Fig. 3A, *x-axis*). The jaws with
329 negative scores on this axis are characterized by a deep mandibular ramus, a wide
330 coronoid process and a long distance from the jaw condyle to the angular process. In
331 addition, they have a comparatively short premolar tooth row and a small carnassial
332 tooth (Fig. 3B). In contrast, those jaw that take positive scores show a shallow body
333 with a narrow coronoid, a short distance between the condyle and the angular process,
334 and well developed premolars and carnassial teeth (Fig. 3B).

335 The second PC accounts for the shape change from the mandibles of the giant
336 panda (*A. melanoleuca*) and the red panda (*A. fulgens*) to the jaw of the leopard seal
337 (*Hydrurga leptonyx*) (Fig. 3A, *y-axis*). Mandibles with negatives scores on this axis
338 have a high coronoid process and a deep posterior body. In contrast, those with positive
339 scores have a small coronoid and short post-carnassial molars (Fig. 3B).

340

341 *Cranial shape*

342 The PCA computed from cranial shape yielded three PCs with significant
343 eigenvalues (>70% of the original variance explained). However, there is a significant
344 correlation between the second vector and the log-transformed centroid size values,
345 which indicates that the shape changes accounted for by this axis are mostly explained
346 by allometric effects. Consequently, we only show here the first and third axes, which

347 summarize 50% of cranial shape variation. Figure 5C shows the morphospace depicted
348 with the scores of the species digitized on PCI and PCIII.

349 The first PC relates mainly with the morphological gradient between the long-
350 snouted and shallow anterior crania of *Nasua*, and the short-snouted and deep anterior
351 cranium of *P. flavus*, (Fig. 3C, *x*-axis; Fig. 3D). In addition, this component describes
352 also the development of the premolar tooth row (Fig. 3D). Therefore, those crania with
353 positive scores are brachycephalic, have deep snouts, their zygomatic arches and orbits
354 are anteriorly oriented, and have short premolars and enlarged molars. Conversely, the
355 crania that score negatively on this first axis are dolicocephalic and show a shallow
356 snout, well developed premolar teeth and short molars.

357 The third PC accounts for the shape changes from the crania of *Mustela vison*,
358 *H. leptonyx* and *Ursus ursinus*, among other species that score negatively, to those of *A.*
359 *melanoleuca* and *A. fulgens*, which take extreme positive scores (Fig. 3C, *y*-axis). The
360 latter have stout, very deep and short neurocrania, well developed zygomatic arches and
361 extremely enlarged molar tooth rows (Fig. 3D). The opposite trend is represented by
362 those crania that take extreme negative scores on this axis, as they are shallow, have a
363 long neurocranium and a shortened molar tooth-row (Fig. 3D).

364

365 ***Ancestral skull shape reconstruction***

366 Phylomorphospaces are shown in Figures 4A and 4B for mandible and cranial
367 shapes, respectively, and the reconstructed shapes at the internal nodes of the phylogeny
368 are depicted in Figure 4C.

369 The skull shapes of the living herbivores plot in a separate and wider area than
370 the one occupied by the shapes reconstructed for their respective ancestors (Figs. 4A,
371 B). This shows that skull shape leads to different morphological patterns in the living

372 species (Fig. 4C). In general terms, these taxa follow different evolutionary paths for
373 skull shape changes, which means that ancestry was a significant causal factor in
374 shaping skull design. However, it is worth noting that the evolutionary trajectories of
375 skull shape transformation reconstructed for the extant herbivores suggest some
376 common morphological traits (Figs. 4A, B), which indicates also the presence of
377 homoplasy. For example, compared to their ancestral states all these species develop in
378 their mandibles a larger coronoid, a deeper mandibular corpus, a longer distance
379 between the articular condyle and the angular process, and a larger lower molar tooth
380 row (Fig. 4C). In addition, their crania tend to be more brachycephalic and deeper, have
381 a more developed upper molar tooth row and show the orbits more anteriorly placed
382 (Fig. 4C).

383 Apart from these shared trends, there are also some minor differences in the
384 patterns of mandible shape transformation among the living herbivores (Fig. 4A): for
385 example, the jaws of *Ailurus* and *Ailuropoda*, which are both bamboo feeders, have a
386 longer and narrower ascending ramus, while the mostly frugivorous *Potos* and
387 *Tremarctos* show a wider and shorter ascending ramus (Fig. 4C). These patterns of
388 change from the ancestral states to the living bamboo feeders and frugivores indicate
389 that homoplasy was a significant causal factor for shaping jaw design. Also, it is worth
390 noting that jaw morphology is more similar in both species of pandas than in their
391 reconstructed ancestral shapes (Fig. 4A; Ame-Node2 and Aif-Node4). The case of
392 *Potos* and *Tremarctos* is less evident, however, as the shapes reconstructed for their
393 ancestral stages are closer between them (Fig. 4A; Pof-Node5 and Tor-Node3).
394 However, both species score differently in the mandible morphospace, which most
395 probably reflects that the spectacled bear is less derived morphologically than the

396 kinkajou (the divergence time is only ~10 Ma ago for the former and >25 Ma for the
397 latter; Fig. 1).

398

399 *The effects of size, phylogeny and diet on skull shape*

400 The multivariate regression of shape on log-transformed centroid size values
401 was significant for both the mandible ($P = 0.0239$) and the cranium ($P = 0.0001$). The
402 total amount of shape variation accounted for size differences was 10.2% in the case of
403 the mandible and 18.6% in the cranium. However, independent contrast analyses
404 showed no significant correlation between the contrast for size and shape in the
405 mandible ($P = 0.4483$) and, to a lesser degree, also in the cranium ($P = 0.0619$).

406 The multivariate regression of shape on diet was statistically significant for both
407 mandibles ($P = 0.0136$) and crania ($P = 0.0156$), with an amount of 10.7% of the shape
408 variation of mandibles explained by differences of feeding behavior and 11.1 % in the
409 case of crania. Similarly, the multivariate regression of the shape and diet contrasts was
410 statistically significant in both the mandible ($P = 0.0014$) and the cranium ($P = 0.0013$),
411 with 25.7% of the shape variation of the mandibles explained by differences of feeding
412 behavior and 23.7 % in the case of crania. Therefore, the correlation between skull
413 shape and diet remains significant after removing the phylogenetic relationships of the
414 taxa under study.

415 The permutation tests revealed a statistically significant phylogenetic signal
416 present in the shape data for both mandibles ($P = 0.0006$) and crania ($P < 0.0001$). Our
417 results therefore demonstrate that evolutionary allometry, phylogenetic legacy and
418 feeding behavior are strong causal factors for shaping skull design in arctoid
419 carnivorans

420

421 **DISCUSSION**422 *Adaptations toward herbivory in the carnivoran skull*

423 Our results demonstrate that skull shape correlates with feeding behavior.
424 Principal components analyses of mandibles and crania suggest that, with the only
425 exception of the bushy-tailed olingo (*B. gabbi*), all herbivorous species of Procyonidae
426 (*P. flavus*), Ailuridae (*A. fulgens*) and Ursidae (*A. melanoleuca* and *T. ornatus*) share a
427 set of common traits in their craniodental anatomy. These features relate to the capacity
428 to exert high bite forces and include a stoutly built mandible with a deep mandibular
429 corpus, an enlarged coronoid and well developed lower postcarnassial molars, as well as
430 a deep brachicephalic cranium with well developed zygomatic arches, a short
431 neurocranium and enlarged upper molars (Fig. 3). On the one hand, an elongated
432 coronoid and an enlarged angular process are indicators of long lever arms for the
433 temporalis and masseter muscles, respectively (Figs. 1C-E), and thus can be used as
434 proxies for the input moment arms of these masticatory muscles (Turnbull, 1970; Van
435 Valkenburgh & Ruff, 1987; Biknevicus & Van Valkenburgh, 1996; Van Valkenburgh
436 *et al.*, 2003; Christiansen & Adolfssen, 2005; Palmqvist *et al.*, 2007; Figueirido *et al.*,
437 2010). On the other, a brachycephalic cranium reduces the output moment arms of the
438 masticatory muscles (Figs.1C-E), increasing the load exerted with the carnassials or the
439 canine teeth (Christiansen & Adolfssen, 2005; Wroe *et al.*, 2005; Christiansen, 2007;
440 Christiansen & Wroe, 2007). In addition, a high cranium with a deep mandibular body
441 is better suited for dissipating the high torsional forces generated in the parasagittal
442 plane when the herbivorous carnivorans chew on tough foods with their enlarged molar
443 teeth (Buckland-Wright, 1971, 1978; Werdelin, 1989; Biknevicus & Ruff, 1992).
444 These results agree with the findings of Christiansen and Wroe (2007), who showed that
445 the carnivorans that feed on fibrous plants have higher bite forces than those species of

446 similar size that are specialized insectivores, omnivores or even carnivores that take
447 small prey. In fact, only those carnivores that prey upon ungulate prey much larger than
448 themselves and those specialized in the consumption of vertebrate carrion (e.g., the
449 wolverine, *G. gulo*) parallel the bite force of herbivorous carnivorans (Christiansen &
450 Wroe, 2007).

451 We have identified a set of common functional traits in the carnivoran skull that
452 allow specializing on an herbivorous diet. As a result, we identify these morphological
453 traits as common adaptations toward herbivory in the carnivoran skull, except in the
454 bushy-tailed olingo.

455

456 *Evolutionary constraints in the skull of herbivorous carnivorans*

457 In this study we have shown that skull shape does not only correlate with
458 feeding behavior but also with phylogenetic legacy. As stated above, all the adaptations
459 of the carnivoran skull toward herbivory have been interpreted as functional traits that
460 allow exerting high bite forces and such traits are similar to those of the
461 hypercarnivorous species that subdue large vertebrate prey (Christiansen & Wroe,
462 2007). At first sight this fact seems to be counterintuitive if we do not pay attention to
463 historical contingency.

464 All the herbivorous carnivorans evolved from a generalized carnivorous
465 mammal (Van Valkenburgh, 2007), with a body plan early established in the phylogeny
466 of Carnivora. Therefore, the remarkable morphological resemblance of the skull among
467 the herbivorous carnivorans was most probably driven by extrinsic factors (e.g., natural
468 selection) as well as by intrinsic ones (e.g., a shared developmental pathway) and the
469 latter may have posed constraints (*sensu* Alberch, 1982) on the direction of skull shape
470 evolution toward herbivory. Thus, the adaptations of herbivorous species for exerting

471 high bite forces are constrained functional solutions that were reached in a process
472 driven by natural selection within the set of possibilities allowed by phylogenetic
473 legacy.

474 The herbivorous carnivorans retain the simple stomach (i.e., without a caecum)
475 and short gastrointestinal tract typical of carnivores, in contrast to the chambered
476 stomachs and complex intestinal morphologies of ruminants and other mammalian
477 herbivores (Roberts & Gittleman, 1984; Schaller *et al.*, 1985; Johnson *et al.*, 1988;
478 Bleijenberg & Nijboer, 1989; Reid *et al.*, 1991). Microbial digestion only plays a minor
479 role in the digestive strategy of carnivorans, resulting in a low digestibility rate for
480 cellulose and other complex carbohydrates (Wei *et al.*, 1999, 2007; Senshu *et al.*, 2007).
481 Furthermore, all carnivores have a fixed temporomandibular joint (Ewer, 1973) which,
482 together with their enlarged canine teeth, limits jaw movements to the vertical plane,
483 with some lateral movements for grinding (Davis, 1964). This prevents the teeth from
484 being occluded simultaneously for grinding tough foods, as in ungulates. As a result of
485 these limitations, herbivorous carnivorans must eat huge quantities of food, which
486 forces the development of the main muscles involved in mastication (i.e., masseter and
487 temporalis), hence the capacity for exerting similar bite forces than those of carnivorous
488 mammals that usually hunt prey much larger than themselves. The need in a carnivoran
489 for high input moment arms for the temporalis and masseter muscles translates in the
490 development of a long coronoid and an enlarged angular process, which precludes the
491 development of a wide gape.

492 Constraints on digestive tract and craniomandibular morphology as a result of a
493 basic body plan adapted to carnivory have resulted in a very different set of solutions in
494 herbivorous carnivorans, compared to ungulates. Skull shape in herbivorous
495 carnivorans evolved toward the capacity of exerting a high bite forces in a process that

496 paralleled the evolution of hypercarnivores. The same adaptive solution (i.e., an
497 increase in bite force) was taken for reaching two opposite feeding strategies (i.e.,
498 herbivory and hypercarnivory) through carnivoran evolution. This strongly suggests that
499 not all possible adaptive solutions are under the domain of natural selection, which
500 operates on the variability available by the phylogenetic legacy of each particular group.

501

502 ***The role of natural selection and historical contingency in shaping the skull design of***
503 ***herbivorous carnivorans***

504 The combined effects of phylogenetic legacy and natural selection for shaping
505 cranial design have led to repeated patterns of biomechanical homoplasy in the
506 evolution of the carnivoran skull toward herbivory. In fact, in this study we identify
507 several of these patterns. This is especially the case of jaw shape in the giant panda (*A.*
508 *melanoleuca*), an ursid, and the red panda (*A. fulgens*), an ailurid, which both feed on
509 bamboo (Table 1; Appendix). This result is also confirmed by the evidence from the
510 fossil record. In fact, the earlier fossil remains of the *Ailuropoda* lineage (e.g., the
511 Miocene *Ailurarctos* from China) have an incipient crushing dentition adapted for a
512 durophagous diet and the late Pliocene *Ailuropoda microta* and *Ailuropoda*
513 *wulingshanensis* have intermediate tooth morphologies between *Ailurarctos* and the
514 living *A. melanoleuca* (Qiu & Qi, 1989; Hunt, 2004; Qi *et al.*, 2006; Jin *et al.*, 2007).
515 Similarly, the fossil record of the most basal ailurids such as the species of *Simocyon*
516 (Miocene of Europe and North America, Mio-Pliocene of Asia) and *Amphictis* (Oligo-
517 Miocene of Eurasia) do not show the specialized skull morphology of the red panda,
518 which suggests that their common ancestor was a generalized carnivore, not a bamboo
519 feeder (Salesa *et al.*, 2006). Therefore, both the reconstructed evolutionary trajectories
520 as well as the fossil evidence more robustly support the independent evolution towards

521 bamboo feeding between the distantly related *Ailurus* and *Ailuropoda*. As a result, it is
522 striking that two distantly related species that diverged >40 Ma ago (Goldman *et al.*,
523 1989; Ledje & Arnason, 1996; Flynn & Nedbal, 1998; Bininda-Emonds *et al.*, 1999;
524 Ginsburg, 1999; Flynn *et al.*, 2000; Flynn *et al.*, 2005; Agnarsson *et al.*, 2010) have
525 extremely similar mandibles. This indicates the strong role of the phylogenetic legacy
526 (i.e., the existence of a shared and stable developmental path established early in the
527 evolutionary history of the carnivorans) as well as the consequences of the
528 biomechanical limitations for shaping jaw design. However, this pattern could be also
529 explained by a remarkable evolutionary plasticity and/or a strong effect of natural
530 selection.

531 Another incipient pattern of jaw homoplasy can be reported for the kinkajou (*P.*
532 *flavus*), a procyonid, and the spectacled bear (*T. ornatus*), which both are mainly
533 frugivores (Table 1; Appendix). Although in this case the pattern of homoplasy is not as
534 evident as in the case of pandas, again the evidence from the fossil record of *Potos* and
535 *Tremarctos* confirms the independent evolution of similar morphologies. In fact, the
536 earlier tremarctine known from the fossil record is the early Miocene, North American
537 *Plionarctos*, which retains a primitive tremarctine mandible without a premasseteric
538 fossa (Tedford & Martin, 2001). The Tertiary record of procyonids shows that some
539 primitive procyonids like the Miocene *Broiliana* from Europe has a mesocarnivorous
540 dentition (Baskin, 1982, 2004). Furthermore, *Parapotos tedfordii* from the Miocene of
541 North America (Baskin, 2003) shows intermediate mandible features between *Broiliana*
542 and the extant frugivorous *P. flavus* (F.J. Serrano-Alarcón, Per. Obsv.).

543 Strikingly, the specific patterns of homoplasy reported for the evolution of jaw
544 morphology toward frugivory or bamboo feeding did not arise in the evolution of
545 cranial shape (Fig. 4B). In our opinion, this probably reflects the greater evolutionary

546 plasticity of the mandible compared with the cranium (Barone, 1986). Jaw morphology
547 is mainly related to food acquisition and processing, while cranial shape is the result of
548 conflicting demands between different functions (e.g., feeding, olfaction, vision and
549 brain processing; Figueirido *et al.*, 2009). For this reason, although a set of functional
550 cranial traits can be identified as common adaptations toward herbivory in carnivorans,
551 no specific mechanical adaptation toward frugivory or bamboo feeding is evident. In
552 contrast, these adaptations are reflected in the morphology of the mandible, giving rise
553 to repeated patterns of homoplasy among frugivores, on the one hand, and among
554 bamboo feeders, on the other. This strongly suggests that studies of morphological
555 integration between mandible and cranial shape are necessary for understanding the
556 correlated evolution of these two structures that conform the skull.

557 Patterns of biomechanical homoplasy among carnivorans have been exemplified
558 in a number of studies on the evolution of hypercarnivory (including bone-cracking
559 adaptations) in distantly related lineages (e.g., Werdelin, 1989; Van Valkenburgh, 1991,
560 2007; Hollyday & Steppan, 2001; Van Valkenburgh *et al.*, 2003; Wroe & Milne, 2007;
561 Wroe *et al.*, 2007). In spite of the fact that herbivorous carnivorans represent also an
562 excellent choice for exploring the role and limits of natural selection and historical
563 contingency in sorting phenotypic variation, patterns of evolution toward herbivory
564 remain largely unexplored. Our findings show that several skull traits that allow
565 increasing bite force have been shaped in the carnivorans as adaptations for an
566 herbivorous diet. Several evolutionary constraints arising from the peculiar
567 phylogenetic legacy of the carnivoran skull have limited the range of adaptive
568 possibilities that natural selection could follow. This fact indicates that few adaptive
569 solutions allow a carnivoran to behave as a strict herbivore. As a result, phylogenetic
570 legacy and natural selection have interplayed during the evolutionary history of

571 carnivorans, giving rise to repeated patterns of biomechanical homoplasy. To this
572 regard, we have recognized the three types (or levels) of homoplastic patterns proposed
573 by Stayton (2006) within our skull shape data. In fact, we have found type II pattern of
574 homoplasy (i.e., partial convergence) in all herbivores: although their shapes are not
575 identical, these species show similar deviations from their sister groups and, as a result,
576 are more similar to other herbivorous carnivorans than to their closest relatives. In
577 contrast, a type I pattern (i.e., complete convergence) has emerged in the nearly
578 identical mandibles of the two bamboo feeders and type III (i.e., parallel evolution)
579 most probably has taken place in the mandibles of frugivores, as they show some
580 parallel changes from their sister groups.

581 Homoplasy is a key concept in evolutionary theory. Quantitative documentation
582 of homoplasy has provided new advances for the study of adaptation (e.g. Wroe and
583 Milne, 2007), constraints (e.g., Herrel *et al.*, 2004) and paleobiology (e.g., Van
584 Valkenburgh, 1991), providing support for a better understanding of the evolutionary
585 history of phenotypes (Stayton, 2006). As a result, the quantification of the homoplastic
586 patterns carried out in this article could ultimately be performed to other taxa and
587 ecological niches. Our findings clearly demonstrate the importance of incorporating
588 historical approaches in morphometric analyses for understanding the role and limits of
589 natural selection and historical contingency in sorting morphological and ecological
590 diversity as key elements of evolutionary theory.

591

592 **ACKNOWLEDGEMENTS**

593 We are especially grateful to J. Aznar, M. Bastir, H. Botella, P. Carazo, M. De Renzi,
594 J.A. Pérez-Claros, J.M. González-Donoso, and D. Rasskin-Gutman, whose comments
595 and suggestions on an earlier version of this paper are greatly appreciated. We also

596 thank E. Westwig (AMNH, New York), P. Jenkins and L. Tomsset (NHM, London) for
597 kindly providing us access to the specimens under their care. This work was supported
598 by the Spanish Ministry of Science and Innovation (MICINN) Research Projects
599 (CGL2006-13808-C02-02 and CGL2008-04896) and FPU PhD Research Fellowship to
600 B. Figueirido.

601

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937 **Table 1.** Sample size (*N*, crania/mandibles), diet and percentage of vegetal matter
938 (%VM) consumed by each species included in the morphometric analyses. Species
939 highlighted in gray tone are herbivores. For detailed information on the definition of
940 dietary groups and the studies of scat contents, see Appendix 1.
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Family	Species	N	Diet	%VM
Ailuridae	<i>Ailurus fulgens</i> , red panda	14/13	Herbivore (bamboo feeder)	100%
Mephitidae	<i>Mephitis mephitis</i> , striped skunk	6/6	Omnivore	11%
Mustelidae	<i>Meles meles</i> , Eurasian badger	12/7	Omnivore	36%
Mustelidae	<i>Gulo gulo</i> , wolverine	5/8	Carnivore	0%
Mustelidae	<i>Eira barbara</i> , tayra	3/6	Omnivore	21%
Mustelidae	<i>Neovison vison</i> , American mink	4/4	Carnivore	0%
Mustelidae	<i>Martes americana</i> , American marten	4/4	Carnivore	6%
Phocidae	<i>Hydrurga leptonyx</i> , leopard seal	1/1	Carnivore	0%
Procyonidae	<i>Bassaricyon alleni</i> , Allen's olingo	5/4	Omnivore	--
Procyonidae	<i>Bassaricyon gabbii</i> , bushy-tailed olingo	3/3	Herbivore (frugivore)	100%
Procyonidae	<i>Bassariscus astutus</i> , ringtail	6/5	Omnivore	18%
Procyonidae	<i>Bassariscus sumichrasti</i> , cacomistle	5/5	Omnivore	53%
Procyonidae	<i>Nasua nasua</i> , coati	14/21	Insectivore / frugivore	41%
Procyonidae	<i>Nasua narica</i> , white-nosed coati	1/1	Insectivore / frugivore	50%
Procyonidae	<i>Potos flavus</i> , kinkajou	10/10	Herbivore (frugivore)	100%
Procyonidae	<i>Procyon cancrivorus</i> , crab-eating raccoon	7/9	Omnivore	88%
Procyonidae	<i>Procyon lotor</i> , raccoon	9/7	Omnivore	28.5%
Ursidae	<i>Ailuropoda melanoleuca</i> , giant panda	16/13	Herbivore (bamboo feeder)	100%
Ursidae	<i>Tremarctos ornatus</i> , spectacled bear	7/8	Herbivore (frugivore)	96%
Ursidae	<i>Ursus ursinus</i> , sloth bear	8/10	Insectivore	22%
Ursidae	<i>Ursus arctos</i> , brown bear	20/30	Omnivore	55%
Ursidae	<i>Ursus americanus</i> , American black bear	8/9	Omnivore	84%
Ursidae	<i>Ursus maritimus</i> , polar bear	10/12	Carnivore	0%

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945 **Table 2.** Extinct taxa used for calibrating the ages of crown clades in BEAST analysis.
 946 References: 1, Bryant,1992; 2, Qiu & Qi, 1989; 3, Hunt, 2004; 4, Qi *et al.*, 2006; 5,
 947 Tedford & Martin, 2001; 6, Montoya *et al.*, 2006; 7, Kurtén, 1964; 8, Wolsan & Lange-
 948 Badré, 1996; 9, McKenna & Bell, 1997; 10, Koepfli *et al.*, 2007; 11, Baskin, 1998.

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	Extinct taxa	Tmrca	Age (95% lower limit) ^{Sources}
952	<i>Hesperocyon sp.</i>	Caniforms + Arctoids	40.0 (55.0) ¹
953	<i>Ailurarctos sp.</i>	extant Ursidae	11.6 (40.0) ^{2,3,4}
954	<i>Plionarctos sp.</i>	Tremarctinae + Ursinae	7.9 (11.6) ⁵
955	<i>Ursus boeckii</i>	extant Ursinae	5.3 (7.9) ⁶
955	<i>Ursus maritimus</i>	<i>Ursus maritimus/U. arctos</i>	0.1 (5.3) ⁷
956	<i>Pseudobassaris riggsi</i>	Procyonidae/Mustelidae	7.9 (11.6) ⁸
957	<i>Broiliana sp.</i>	Procyonidae	24.0 (28.5) ^{9,10}
958	<i>Bassariscus sp.</i>	<i>Bassariscus/Procyon</i>	11.2 (24.0)
959	<i>Nasua sp.</i>	<i>Nasua/Bassaricyon</i>	3.6 (24.0) ^{9,10}
959	<i>Mustela sp.</i>	Mustelidae	5.3 (28.5) ^{9,11}

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970 **Figure 1.** Biomechanical modeling of skull function. A, landmarks used in the
971 morphometric analysis of cranial morphology. B, landmarks used for analyzing jaw
972 morphology. C, illustration of key functional features recovered in the morphometric
973 analysis of the carnivoran skull. D, areas of origin and insertion of the main masticatory
974 muscles (i.e., temporalis and masseter). E, the masticatory apparatus modeled as a third
975 class lever system showing the combined effort of the temporalis and masseter muscles
976 as a function of their input moment arms (coronoid and angular process, respectively),
977 which is applied in a point placed between the fulcrum (temporomandibular joint) and
978 the resistance exerted at the carnassials and canines (output force).

979 **Figure 2.** Time-calibrated phylogeny of carnivoran species included in this study, based
980 on Cytb sequence data and ten fossil calibration points. Species highlighted in grey
981 tones are herbivores (see Table 1 and Appendix). Numbers of nodes refer to
982 reconstructed shapes in subsequent morphometric analyses.

983 **Figure 3.** Principal components analysis derived from skull morphology. A, pairwise
984 plot of the first two PCs derived from mandible analysis. B, pairwise plot depicted
985 from the first and third PCs obtained from cranial analysis. C, mandible shape variation
986 accounted for by PCI and PCII. D, cranial shape variation accounted for by PCI and
987 PCIII. For clarity of illustration, only those species that mainly consume animal or
988 vegetal resources are identified. Abbreviations: Ame, *A. melanoleuca*; Aif, *A. fulgens*;
989 Bga, *B. gabbi*; Gul, *G. gulo*; Hyl, *H. leptonyx*; Mam, *M. americana*; Mvis, *M. vison*;
990 Nan, *N. nasua*; Nar, *N. narica*; Uma, *U. maritimus*; Uur, *U. ursinus*.

991 **Figure 4.** Patterns of skull shape evolution in caniform carnivorans. A, projection of the
992 tree topology of Figure 2 onto the morphospace depicted with the first two PCs derived
993 from jaw morphology. B, projection of the phylogenetic tree of Figure 2 onto the
994 morphospace developed with PCI and PCIII of cranial analysis. Note that *C. lupus*, used

995 only for rooting the tree has been omitted for clarity in both phylomorphospaces. C,
996 reconstruction of ancestral skull shapes. For clarity of illustration, only the
997 reconstructed morphologies for the internal nodes of the most direct ancestors of the
998 herbivorous carnivorans are shown. The shape configuration reconstructed for the root
999 of the tree is depicted in grey tone and the shape configurations for each node are drawn
1000 in black. Node numbers are represented in the phylogeny of Figure 2. Abbreviations as
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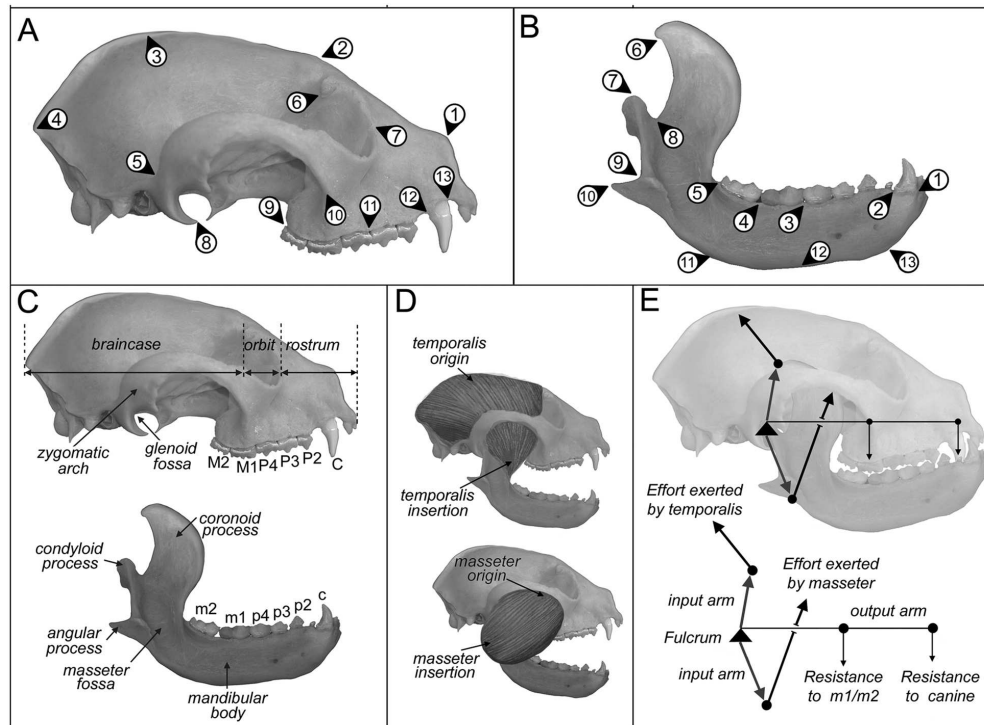


Figure 1. Biomechanical modeling of skull function. A, landmarks used in the morphometric analysis of cranial morphology. B, landmarks used for analyzing jaw morphology. C, illustration of key functional features recovered in the morphometric analysis of the carnivoran skull. D, areas of origin and insertion of the main masticatory muscles (i.e., temporalis and masseter). E, the masticatory apparatus modeled as a third class lever system showing the combined effort of the temporalis and masseter muscles as a function of their input moment arms (coronoid and angular process, respectively), which is applied in a point placed between the fulcrum (temporomandibular joint) and the resistance exerted at the carnassials and canines (output force).

165x123mm (600 x 600 DPI)

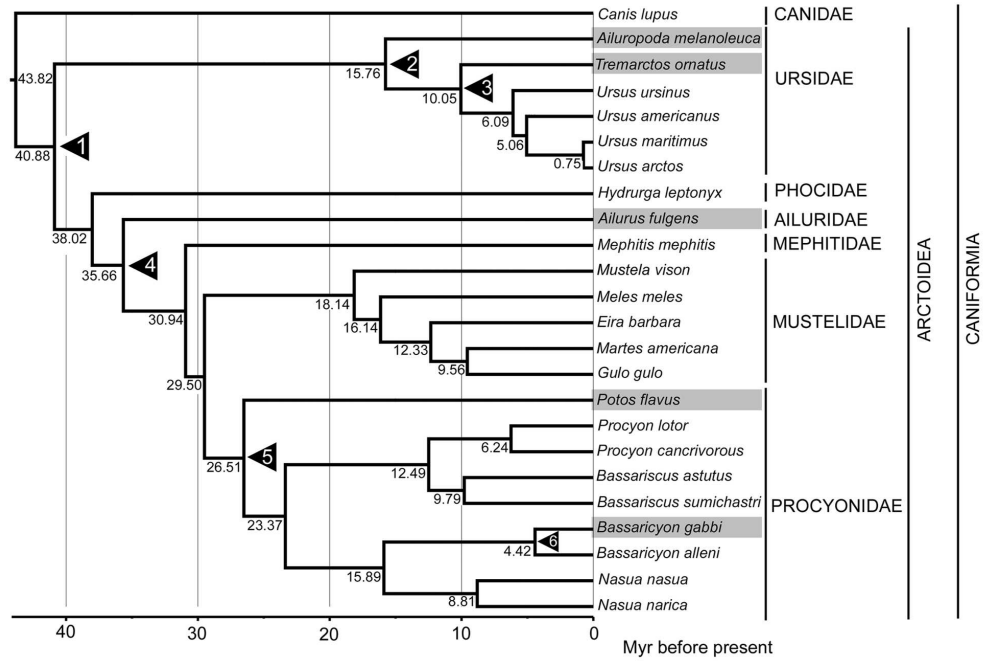


Figure 2. Time-calibrated phylogeny of carnivoran species included in this study, based on Cytb sequence data and ten fossil calibration points. Species highlighted in grey tones are herbivores (see Table 1 and Appendix). Numbers of nodes refer to reconstructed shapes in subsequent morphometric analyses.

165x110mm (600 x 600 DPI)

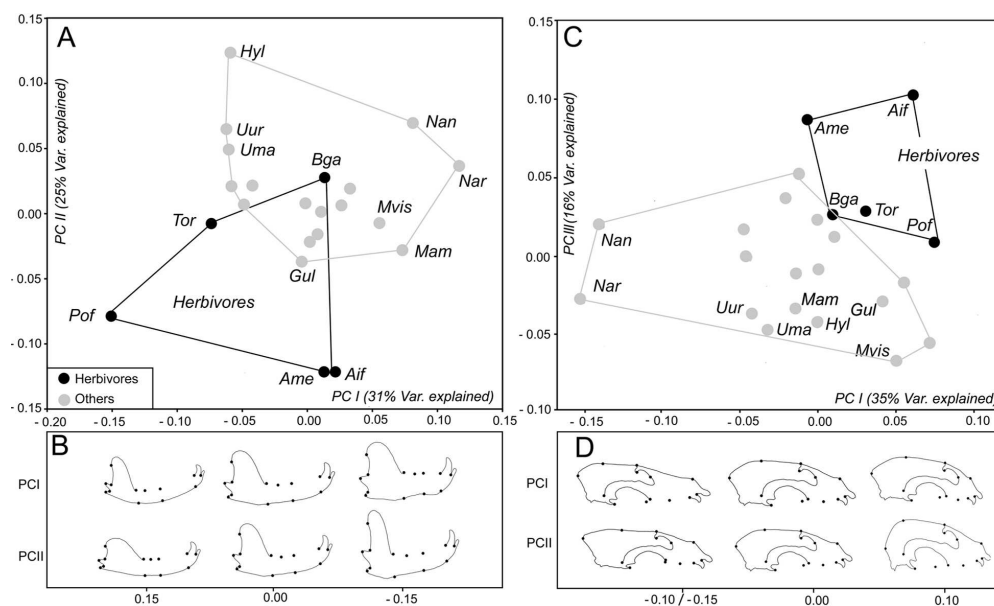


Figure 3. Principal components analysis derived from skull morphology. A, pairwise plot of the first two PCs derived from mandible analysis. B, pairwise plot depicted from the first and third PCs obtained from cranial analysis. C, mandible shape variation accounted for by PCI and PCII. D, cranial shape variation accounted for by PCI and PCIII. For clarity of illustration, only those species that mainly consume animal or vegetal resources are identified. Abbreviations: Ame, *A. melanoleuca*; Aif, *A. fulgens*; Bga, *B. gabbi*; Gul, *G. gulo*; Hyl, *H. leptonyx*; Mam, *M. americana*; Mvis, *M. vison*; Nan, *N. nasua*; Nar, *N. narica*; Uma, *U. maritimus*; Uur, *U. ursinus*.
165x99mm (600 x 600 DPI)

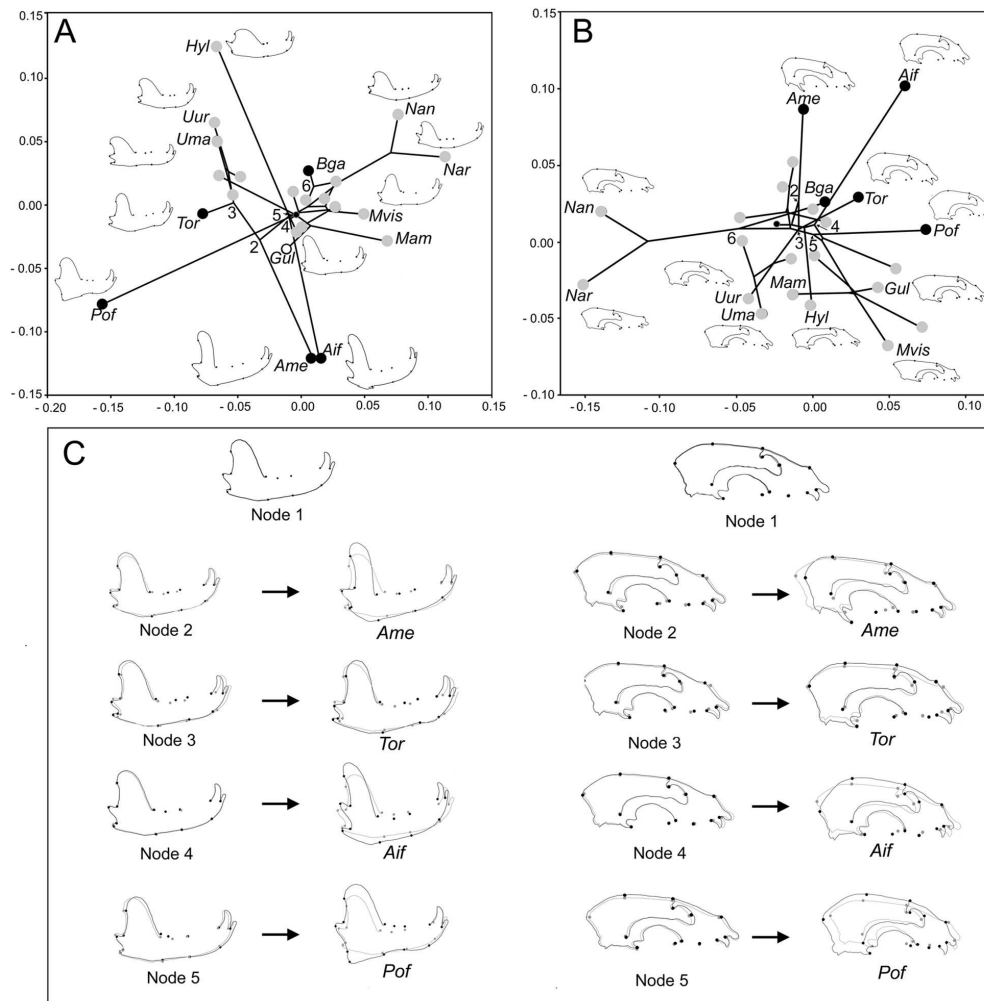


Figure 4. Patterns of skull shape evolution in caniform carnivorans. A, projection of the tree topology of Figure 2 onto the morphospace depicted with the first two PCs derived from jaw morphology. B, projection of the phylogenetic tree of Figure 2 onto the morphospace developed with PCI and PCIII of cranial analysis. Note that *C. lupus*, used only for rooting the tree has been omitted for clarity in both phylomorphospaces. C, reconstruction of ancestral skull shapes. For clarity of illustration, only the reconstructed morphologies for the internal nodes of the most direct ancestors of the herbivorous carnivorans are shown. The shape configuration reconstructed for the root of the tree is depicted in grey tone and the shape configurations for each node are drawn in black. Node numbers are represented in the phylogeny of Figure 2. Abbreviations as in Figure 3.

165x167mm (600 x 600 DPI)

1 **APPENDIX SI. Dietary categories used in this study.** Those species that consume
2 more than 90% of vegetal matter are considered as herbivores (in grey). The main
3 dietary resources consumed (vegetal matter, **VM**; invertebrates, **I**; vertebrates, **V**) are
4 based on the percentage of these items over the total scat volume; values between
5 brackets are derived from percentages of occurrence. It is worth noting that the diet of
6 *B. gabbi* has only been quantified in a single area (central Panama) of its entire
7 geographic range (from Nicaragua to Bolivia), where Kays (2000) reported a diet of
8 100% of vegetal matter. However, it is possible that it behaves more as an omnivore in
9 other localities (Nowak, 1991). References: 1, Johnson et al. 1988; 2, Wei et al. 1999; 3,
10 Pradhan et al. 2001; 4, Llewellyn & Uhler 1952; 5, Nowak & Walker 1999; 6, Kays
11 2000; 7, Taylor 1954; 8, Estrada & Coates-Estrada 1985; 9, Poglayen-Neuwall 1992;
12 10, Bisbal 1986; 11, Gompper 1996; 12, Valenzuela 1998; 13, Julien-Laferrière 1993;
13 14, Kays 1999; 15, Gatti et al. 2006; 16, Schoonover & Marshall 1951; 17,
14 Bartoszewicz et al. 2008; 18, Kruuk & Parish 1981; 19, Roper & Mickevicius 1995; 20,
15 Van Dijk et al. 2008; 21, Erlinge 1969; 22, Bartoszewicz & Zalewski 2003; 23,
16 Zielinski et al. 1983; 24, Jedrzejewski et al. 1993; 25, Mattson 1998; 26, Peyton 1980;
17 27, Joshi et al. 1997; 28, Elgmork & Kaasa 1992; 29, Persson et al. 2001; 30, Beeman &
18 Pelton 1980; 31, Stratman & Pelton 1999; 32, Derocher et al. 2002; 33, Oritsland 1977;
19 34, Lowry et al. 1988. . Note: no feliform carnivoran shows the same degree of
20 specialization toward folivory or frugivory as the arctoid species studied here. For this
21 reason, we have restricted our analyses to caniform carnivorans. However, it is worth
22 noting that fruit may represent a significant fraction of the diet in a number of viverrids
23 (Muñoz-García and Williams 2005; MacDonald 2009), including the African civet
24 (*Civettictis civetta*), the Asian palm-civet (*Paradoxurus hermaphroditus*), the binturong
25 (*Arctictis binturong*) and the small-toothed palm-civet (*Arctogalidia trivirgata*). This is

26 particularly the case of the African palm-civet (*Nandinia binotata*), in which Charles-
27 Dominique (1978) estimated that fruit constituted 90% of scat volume. However, a
28 subsequent study (Ray and Sunquist 2001) showed a lower percentage of fruit
29 consumption (43.6% of scat volume) for this species.

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Family	Species	% VM	% I	% V
Ailuridae	<i>Ailurus fulgens</i>	100 ^{1, 2, 3}	0 ^{1, 2, 3}	0 ^{1, 2, 3}
Mephitidae	<i>Mephitis mephitis</i>	11 ⁴	53 ⁴	36 ⁴
Procyonidae	<i>Bassaricyon alleni</i> ⁵	-	-	-
Procyonidae	<i>Bassaricyon gabbii</i>	100 ⁶	0 ⁶	0 ⁶
Procyonidae	<i>Bassariscus astutus</i>	18 ⁷	45 ⁷	37 ⁷
Procyonidae	<i>Bassariscus sumichrasti</i>	40 ⁸ , 65 ⁹	40 ⁸ , 25 ⁹	20 ⁸ , 10 ⁹
Procyonidae	<i>Nasua nasua</i>	41 ¹⁰	58 ¹⁰	2 ¹⁰
Procyonidae	<i>Nasua narica</i>	55 ¹¹ , 46 ¹²	45 ¹¹ , 40 ¹²	1 ¹¹ , 15 ¹²
Procyonidae	<i>Potos flavus</i>	100 ^{13, 14}	0 ^{13, 14}	0 ^{13, 14}
Procyonidae	<i>Procyon cancrivorus</i>	(88) ¹⁵	(61) ¹⁵	(13) ¹⁵
Procyonidae	<i>Procyon lotor</i>	55 ¹⁶ , 2 ¹⁷	32 ¹⁶ , 12 ¹⁷	6 ¹⁶ , 85 ¹⁷
Mustelidae	<i>Meles meles</i>	33 ¹⁸ ; 38 ¹⁹	55 ¹⁸ ; 35 ¹⁹	12 ¹⁸ ; 20 ¹⁹
Mustelidae	<i>Gulo gulo</i>	0 ²⁰	0 ²⁰	100 ²⁰
Mustelidae	Tayra, <i>Eira barbara</i>	21 ¹⁰	10 ¹⁰	69 ¹⁰
Mustelidae	<i>Mustela vison</i>	0 ^{21, 22}	4 ²¹ , 1 ²²	96 ²¹ , 99 ²²
Mustelidae	<i>Martes americana</i>	8 ²³ , 3 ²⁴	8 ²³ , 1 ²⁴	72 ²³ , 97 ²⁴
Ursidae	<i>Ailuropoda melanoleuca</i>	100 ¹ , 99 ²⁵	0 ^{1, 25}	0 ^{1, 25}
Ursidae	<i>Tremarctos ornatus</i>	96 ²⁶	3 ²⁶	1 ²⁶
Ursidae	<i>Ursus ursinus</i>	22 ²⁷	78 ²⁷	0 ²⁷
Ursidae	<i>Ursus arctos</i>	54 ²⁸ , 82 ²⁵ , 29 ²⁹	11 ²⁸ , 7 ²⁵ , 7 ²⁹	35 ²⁸ , 10 ²⁵ , 64 ²⁹
Ursidae	<i>Ursus americanus</i>	81 ³⁰ , 90 ²⁵ , 80 ³¹	11 ³⁰ , 5 ²⁵ , 7 ³¹	0 ³⁰ , 4 ²⁵ , 1 ³¹
Ursidae	<i>Ursus maritimus</i>	2 ²⁵ , 0 ³²	0 ^{25, 32}	98 ²⁵ , 100 ³²
Phocidae	<i>Hydrurga leptonyx</i>	0 ^{33, 34}	45 ^{33, 34}	55 ^{33, 34}

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1 **APPENDIX SII. Genbank access numbers and sources for ctyochrome b**
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3 Strobeck 2002; 3, Ledje & Arnason 1996; 4, Arnason *et al.* 2006; 5, Koepfli & Wayne
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5 2001; 10, Fernandes *et al.* 2008; 11, Peng *et al.* 2007; 12, Talbot & Shields 1996; 13,
6 Yu *et al.* 2007; 14, Delisle & Strobeck 2002.

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Family	Species	Number ^{source}
Ailuridae	<i>Ailurus fulgens</i> , red panda	AM711897 ¹
Canidae	<i>Canis lupus</i> , gray wolf	AY598494S06 ²
Mephitidae	<i>Mephitis mephitis</i> , striped skunk	X94927 ³
Phocidae	<i>Hydrurga leptonyx</i> , leopard seal	AM181026 ⁴
Procyonidae	<i>Bassariscus astustus</i> , ringtail	AF498159 ⁵
Procyonidae	<i>Bassariscus sumichrasti</i> , cacomistle	DQ660301 ⁶
Procyonidae	<i>Bassaricyon alleni</i> , Allen's olingo	DQ660299 ⁶
Procyonidae	<i>Bassaricyon gabii</i> , bushy-tailed olingo	X94931 ³
Procyonidae	<i>Nasua nasua</i> , South American coati	DQ660303 ⁶
Procyonidae	<i>Nasua narica</i> , white-nosed coati	DQ660302 ⁶
Procyonidae	<i>Procyon lotor</i> , raccoon	AB297804 ⁷
Procyonidae	<i>Procyon cancrivorus</i> , crab-eating raccoon	DQ660305 ⁶
Procyonidae	<i>Potos flavus</i> , kinkajou	DQ660304 ⁶
Mustelidae	<i>Eira barbara</i> , tayra	AF498154 ⁵
Mustelidae	<i>Gulo gulo</i> , wolverine	X94921 ³
Mustelidae	<i>Martes americana</i> , American martin	AY121352 ⁸
Mustelidae	<i>Meles meles</i> , Eurasian badger	AB049794 ⁹
Mustelidae	<i>Mustela vison</i> , North American mink	EF689073 ¹⁰
Ursidae	<i>Ailuropoda melanoleuca</i> , giant panda	EF212882 ¹¹
Ursidae	<i>Tremarctos ornatus</i> , spectacled bear	U23554 ¹²
Ursidae	<i>Ursus ursinus</i> , sloth bear	EF196662 ¹³
Ursidae	<i>Ursus americanus</i> , American black bear	AF303109.1 ¹⁴
Ursidae	<i>Ursus arctos</i> , brown bear	AF303110 ¹⁴
Ursidae	<i>Ursus maritimus</i> , polar bear	AF303111 ¹⁴

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