

1 **The use of Respirometry as a tool for the diagnosis of Waste Water**
2 **Treatment Plants. A real case study in Southern Spain.**

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9 **Abstract**

10 The influence of operating conditions of wastewater treatment plants in microbial
11 composition of activated sludge should be controlled to evaluate the efficiency of the
12 plant. Respirometry has been widely applied as a useful tool for a better understanding of
13 the processes taking place in activated sludge system. In the present study, respirometry
14 tests have provided relevant information about possible faults in the plant and potential
15 improvements. With this aim, experimental tests were applied to a real wastewater
16 treatment plant serving an equivalent population of 730,000. To evaluate the sludge
17 activated process, the samples of mixed liquor studied were: those collected from inlet
18 and outlet flow of reactor system and the laboratory prepared samples from mixing those
19 from the influent/effluent wastewater to/from reactor with the returned activated sludge.
20 The comparison of reference values with experimental results of factor charge (0.9-1.5),
21 organic loading rate ($>0.5 \text{ g}_{\text{BOD}} \text{ g}_{\text{MLVSS}}^{-1} \text{ d}^{-1}$) and specific oxygen uptake rate in mixed
22 liquor for outlet samples from reactor (mainly $> 10 \text{ mg}_{\text{O}_2} \text{ g}^{-1} \text{ h}^{-1}$) obtained from
23 respirometry tests revealed that the plant was operated at low efficiency and at overload.
24 These conditions have a direct influence on the efficiency of biological treatment and the
25 secondary clarifier operation. The sedimentation of activated sludge was evaluated
26 through the determination of the sludge volume index during 10 months obtaining an
27 average value of 208 mL g^{-1} . Respirometry tests also revealed that the decrease of
28 biomass activity was associated with the wastewater instead of with the biomass.

29 **Keywords:**

30 Respirometry, WWTP optimization, WWTP diagnosis

31 **Highlights**

- 32 • Respirometry as a tool for detecting problems of activated sludge system.
- 33 • Detection of operation at low efficiency and at overloads conditions of a real
- 34 WWTP.
- 35 • The reduction of biomass activity was associated with the wastewater.

36 **Nomenclature**

b_H	Decay rate of heterotrophic biomass, d^{-1}
BOD	Biochemical Oxygen Demand, $mg_{O_2} L^{-1}$
CO	Consumed oxygen in the wastewater, $mg_{O_2} L^{-1}$
COD	Chemical Oxygen Demand, $mg_{O_2} L^{-1}$
COD_e	COD removed in the biological reactor, $mg_{O_2} L^{-1}$
COD_s	Soluble COD, $mg_{O_2} L^{-1}$
DO	Dissolved Oxygen, $mg L^{-1}$
f_{cv}	COD to VSS ratio $mg_{COD_{bact.}} mg_{MLVSS}^{-1}$
FC	Factor Charge
F/M	Organic loading rate, Food to Microorganism ratio, $kg_{BOD} \cdot kg_{MLVSS}^{-1} d^{-1}$
HRT	Hydraulic Retention Time, h
k :	First-order reaction rate constant of COD consume, d^{-1}
k_d	Biomass fraction oxidized per day during endogenous respiration, d^{-1}
K_{DO}	Half-saturation constant for dissolved oxygen, $mg L^{-1}$
K_S	Half-saturation constant for dissolved substrate, $mg L^{-1}$
MLSS	Mixed Liquor Suspended Solids, $mg L^{-1}$
MLVSS	Mixed Liquor Volatile Suspended Solids, $mg L^{-1}$
OUR	Oxygen Uptake Rate in mixed liquor, $mg_{O_2} L^{-1} h^{-1}$
OUR_{end}	Endogenous respiration rate, $mg_{O_2} L^{-1} h^{-1}$
$OUR_{end.A}$	Endogenous respiration rate of autotrophic bacteria, $mg_{O_2} L^{-1} h^{-1}$
$OUR_{end.H}$	Endogenous respiration rate of heterotrophic bacteria, $mg_{O_2} L^{-1} h^{-1}$
OUR_N	Oxygen uptake rate in mixed liquor by nitrification, $mg_{O_2} L^{-1} h^{-1}$
OUR_S	Oxygen uptake rate for organic substrate oxidation, $mg_{O_2} L^{-1} h^{-1}$
q	Specific COD utilization rate, $mg_{COD} mg_{MLVSS}^{-1} d^{-1}$

q_{DO}	Specific COD utilization rate under DO influence, $\text{mg}_{\text{COD}} \text{mg}_{\text{MLVSS}}^{-1} \text{d}^{-1}$
R	Recirculation Rate
R_S	Actual dynamic respiration rate, $\text{mg}_{\text{O}_2} \text{L}^{-1} \text{h}^{-1}$
S	COD of dissolved substrate in the biological reactor, mg L^{-1}
S_{ef}	Effluent soluble COD from the biological reactor mg L^{-1}
S_{in}	Influent soluble COD to the biological reactor mg L^{-1}
SOUR	Specific Oxygen Uptake Rate in mixed liquor, $\text{mg}_{\text{O}_2} \text{g}^{-1} \text{h}^{-1}$
SRT	Solid Retention Time, d
SVI	Sludge Volume Index, mLg^{-1} ,
U	Maxima COD utilization rate, $\text{mg}_{\text{COD}} \text{L}^{-1} \text{h}^{-1}$
U_{act}	Real COD utilization rate in the biological process, $\text{mg}_{\text{COD}} \text{L}^{-1} \text{d}^{-1}$
X_V	Biomass concentration in the biological reactor, $\text{mg}_{\text{MLVSS}} \text{L}^{-1}$
X_H	Active heterotrophic biomass concentration, $\text{mg}_{\text{COD}} \text{L}^{-1}$
$Y_{H.COD}$	Yield coefficient of heterotrophic biomass referred to chemical oxygen demand, $\text{mg}_{\text{CODbact}} \text{mg}_{\text{CODs}}^{-1}$
$Y_{H.VSS}$	Yield coefficient of heterotrophic bacteria expressed as substrate converted to biomass $\text{mg}_{\text{MLVSS}} \text{mg}_{\text{CODs}}^{-1}$
Y_{obs}	Observed heterotrophic cellular yield coefficient $\text{mg}_{\text{MLVSS}} \text{mg}_{\text{CODs}}^{-1}$
μ_H	Growing rate of the heterotrophic biomass, d^{-1}
μ_{Hmax}	Maxima growing rate of the heterotrophic biomass, d^{-1}

37 1. Introduction

38 The activated sludge (AS) process, based on the production of a high-quality effluent
39 using microorganism to degrade organic contaminants contained in wastewater, is the
40 most widely used biological process [1]. The AS processes enhance the biodegradation
41 as a results of the large number of microbes metabolizing the food source [2]. The
42 operation could be divided mainly into three steps: a) sorption, the food source is available
43 for the microorganisms; b) growth, the soluble and suspended organic matter is
44 metabolized by microorganism; c) settling, the flocculate biomass is separated from the
45 suspension to form sludge by gravity and the final effluent, theoretically free of solids
46 [3]. The AS experimental system consists basically of the aeration tank and a settlement
47 tank, known as the clarifier. First, wastewater from the primary treatment is added to the
48 aeration tank containing the microorganisms. The aeration in AS process aims not only
49 at supplying oxygen in the reactor for microorganism respiration but also at ensuring
50 maximum contact between the surface of the microorganisms and the wastewater by

51 agitation. It should be noted that the AS capacity to flocculate makes economically viable
52 to be applied in large scale installations due to the acceptable sedimentation rates of flocs
53 in clarifiers. Recirculation of AS from the clarifier into the aeration tank after settling is
54 usually carried out to ensure the required microbial population [4].

55 The variation of influencing factors in wastewater treatment plants (WWTPs) causes
56 important changes into the microbial composition of AS. Thus, it is required to develop
57 a procedure to control the interaction of biomass with wastewater components.
58 Respirometry, as a tool for modelling and optimizing the AS process, provides useful
59 information associated with substrate removal and biomass growth. It should be noted
60 that the processes requiring oxygen are, mainly: carbonaceous material removal from
61 waste stream by the growth of heterotrophic bacteria and nitrification and oxidation of
62 ammonia nitrogen to nitrate-nitrogen by autotrophic organism [5].

63 The endogenous phase plays an important role in the respirometric methods. During this
64 phase, the loss of microbial activity takes place as a result of no external substrate is
65 added. Thus, the internal generation of substrate is associated with decay and hydrolysis
66 processes. The measure of endogenous respiration rate allows through evaluation of
67 respirograms the determination of the biochemical oxygen demands and AS growth
68 kinetics [6,7].

69 The International Association on Water Quality (IAWQ) deals with the application of
70 respirometry in control of the AS process. In 1998, the principles of respiration rate
71 measurement and respirometry-based control strategies were published [8]. IAWQ
72 developed the Activated Sludge Models (ASM) describing biological and chemical
73 processes taking place in AS system. In 1987, it was published the ASM1 which describes
74 biological oxidation of carbon, nitrification and denitrification processes [9]. This widely-
75 applied model presents some limitation about phosphorus removal entailing the
76 development of ASM2 in 1995 [10] and ASM2d in 1999 [11]. The later development of
77 ASM3 coped with limitations of previous models such as difference of decay rates of
78 nitrifiers under aerobic and anoxic conditions [12]. Some authors have modified and
79 calibrated the ASM3 model with full-scale operating data to describe the performance of
80 a real WWTP [13].

81 In addition to the use of respirometry in control, it is applied to quantify and to detect
82 disturbances that could entail a poor performance of the plant. For example, the presence
83 of toxicants could involve the plant objectives were not reached [1]. Standardized test

84 widely used along the time are based on the reduction of respiration rate of AS in presence
85 of toxicants [14–16]. Currently, there is a wide range of respirometers from manually
86 operated bottle containing a dissolved oxygen sensor to sophisticate automatic
87 instrument. However, the operation of all respirometers is based on the evaluation of the
88 rate at which biomass takes up dissolved oxygen from the liquid, the parameter widely
89 known as Oxygen Uptaken Rate (OUR) [5]. Examples of the wide-range of relevant
90 information type provided are: direct indication of activity of biomass, characteristics of
91 wastewater as concentration, fractionation and toxicity and quantitative information about
92 the way of interaction of biomass with wastewater components [8].

93 The potential of respirometry has been widely probed in numerous applications.
94 Vasiliadou et al. [17] carried out AS respirometry tests to study the negative effects of
95 pharmaceutical compounds on the performance of secondary biological process in
96 WWTP. The applied methodology allowed them to obtain interesting results about the
97 toxic and inhibitory effects of pharmaceuticals on microbial communities of AS.
98 Mrafkova et al. [18] evaluated the influence of heavy metals presence in AS by
99 respirometric measurements using an oxygen probe. They concluded that the measure of
100 OUR plays an important role on the identification of possible inhibitory effects of heavy
101 metals present in aqueous fraction. Ning et al. [19] dealt with the control of nitrogen
102 nutrient addition to AS process in WWTPs, a critical parameter in biological treatments.
103 With this aim, they studied two AS samples using respirometric methods: a municipal
104 sludge and an industrial sludge. From results, experimental methods were developed to
105 quantify and identify nitrogen nutrient deficiency in AS. Oliveira et al. [20] calculated
106 the heterotrophic kinetic parameters in AS plant modified by placing a sludge retention
107 reactor through respirometry. In this work, it was compared two different plant
108 configurations to evaluate the minimization of excess sludge. Also, the respirometry tests
109 are used to diagnosis and optimization of WWTPs, Pons et al. [21] proposed a method
110 based on the evaluation of OUR curve to improve the detection of faults in a real plant.
111 They concluded that changes in characteristic of incoming wastewater could be detected
112 by respirometry tests.

113 To the best of our knowledge, there is a clear lack of studies dealing with the use of
114 respirometry as a tool for monitoring and optimizing the AS processes in full-scale
115 WWTPs. Therefore, the present study proposes a methodology based on the combination
116 of respirometry tests with conventional procedures to evaluate a real WWTP. From

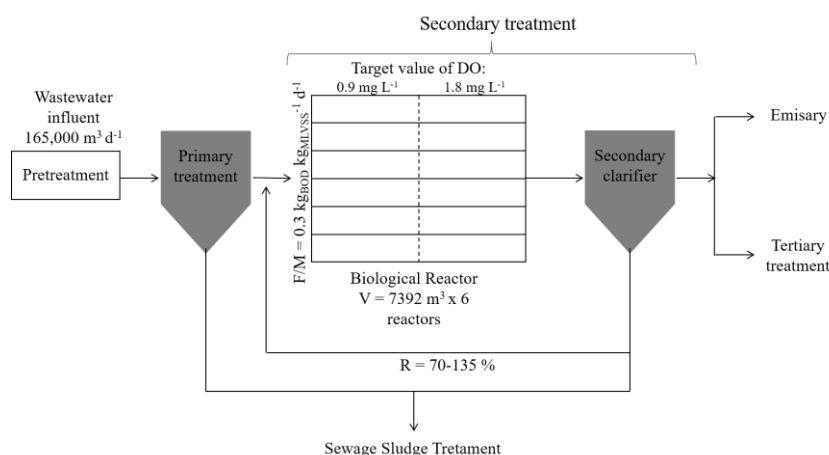
117 experimental results, it was obtained relevant conclusions regarding possible faults in the
118 plant operation and potential improvements.

119 2. Material and methods

120 2.1. Wastewater Treatment Plant

121 This study was carried out in the WWTP of Guadalhorce located in Malaga and operated
122 by the Malaga Municipal Water Company (EMASA). The plant, schematically shown in
123 Fig. 1, treats about $165,000 \text{ m}^3 \text{ d}^{-1}$ serving an equivalent population of 730,000. The plant
124 is designed to treat a municipal effluent load of 1,280,000 population equivalent.

125 The wastewater is subjected to preliminary treatment, primary decantation, activated
126 sludge processes as secondary treatment and tertiary treatment. The sewage sludge is
127 stabilized by mesophilic anaerobic digestion followed by mechanical and thermal
128 dewatering.



129

130 Figure 1. Schematic diagram of the Guadalhorce WWTP.

131 The biological wastewater treatment consist of 6 rectangular reactors (Fig. 2), with a
132 volume of 7392 m^3 of which 3 or 4 units are usually in operation. The aeration system
133 supplies the required oxygen to meet a concentration of dissolved oxygen (DO) value of
134 0.9 mg L^{-1} from the inlet to the middle of the reactor and a concentration value of
135 1.8 mg L^{-1} from the middle to the reactor outlet.



136

137 Figure 2. Aerial photograph of biological reactors (Guadalhorce WWTP). Source:
138 Google Earth

139 The flocculated biomass, entering from reactors into the sedimentation tank, settles out
140 of suspension forming the sludge and the clarified effluent. Some of the sludge in the
141 clarifiers is returned to the reactor in order to maintain the microbial population at
142 $2800 \text{ mg}_{\text{MLVSS}} \text{ L}^{-1}$. The sludge recirculation ratio is adjusted hourly depending on the
143 plant outflow rate. This plant was designed to operate at a food to micro-organism ratio
144 (F/M) of $0.3 \text{ kg}_{\text{BOD}} \text{ kg}_{\text{MLVSS}}^{-1} \text{ d}^{-1}$ associated with the sum of wastewater from the primary
145 treatment and the returned AS. The excess sludge produced is submitted to anaerobic
146 digestion. The target Solid Retention Time (SRT) is 4.5 days.

147 2.2. Respirometry measurements

148 Respirometry tests have been applied not only to samples of mixed liquor collected from
149 inlet (FED) and outlet (UNFED) flow of reactor system but also to laboratory prepared
150 samples. The synthetic samples were prepared mixing those from the influent wastewater
151 to reactor or effluent wastewater and the returned activated sludge to simulate samples
152 from inlet and outlet flow of reactor system, respectively. With the aim of studying
153 endogenous respiration, sludge was submitted to aeration during 24 hours. The analyzed
154 samples were collected in different days during the experimental time.

155 Respirometric tests were carried out with a BM-T Advance sludge respirometer supplied
156 by the company Surcis. The setup basically consists of a 1 L capacity biological reactor,
157 a dissolved oxygen meter, a peristaltic pump for recirculating samples and pH and
158 temperature control. The system gives a set of measurements and calculations for decisive
159 parameters approached to manage, design and research the biological process of
160 wastewater treatment. This technology allows operating at three different modes: static,

161 cyclic and dynamic. It should be highlight that for all measurements the temperature was
 162 maintained at 20.0 ± 0.1 °C and the pH value within the range 7-8. The duration of
 163 experimental tests ranged from 0.5 to 1 hour. In this work, static mode was used with the
 164 aim of evaluating the biomass specific oxygen consumption rate while the dynamic
 165 respiration rate tests were carried out to determine the organic matter degradation rate and
 166 the amount of degraded organic matter.

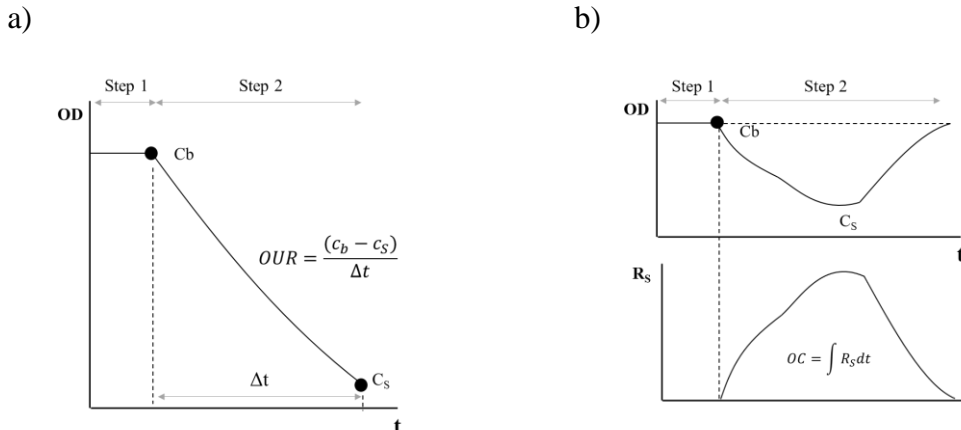


Figure 3. Respirometry test at: a) static mode and b) dynamic mode

167 Static respirometric tests were conducted to determine the Oxygen Uptake Rate (OUR).
 168 As shown in Fig. 3.a, the OUR value is obtained from a two-step procedure. In step 1, the
 169 dissolved oxygen (OD) is adjusted to the reference value C_b . During this step, the
 170 recirculation rate is high enough for considering the system as a complete mix reactor. In
 171 step 2, the aeration and recirculation is stopped and, consequently, the OD decreases to
 172 the final value C_s . The OUR value is directly obtained from the slope of this curve.

173 From the value of OUR, the Specific Oxygen Uptake Rate (SOUR) can be obtained as:

$$\text{SOUR} = \frac{\text{OUR}}{\text{MLVSS}} \quad \text{Eq. 1}$$

174 where MLVSS is the concentration of mixed liquor volatile suspended solids.

175 During dynamic test, it should be noted that the peristaltic pump and the aeration system
 176 do not stop until the test has finished, *i.e.*, when all the biodegradable material has been
 177 completely consumed. Operating at dynamic mode, the Consumed Oxygen (CO) can be
 178 obtained in a two-step procedure as shown in Fig. 3.b. In step 1, it is adjusted the dissolved
 179 oxygen of reference (C_b). In step 2, the sample is added causing the decrease of the

180 dissolved oxygen as a consequence of the biological oxidation. From the respirometric
181 curve (R_s vs t), the values of dynamic respiration rate (R_{Smax} and $R_{Saverage}$) can be obtained.
182 The consumed oxygen (CO) is obtained as the integral of R_s with respect to time.

183 The total Oxygen Uptake Rate during the endogenous phase (OUR_{end}) could be divided
184 into heterotrophic ($OUR_{end,H}$) and autotrophic ($OUR_{end,A}$) biomass contributions. With the
185 aim of obtaining the value of $OUR_{end,H}$, it is used the nitrification inhibitor: allylthiourea
186 (ATU, 3-4 mg/g de MLVSS). Thus, for processes without nitrification, the total OUR_{end}
187 is equal to $OUR_{end,H}$ and the OUR associated with the oxidation of organic substrate could
188 be obtained as:

$$OUR_s = OUR_{FED} - OUR_{end,H} \quad \text{Eq. 2}$$

189 where OUR_{FED} is the total oxygen uptake rate measured from the inflow of the biological
190 reactor.

191 The chemical oxygen demand (COD) was determined using the spectrophotometer Dr.
192 Lange DR5000 according to the standard method ISO 17025. The mixed liquor
193 suspended solids (MLSS), the mixed liquor volatile suspended solids (MLVSS), the
194 sludge volume index (SVI) and the biochemical oxygen demand (BOD) were determined
195 according to standard methods for the Examination of Water and Wastewater [22].

196 **2.3. Determination of biokinetic parameters of the heterotrophic biomass.**

197 With the aim of evaluating microorganism activity in the activated sludge, the yield
198 coefficient of heterotrophic biomass referred to chemical oxygen demand is studied
199 ($Y_{H,COD}$) under dynamic operation of respirometer. The samples used were the sludge
200 from the outflow of biological reactor under endogenous conditions (aeration during 24
201 hours) and, also, under normal conditions to compare. Two different experimental
202 procedures varying in the substrate: sodium acetate or wastewater from the influent to the
203 biological reactor, were applied. All these tests were carried out about the same pH,
204 temperature conditions and MLVSS concentration as the actual process.

205 For the determination of $Y_{H,COD}$ using sodium acetate: A volume of 40-50 mL of a sodium
206 acetate solution ($400 \text{ mg L}^{-1} \approx 275 \text{ mg COD/L}$) was added in 1 L of endogenous sludge.
207 From respirometry tests, the consumed oxygen (CO) was obtained.

208 For determination of $Y_{H,COD}$ using as substrate samples from wastewater, since this
209 parameter is only associated with heterotrophic biomass, it was required to add

210 allylthiourea (ATU) to inhibit the autotrophic biomass. The procedure basically consisted
 211 of: collection and filtration (<0.45µm) of samples from the influent to the biological
 212 reactor and from the final effluent; determination of *CODs* of samples from the influent
 213 to the biological reactor and from the final effluent, S_{in} and S_{ef} , respectively; calculation
 214 of *CODs* removed as $S_e = S_{in} - S_{ef}$, and, respirometry tests applied to filtered samples
 215 from the influent to the biological reactor to determine the consumed oxygen (*CO*).

216 The $Y_{H.COD}$ was determined as [23,24]:

$$Y_{H.COD} = 1 - \frac{CO}{COD_{s_removed}} \quad \text{Eq. 3}$$

217 where $COD_{s_removed}$ was COD of sodium acetate solution or S_e for the methods carried out
 218 with sodium acetate and wastewater respectively.

219 This coefficient could be expressed as mass of VSS in relation with the consumed
 220 substrates expressed as CO mg MLVSS mg CO ⁻¹:

$$Y_{H.VSS} = \frac{Y_{H.COD}}{f_{cv}} \quad \text{Eq. 4}$$

221 where the value of f_{cv} , the COD to VSS ratio, generally accepted
 222 is 1.42 mg CO _{bact.} mgMLVSS⁻¹ [25].

223 Other parameter associated with $Y_{H.COD}$ is the observed heterotrophic cellular yield
 224 coefficient, Y_{obs} (mg MLVSS/mg CO _s), which is used to estimate the sludge net production,
 225 obtained as [26]:

$$Y_{obs} = \frac{Y_{H.VSS}}{(1 + k_d \cdot SRT)} \quad \text{Eq. 5}$$

226 where k_d (d⁻¹) is the biomass fraction oxidized per day during endogenous respiration with
 227 a typical value $k_d \approx 0.06$ d⁻¹ and SRT (d) is the solid retention time.

228 The heterotrophic cellular decay rate in endogenous respiration, b_H (d⁻¹) can be obtained
 229 as [27]:

$$b_H = 0.24 \cdot 1.03^{(T-20)} \quad \text{Eq. 6}$$

230 where T (°C) is the temperature in the plant.

231 or by Ekama Method [28]:

$$b_H = \frac{k_d}{(1 - Y_{H,VSS}(1 - f_p))} \quad \text{Eq. 7}$$

232 where f_p : Particulate biomass fraction ≈ 0.08 .

233 The active heterotrophic biomass (X_H , mg L⁻¹) was determined from the value of
234 $OUR_{\text{end,H}}$ (mg L⁻¹ h⁻¹) as [29]:

$$X_H = \frac{24 \left(\frac{h}{d}\right) \cdot OUR_{\text{end,H}}}{(f_{cv} \cdot b_H)} \quad \text{Eq. 8}$$

235 The values of COD utilization rate (U , mg L⁻¹ h⁻¹) and the specific COD utilization rate
236 (q , mgCOD mgMLVSS⁻¹ d⁻¹) are obtained directly from the respirometer tests. Since these
237 values are obtained under maximum oxygenation conditions, it must be corrected
238 applying the half-saturation constant, K_{OD} , to obtain U and q under the influence of the
239 concentration of dissolved oxygen U_{DO} and q_{DO} respectively [29]:

$$U_{DO} = U \cdot \left(\frac{DO}{(K_{DO} + DO)}\right) \quad \text{Eq. 9}$$

$$q_{DO} = q \cdot \left(\frac{DO}{(K_{DO} + DO)}\right) \quad \text{Eq. 10}$$

240 where U is the maximum value of the CODs utilization rate (mgCODs L⁻¹ h⁻¹) and q is the
241 maximum value of specific CODs utilization rate (mgCOD mgMLVSS⁻¹ d⁻¹), both obtained
242 from the respirogram.

243 The value of the half-saturation constant for dissolved oxygen is $K_{DO} \approx 0.1$. Although this
244 value can be omitted, ($K_{DO} = 0$), when the medium value of dissolved oxygen
245 (DO , mg L⁻¹) is more than 2 mg L⁻¹

246 From the respirograms, it is also possible to estimate the half-saturation constant K_S
247 (mg L⁻¹) following the Michael-Menten equation and the real COD utilization rate in the
248 biological process (U_{act} , mgCOD L⁻¹ d⁻¹) as:

$$U_{act} = \frac{COD_e}{HRT} \quad \text{Eq. 11}$$

249 where COD_e is the real COD removed in the biological reactor (mg L⁻¹) and HRT is the
250 hydraulic retention time (d).

251 The growing rate of the heterotrophic biomass (μ_H , d⁻¹) is associated with the active
 252 heterotrophic biomass concentration (X_H) [30]:

$$\mu_H = Y_{H,VSS} \cdot \left(\frac{U_{act}}{X_H} \right) - b_H \quad \text{Eq. 12}$$

$$\mu_{Hmax} = \mu_H \left(\frac{OD + K_{OD}}{OD} \right) * \left(\frac{S + K_S}{S} \right) \quad \text{Eq. 13}$$

253 where:

254 S: COD of dissolved substrate in the biological reactor, mg L⁻¹.

255 3. Results and discussion

256 3.1. Evaluation of Sludge Activated

257 Results from the respirometry tests applied to samples of mixed liquor collected from
 258 inlet (FED) and outlet (UNFED) flow of the biological reactor (fresh samples) together
 259 with values obtained from laboratory prepared samples (synthetic samples) are shown in

260 Table 1. These experiments were carried out during several months.

261 Table 1. Results of Respirometry tests applied to fresh and synthetic samples.

	Date	Sample	MLSS	MLVSS	Volatile	OUR	SOUR	F/M	FC	HRT	SRT	R
			g L ⁻¹	g L ⁻¹	%	mg L ⁻¹ h ⁻¹	mg g ⁻¹ h ⁻¹	gBOD gMLVSS ⁻¹ d ⁻¹	h	d	%	
Fresh samples	10/14/16	FED	2.4	2.1	88.2	32.3	15.4	0.7	1.2	3.9		70
		UNFED	2.5	2.1	83.9	26.6	12.7					
	10/18/16	FED	3.4	3.1	90.1	43.7	14.1	0.8	0.9	3.6	2.6	135
		UNFED	3.3	2.9	87.8	47.0	13.3					
	10/25/16	FED	3.8	3.4	89.5	36.3	10.7	0.6	1.1	3.6	5.1	135
		UNFED	3.9	3.4	87.0	32.1	9.5					
	12/27/16	FED	4.2	3.3	78.0	46.0	11.9	0.7	1.0	3	4.7	135
		UNFED	4.7	4.1	86.0	44.1	10.9					
	04/12/17	FED	5.8	4.8	83.0	39.0	8.5	0.5	1.0	3.7	2.3	130
		UNFED	4.6	3.9	84.0	37.7	9.7					
Synthetic samples	05/15/17	FED	3.4	3	88.4	34.0	11.2	0.7	1.5	3.3	2.5	90
		UNFED	3.1	2.7	88.4	22.0	8.0					
	10/25/16	FED	4.0	3.6	90.4	38.0	10.6	0.6	1.0	3.6	5.1	135
		UNFED	4.2	3.8	89.6	39.0	10.3					
	11/04/16	FED	4.0	3.6	89.6	32.2	8.9	0.6	1.0	3.8	3.9	135
		UNFED	4.1	3.6	87.8	31.7	8.8					
	11/29/16	FED	2.5	2.2	89.4	39.7	18.0	0.5	1.4	4.0	3.7	90
		UNFED	2.4	2.1	88.9	28.0	13.1					

262 As can be observed, there are no important differences between the values of OUR and
 263 SOUR obtained for fresh samples from sampling point in the biological reactor of the
 264 plant and synthetic samples. Results of the OUR and SOUR from samples in the inlet
 265 (FED) and outlet (UNFED) flow from the biological reactor are dependent on the
 266 recirculation rates (R). For the higher values of R, 130-135%, the OUR and SOUR values
 267 were similar for FED and UNFED samples, i.e., secondary treatment operates similarly to
 268 a continuously stirred tank reactor (CSTR). However, for lower values of R, 70-90%, the
 269 values of OUR for FED and UNFED samples were different, i.e., biological reactors
 270 operate similarly to a plug flow reactor (PFR).

271 With the aim of evaluating the biological process, the factor charge (FC) as the ratio of
 272 OUR from inlet (FED) to outlet (UNFED) samples from the biological reactor has been
 273 obtained. Thus, comparing experimental results of FC (Table 1) with values shown in
 274 Table 2a, it could be concluded that the diagnostic is: “Low Efficiency or low loading”.

275 However, to understand these results, it should be noted that all the experimental F/M
 276 values (

277 Table 1) were above $0.5 \text{ kg}_{\text{BOD}} \cdot \text{kg}_{\text{MLVSS}}^{-1} \text{ d}^{-1}$ which indicates that the organic load was not
 278 low, excluding the assessment of low loading.

279 On the other hand, for the design operating conditions ($F/M=0.3 \text{ kg}_{\text{BOD}} \text{ kg}_{\text{MLVSS}}^{-1} \text{ d}^{-1}$
 280 and $\text{SRT}=4.5$ days.) the results should present values of SOUR for UNFED samples from
 281 7 to $10 \text{ mgO}_2 \text{ g}^{-1} \text{ h}^{-1}$. However, most of the samples (

282 Table 1) are above these reference values, leading to the assessment of “Overloading”.

283 Table 2. Values of reference. ¹for UNFED SOUR below reference values [31].
 284

a)		FC	Assessment		
		<1	Toxic or inhibitory symptoms		
		1<FC<2	Low efficiency ¹ or low loading		
		2<FC<5	Acceptable loading		
		FC>5	Possible overloading		

b)	Process Type	SRT	UNFED SOUR Reference value	UNFED SOUR Experimental value	Assessment
	$\text{kg}_{\text{BOD}} \cdot \text{kg}_{\text{MLVSS}}^{-1} \text{ d}^{-1}$	d	$\text{mgO}_2 \text{ g}^{-1} \text{ h}^{-1}$		
	High loading $F/M > 0.4$	2-4	10-15	>> reference values	Overloading
	Medium loading $0.2 < F/M < 0.4$	4-10	7-10	≈ reference values	Good performance

Low loading $0.07 < F/M < 0.2$	10-30	3-7	< reference values	Low loading
Extended aeration $F/M < 0.07$	10-30	3-7	<< reference values	Very low loading or toxicity symptoms

286

287 The evaluation of sludge under endogenous respiration was carried out through OUR and
 288 SOUR tests applied to the samples from the biological reactor outflow. The results
 289 associated with samples untreated and treated with ATU are shown in Table 3.

290

Table 3. Results of respirometry tests applied to endogenous samples.

Date	Sample	MLSS	MLVSS	Volatile	OUR _{end}		OUR _{end,A}
		g L ⁻¹	g L ⁻¹	%	mg L ⁻¹ h ⁻¹		mg L ⁻¹ h ⁻¹
				without ATU		with ATU	
04/18/17	UNFED	4.3	3.8	89	22.1	15.3	6.8
05/09/17	UNFED	4.2	3.7	89	17.0	3.6	13.4
06/06/17	UNFED	2.8	2.5	88	15.2	8.5	6.7

291 As it was aforementioned, the total oxygen rate during the endogenous phase (OUR_{end})
 292 should be equal to the OUR associated with the heterotrophic biomass contributions for
 293 processes without nitrification (OUR_{end,H}). However, the comparison of OUR values
 294 obtained with and without nitrification inhibitor (ATU) put forward that the process was
 295 taking place with nitrification, although this was not included in the design of the plant.

296 The value of OUR associated with autotrophic biomass (OUR_{end,A}) is obtained as the
 297 difference of total OUR_{end} and OUR_{end,H}. Comparing experimental results of OUR_{end} with
 298 reference values associated with the concentration of volatile suspended solids in sludge
 299 [31], the results obtained when samples are treated with ATU are within the normal range.
 300 However, for samples not treated with ATU, the values of OUR_{end} are above reference
 301 levels. These results are also an indicative of the presence of nitrifying activity of the
 302 autotrophic bacteria.

303 Aiming at evaluating sedimentation of activated sludge, the sludge volume index (SVI)
 304 was measured during almost 10 months. Results of SVI versus F/M are shown in Fig. 4.

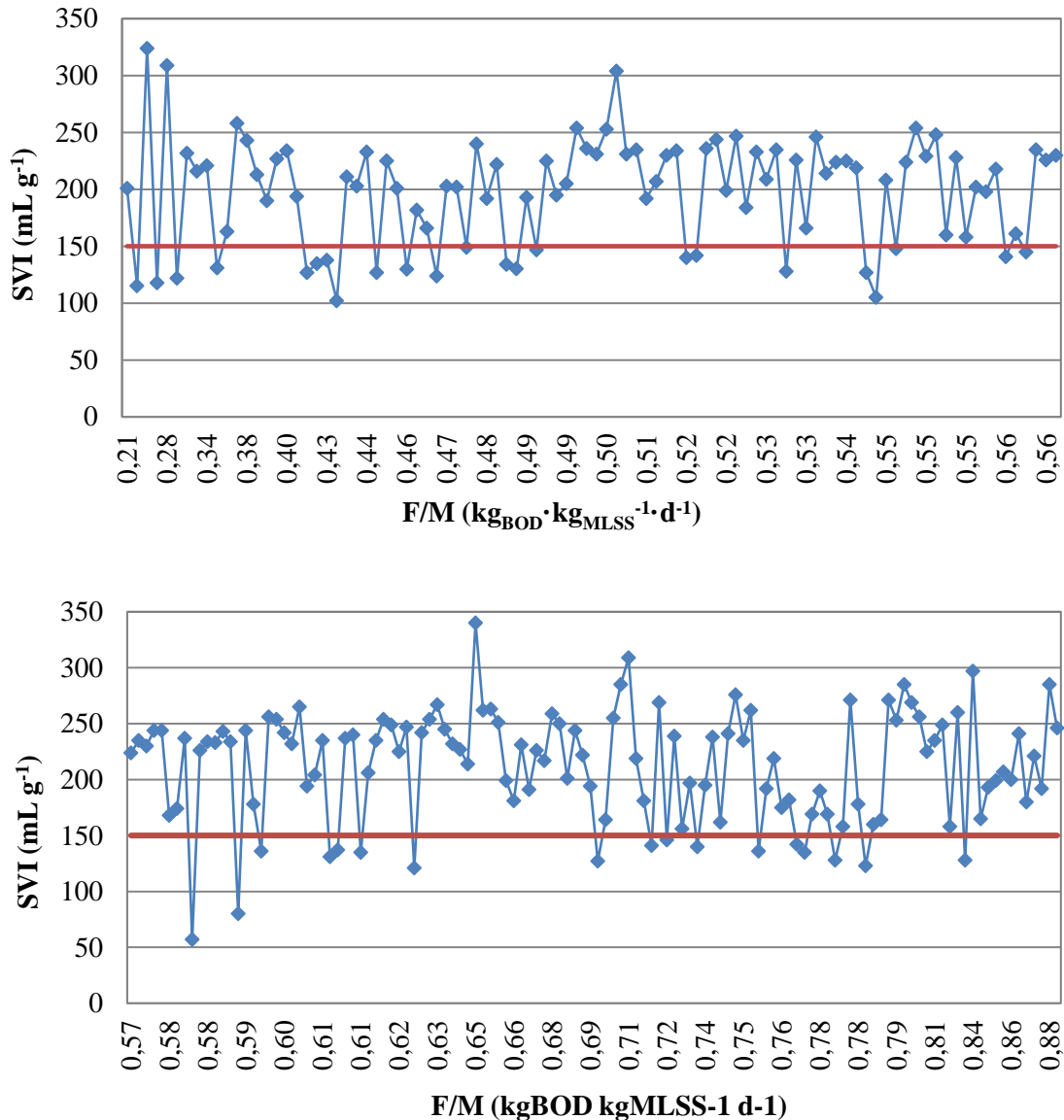


Figure 4. Values of SVI versus F/M.

305

306 Although typical values of SVI in municipal wastewaters ranges from 50 to 150 mL g⁻¹
 307 [32], it is difficult to estimate the maximum value allowed of SVI since the clarifier
 308 efficiency depends on other parameters, such as: clarifier dimensions, recirculation rate,
 309 effluent composition and MLSS. The average values of SVI, F/M and MLSS obtained
 310 along the experimental time were 208 mL g⁻¹, 0.6 kg_{BOD} kg_{MLVSS}⁻¹d⁻¹ and 3545 mg L⁻¹,
 311 respectively. As can be observed in Fig. 4, most of the SVI values (≈80 %) are above 150
 312 mg L⁻¹. Values of SVI below 150 mg L⁻¹ and with an acceptable F/M value (<0.6
 313 kg_{BOD} kg_{MLSS}⁻¹d⁻¹) are only 12% of total samples. From experimental results, important
 314 differences are found among experimental values of F/M and MLVSS and target values
 315 of this wastewater plant (0.3 kg_{BOD} kg_{MLVSS}⁻¹ d⁻¹ and 2800 mg L⁻¹, respectively).
 316 However, it should be noted that SVI values rarely reached 400 mL g⁻¹, value from which

317 the number of crawling ciliates is reduced drastically [33]. These microorganisms
 318 together with sessile ciliates play an important role on particle aggregation, being one of
 319 the most important population of biological communities in AS. The primary role of
 320 ciliates in the biological treatment is the clarification of the effluent. It has been
 321 experimentally proved that the absence of ciliates entails effluent with higher BOD and
 322 turbidity as a consequence of the presence of many dispersed bacteria [34]. Therefore,
 323 the control of the experimental conditions is essential to increase the efficiency of particle
 324 aggregation. Moreover, as ciliates feed on pathogenic and fecal bacteria, the presence of
 325 *Escherichia coli* is reduced to 5 % when ciliates are present [35]. Regarding other
 326 parameters with influence on the ciliates presence, for values of F/M above
 327 $0.6 \text{ kg}_{\text{BOD}} \text{ kg}_{\text{MLSS}}^{-1} \text{ d}^{-1}$ most species of crawling ciliates disappears [36].

328 The excessive growth of filamentous bacteria, known as filamentous bulking sludge, is
 329 other problem frequently found in AS process that directly affects to the operational
 330 performance. According to Guo et al. [37] the value of SVI could be used to diagnose this
 331 problem concluding that SVI values higher than 250 mL mg^{-1} entails serious filamentous
 332 bulking. The main approach to avoid this problem is the control of operational conditions,
 333 such as: solid retention time, food to microorganism ratio, F/M and oxygen concentration.
 334 According to the above-mentioned, the detected plant operation under overload
 335 conditions could cause the proliferation of filamentous bacteria which implies problems
 336 in secondary decantation.

337 In conclusion, the overload conditions are not only impacting on the efficiency of
 338 biological treatment but also on the operation of the secondary clarifier.

339 **3.2. Determination of stoichiometric coefficients and biokinetic parameters of**
 340 **the heterotrophic biomass.**

341 With the aim of evaluating the global performance of the plant, the main properties of
 342 samples collected in the inflow of the biological reactor and those from the plant outflow
 343 are shown in Table 4.

344 Table 4. Wastewater characteristics at the inflow and outflow of the WWTP.

Parameter	Inflow	Outflow
Dissolved oxygen (mg L^{-1})	Average: 0.92	Average: 1.65
COD (mg L^{-1})	444	74
BOD ₅ (mg L^{-1})	230	20
Ammonium ($\text{mgN-NH}_4 \text{ L}^{-1}$)	49	44

Nitrate (mgN-NO ₃ L ⁻¹)	0.3	0.7
Nitrite (mgN-NO ₂ L ⁻¹)	0	0.2
Total nitrogen (mgN L ⁻¹)	72	57
Total phosphorus (mgP L ⁻¹)	10	3

345

346 The values of COD and BOD for samples collected in the inflow of the biological reactor
347 are within the usual range for urban wastewater which are: 250-800 mg L⁻¹ and 100-300
348 mg L⁻¹ for COD and BOD₅, respectively [38].

349 Thus, the COD and BOD removal efficiency of the biological treatment was good
350 reducing the initial concentration to 17 and 9 % of the initial concentration of COD and
351 BOD, respectively.

352 The main results obtained from respirometry tests following the procedure described in
353 2.2 together with some operational parameters of the plant are presented in Table 5. The
354 samples analyzed were mixed with wastewater from the influent to the AS process and
355 with one standard of sodium acetate under different conditions with and without ATU
356 and fresh and under endogenous respiration

357
358

Table 5. Summary of main operational parameters of the plant and results obtained from respirometry test.

MLSS (g L ⁻¹)					2.78	
MLVSS (g L ⁻¹)					2.46	
Volatile (%)					88	
WWTP T(°C)					25	
Respirometer T(°C)					20.5	
F/M (kg _{BOD} ·kg _{MLSS} ⁻¹ d ⁻¹)					0.80	
HRT (h)					3.3	
SRT (d)					1.8	
U _{act} (mg _{COD} L ⁻¹ h ⁻¹)					112	
	Sodium Acetate				Wastewater	
	Fresh Sludge		Endogenous Sludge			
	ATU	No	Yes	No	Yes	Yes
OUR (mg _{O2} L ⁻¹ h ⁻¹)		22.0	23.1	15.2	8.5	
SOUR (mg _{O2} g ⁻¹ h ⁻¹)		8.9	9.4	6.2	3.5	
OUR _S (mg _{O2} L ⁻¹ h ⁻¹)			14.6			
OUR _{end.A} (mg _{O2} L ⁻¹ h ⁻¹)				6.7		
R _{Smax} (mg _{O2} L ⁻¹ h ⁻¹)		32.7	31.7		24.3	8.7
CO (mg _{O2} L ⁻¹)		99.4	87.6		67.6	18.8
COD (mg _{O2} L ⁻¹)		301.0	265.6		204.8	57.0
Y _{H,COD} (mg _{CODbact} mg _{CODs} ⁻¹)		0.67	0.71		0.78	0.86
Y _{H,VSS} (mg _{MLVSS} mg _{CODs} ⁻¹)		0.47	0.50		0.55	0.61
Y _{obs} (mg _{MLVSS} mg _{CODs} ⁻¹)		0.43	0.45		0.50	0.55
U _{max} (mg _{COD} L ⁻¹ d ⁻¹)		65.2	62.5		42.3	19.8
q _{max} (mg _{COD} mg _{MLVSS} ⁻¹ d ⁻¹)		0.64	0.61		0.41	0.19
U _{OD} (mg _{COD} /L·d)					35.64	16.7
q _{OD} (mg _{COD} mg _{MLVSS} ⁻¹ d ⁻¹)					0.34	0.17
					k _d (d ⁻¹)	0.06
					b _H (d ⁻¹)	0.21-0.24
					X _H (mg _{COD} L ⁻¹)	695
					K _S (mg _{COD} L ⁻¹)	5.97
					μ _H (d ⁻¹)	1.92-2.51
					μ _{Hmax} (d ⁻¹)	2.28-2.98

359 As can be observed, SOUR values for fresh sludge analyzed in presence of sodium
 360 acetate with and without ATU were about 9 mg_{O2} g⁻¹ h⁻¹, higher than references values
 361 (4 – 7 mg_{O2} L⁻¹ h⁻¹). Also, the experimental value of OUR_{end}, 15.2 mg_{O2} L⁻¹ h⁻¹, is higher
 362 than the reference values abovementioned which could be related with the system
 363 overload. The value of OUR_S, 14.6 mg_{O2} L⁻¹ h⁻¹, obtained as the difference between OUR

364 of the fresh sludge and OUR_{end} (both with ATU) highlighted the great difference between
365 the two samples. The endogenous respiration rate of the autotrophic biomass has been
366 obtained by the difference between the total OUR_{end} and the $OUR_{end,H}$. Thus, the value
367 obtained of $OUR_{end,A}$, $6.7 \text{ mgO}_2 \text{ L}^{-1} \text{ h}^{-1}$, reflects the important contribution of the nitrifiers
368 to endogenous respiration rate ($\approx 44\%$). Regarding maximum dynamic respiration rate,
369 R_{Smax} , the values obtained were 24.3 and $8.7 \text{ mgO}_2 \text{ L}^{-1} \text{ h}^{-1}$ for endogenous sludge with
370 ATU in presence of sodium acetate and wastewater, respectively; i.e., the reduction in the
371 biomass activity in wastewater was of 64% compared with the reference value obtained
372 with sodium acetate. Thus, the decrease is associated with the wastewater instead of with
373 the biomass.

374 Regarding biokinetic parameters, there are no important differences for values obtained
375 for fresh sludge samples in presence of sodium acetate with and without adding ATU.
376 These results are different from those obtained for endogenous sludge. At the same time,
377 parameters obtained for endogenous sludge with and without ATU present important
378 divergences. The $Y_{H,COD}$ for sludge samples in presence of sodium acetate, a completely
379 biodegradable compound, and in presence of wastewater are also collected in Table 5.
380 The value of $Y_{H,COD}$ for sludge samples in presence of sodium acetate under endogenous
381 respiration and adding ATU was $0.78 \text{ mgCOD}_{bact} \text{ mgCOD}_s^{-1}$ within the reference range
382 ($0.75\text{-}0.79$) [39]. The value of this parameter for wastewater adding ATU was slightly
383 higher ($0.86 \text{ mgCOD}_{bact} \text{ mgCOD}_s^{-1}$). These results indicate the sludge was not found under
384 toxic effects. Expressing the aforementioned coefficient as mass of VSS in relation to the
385 consumed substrate as COD, $Y_{H,VSS}$, the values obtained for the aforementioned samples
386 were 0.55 and $0.61 \text{ mgMLVSS} \text{ mgCOD}_s^{-1}$, respectively. These values are in agreement with
387 experimental results obtained by other authors [40]. Other parameter associated with
388 $Y_{H,COD}$ is the observed heterotrophic cellular yield coefficient, Y_{obs} , whose values were
389 0.50 and $0.55 \text{ mgMLVSS} \text{ mgCOD}_s^{-1}$ for samples of endogenous sludge adding ATU in
390 presence of sodium acetate and wastewater, respectively, close to usual reference range
391 ($0.3\text{-}0.5 \text{ mgMLVSS} \text{ mgCOD}_s^{-1}$) [41]. The value of active heterotrophic biomass, X_H , was
392 $695 \text{ mgCOD} \text{ L}^{-1}$, corresponding to 28% of MLVSS, which is slightly higher than
393 referenced values ($14\text{-}25\%$) [40].

394 The specific COD utilization rate (q_{max}) obtained for sludge samples in presence of
395 sodium acetate and wastewater was 0.41 and $0.19 \text{ mgCOD} \text{ mgMLVSS}^{-1} \text{ d}^{-1}$, respectively. The
396 observed difference suggested that the reduction in the activity and in the rate of COD

397 degradation is associated with the influence of the wastewater instead of the biomass from
398 activated sludge. As was explained in 2.3, since the values of q and U are obtained under
399 maximum oxygenation conditions, these should be corrected using the half-saturation
400 constants, K_{OD} , to obtain U and q under the influence of the concentration of dissolved
401 oxygen U_{OD} and q_{OD} , respectively. The values of this parameters were $U_{OD} = 35.64$ mg
402 $COD L^{-1} h^{-1}$ and $q_{OD} = 0.35$ $mg_{COD} mg_{MLVSS}^{-1} d^{-1}$ for samples with sodium acetate and
403 $U_{OD} = 16.7$ $mg COD L^{-1} h^{-1}$ and $q_{OD} = 0.16$ $mg_{COD} mg_{MLVSS}^{-1} d^{-1}$ for samples with
404 wastewater.

405 The result obtained here from respirometry tests for half-saturation constant, K_S ,
406 5.97 $mg L^{-1}$ is within range of the typical values for urban wastewater 5 to 40 $mg mL^{-1}$
407 [42] and the maxima growing rate of the heterotrophic biomass (μ_{Hmax}, d^{-1}) = 2.28 - 2.98 is
408 also quite similar to the reported values by Koch et al. [43] or Guisasola et al. [44].

409 **4. Conclusions**

410 The use of respirometry tests in a real WWTP has provided important information to
411 diagnose the performance of activated sludge process. Experimental results suggested
412 that the plant was operating at low efficiency and at overload. The overload conditions
413 have been found to impact not only on the efficiency of biological treatment but also on
414 secondary clarifier operation. Regarding the oxygen consumed, it has been detected a
415 significant increase related to the nitrification process despite not being considered in the
416 initial design of the plant. The reduction in the biomass activity in wastewater was of 64%
417 compared with the reference value obtained from sodium acetate. Thus, the decrease of
418 the activity is associated with the wastewater instead of with the biomass. Although the
419 WWTP operation conditions are not the ideal, it should be noted that the plant complies
420 with the legal limits of emission and with the required yields in the discharge permission
421 and in the current Spain regulations.

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