

1 **The Cognitive Awareness Scale for Basic and Instrumental Activities of Daily**
2 **Living to measure Self-awareness after Acquired Brain Injury: Preliminary**
3 **evidence of its validity.**

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4
5 **Abstract**

6 **Objective:** There is a crucial need for reliable tools to measure impaired self-awareness (ISA) in patients
7 with acquired brain injury (ABI) across cognitive-functional domains. The aim of this study was to assess
8 the psychometric properties of the Cog-Awareness ADL Scale, which is a novel self-proxy discrepancy
9 method for measuring ISA in both basic and instrumental activities of daily living. **Methods:** This
10 multicenter study included 54 patients (no-low ISA n = 33; severe ISA, n = 21) from four outpatient
11 rehabilitation units in Málaga-Granada, Spain, and 51 healthy controls. The participants and proxy raters
12 completed the Cog-Awareness ADL Scale and the Patient Competency Rating Scale (PCRS). Agreement
13 between both scales was assessed using Spearman's correlations and the Bland-Altman plot. Group
14 comparisons were made on measures of SA, cognitive abilities and demographic variables. Sensitivity and
15 specificity were analysed by ROC curve analysis. **Results:** Convergent validity was supported by strong
16 correlations with the PCRS and its subscales (rho's ranging from 0.51 to 0.80, $p < 0.01$ for all). The Bland-
17 Altman plot confirmed measurement agreement (only 3.70% of the scores were outside the 95% limits).
18 External validity was demonstrated by effectively discriminating between healthy controls and ABI patients
19 with no-low and severe ISA on each discrepancy index while controlling for cognitive/demographic
20 variables. The Cog-Awareness ADL Scale showed optimal diagnostic accuracy (AUC = 0.95, sensitivity =
21 0.90, specificity = 0.90). **Conclusions:** The Cog-Awareness ADL Scale proved to be a feasible, valid, and
22 clinical tool to assess ISA across different cognitive-functional domains, in Spanish ABI-patients.

23
24 **Keywords: Activities of daily living, Self-awareness, Executive functions, Occupational therapy,**
25 **Executive functions, Neuropsychology, Neuropsychological Assessment**

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1 Introduction

2 People with brain injury sometimes experience difficulties in performing essential activities of daily
3 living (ADL), which can lead to a loss of dependence and poor quality of life (Wise et al., 2005). ADL
4 refers to the skills required to meet basic needs such as eating, bathing, and mobility – i.e. basic ADL (b-
5 ADL) – as well as activities that involve higher cognitive demands and social interaction, such as preparing
6 meals, managing finances, or taking medication – i.e. instrumental ADL (i-ADL) – (Edemekong et al.,
7 2014; Romero-Ayuso et al., 2021). Impaired performance in ADL can result from several cognitive
8 impairments, with deficits in executive functions (EFs) and self-awareness (SA) being particularly
9 important (Ciurli et al., 2010; Bivona et al., 2019; Prigatano and Sherer, 2020; Villalobos et al., 2020, 2021;
10 Schmidt and Ownsworth, 2022). Impaired self-awareness (ISA) refers to the inability to accurately identify
11 one’s deficits and their impact on daily functioning (Prigatano, 2009). Research suggests that approximately
12 30%-50% of individuals experience some level of ISA following traumatic brain injury (TBI), leading to
13 long-term negative outcomes, such as poorer functional recovery and limited participation (Toglia and Kirk,
14 2000; Hart et al., 2009; Hartman-Maeir et al., 2009; Bivona et al., 2019; Hurst et al., 2020; Dromer et al.,
15 2021a). Recent studies have also highlighted the mediating role of SA in the relationship between executive
16 deficits and ADL (Villalobos et al., 2020).

17

18 SA has been conceptualised as a *multifaceted construct*, emphasising the need to differentiate its
19 various components. The Pyramid Model of Awareness, proposed by Crosson et al. (1989) describes SA
20 as a hierarchical structure comprising intellectual awareness, emergent awareness, and anticipatory
21 awareness. According to this model, these levels build upon each other, with intellectual awareness serving
22 as the foundation for subsequent levels. In contrast, Toglia and Kirk (2000) proposed an alternative model
23 considering SA as a dynamic rather than a hierarchical construct. This model distinguishes between *offline*
24 *awareness*, which involves understanding task characteristics and having knowledge of one’s own abilities,
25 and *online awareness*, which is actively engaged during task performance through self-monitoring and self-
26 evaluation of task demands. Recent research has also explored the *multidimensional* nature of SA,
27 suggesting that its manifestation may vary depending on the type of information or task being examined
28 (Toglia and Goverover, 2022). For example, Prigatano and Altman (1990), found that SA appeared to be
29 more impaired following TBI when patients were asked about abstract, non-visible information such as
30 cognition or emotions, compared to more observable behaviors such as ADL or physical difficulties.
31 Similar findings have been consistently reported, indicating lower levels of SA related to cognitive and
32 emotional impairments compared to physical impairments in individuals with TBI (Sherer et al., 1998b;
33 Hart et al., 2004; Malouf et al., 2014). However, it is important to note that these domains may not be
34 completely separate, but rather interdependent. Numerous studies have demonstrated the dependence of

1 ADL on various cognitive factors. The observed dissociation of SA across cognitive and functional domains
2 (see Dromer et al., 2021 for a recent review) may be due to differences in the level of abstraction within
3 the measurement items, rather than indicating truly different levels of ISA for different domains. Therefore,
4 more research is needed to further disentangle potential confounding between item abstraction and the
5 presence of different domains affected by ISA. The use of assessment tools that explore different cognitive
6 difficulties within the same ADL contexts may contribute to a better understanding of this issue.

7
8 Recent review studies have also raised a debate about the level of SA demonstrated by patients
9 while performing tasks of varying complexity (Toglia and Goverover, 2022). To the best of our knowledge,
10 only two studies have addressed this issue. One performance-based study assessed ABI patients' SA,
11 through the discrepancy between therapists' ratings and patients' prediction and estimation of performance
12 on ADL tasks of varying complexity, both before and after completing the task (Rotenberg-Shpigelman et
13 al., 2014). The results indicated that ABI patients faced greater SA challenges when engaging in i-ADL
14 tasks compared to b-ADL tasks. Similarly, Abreu et al. (2001) found fewer SA deficits in simpler tasks,
15 such as meal preparation and upper body dressing, compared to a more complex task, such as money
16 management. These studies suggest that individuals with ABI may have difficulties in accurately predicting
17 and evaluating their performance on complex i-ADL tasks due to increased cognitive demands that leave
18 fewer cognitive resources for error detection. However, these studies have primarily focused on measuring
19 anticipatory/emergent SA. Thus, it remains unexplored whether offline SA differs between b-ADL and i-
20 ADL.

21 Although several assessment tools are available to measure offline SA, many of them lack the
22 necessary psychometric and conceptual properties for clinical and research purposes. Recent systematic
23 reviews conducted by Smeets et al. (2012) and Dromer et al. (2021a) identified three methods that
24 demonstrate acceptable psychometric and conceptual properties. These include the Self-Awareness of
25 Deficit Interview (SADI, Fleming et al., 2009) and self-proxy discrepancy methods that compare patients'
26 self-report of their abilities with a proxy-report, such as the Patient Competency Rating Scale (PCRS,
27 Prigatano et al., 1998) and the Awareness Questionnaire (AQ, Sherer et al., 2009). More recently, Bivona
28 et al. (2020) introduced a novel assessment tool called the Self-Awareness Multilevel Assessment Scale
29 (SAMAS). This tool aims to thoroughly assess SA across different levels—namely declarative, emergent,
30 and anticipatory—within different domains such as motor, cognitive, and psycho-behavioural, thus,
31 overcoming some important limitations of prior tools. However, these instruments provide overall measures
32 of cognitive and/or ADL functioning without differentiating specific cognitive aspects of SA or examining
33 different levels of ISA across ADL tasks of different difficulty (i.e., b-ADL vs. i-ADL). Therefore, it is still
34 necessary to develop novel instruments that can effectively capture multiple cognitive manifestations

1 during ADL performance and accurately differentiate between basic and more complex tasks such as i-
2 ADL (Hurst et al., 2020; Merchán-Baeza et al., 2020; Brown et al., 2021).

4 ***The present study***

5 To address the aforementioned limitations, our research group has developed the Cog-Awareness
6 ADL Scale as part of a comprehensive assessment protocol to evaluate the main components of SA in
7 Spanish ABI patients (Merchán-Baeza et al., 2020), following the model of Toglia and Kirk (2000). The
8 scale consists of a 31-item questionnaire designed to measure offline awareness across different cognitive
9 and functional domains. This is an adaptation of the Cog-ADL Scale, an informant-based tool previously
10 developed in our lab that allows the measurement of several cognitive domains (e.g. task schema, error
11 detection, problem-solving, or task self-initiation, among others) across a range of b-ADL and i-ADL. The
12 authors used the error coding system typically used in performance-based ADL studies with similar
13 populations (Humphreys and Forde, 1998; Giovannetti et al., 2002; Schwartz et al., 2002) to develop
14 specific items reflecting these types of cognitive errors in the context of a range of ADLs of varying
15 complexity. This tool has demonstrated good psychometric properties in individuals with mild cognitive
16 impairment, dementia, and healthy older adults (see Rodríguez-Bailón et al., 2015; Montoro-Membila et
17 al., 2022 for further details).

18
19 The Cog-Awareness ADL Scale brings significant innovations to the field. Firstly, it includes
20 multiple cognitive items related to each ADL (see Table 1). This comprehensive approach allows for the
21 assessment of SA across different cognitive aspects that influence different ADL tasks within a single tool,
22 providing a notable advantage over the other SA scales. Secondly, it measures the same cognitive items in
23 both b-ADL and i-ADL, allowing for the identification of potential differences in SA between simple and
24 complex tasks that cannot be attributed solely to variations in cognitive processes. Moreover, it provides
25 numerous examples of each cognitive error type, enhancing the informant's ability to envision and
26 differentiate between the various cognitive impairments that may affect each ADL. By incorporating
27 concrete situations within each ADL, the scale reduces the inherent abstraction that is often associated with
28 items addressing cognitive processes in other SA questionnaires, such as PCRS and AQ (Brown et al.,
29 2021). The structure of the scale also provides flexibility for different research or clinical purposes. In
30 addition to calculate a general discrepancy index, it also allows for the separate investigation of more
31 specific b-ADL and i-ADL discrepancy indexes. From a clinical perspective, the scale can be used to
32 measure SA even in cases where patients are no longer engaged in i-ADLs following ABI. In such
33 situations, SA can still be assessed by focusing on their performance in b-ADLs. Supplementary material

1 shows the entire tool and illustrates how these different cognitive-functional items were instantiated in each
2 AD (see measures section for more details).

3
4 The overall aim of the present study was to validate the Cog-Awareness ADL Scale as a clinical
5 measure of offline SA in Spanish patients with ABI enrolled in a multicenter study. The first objective was
6 to assess its psychometric adequacy by examining its convergent validity and level of agreement with
7 PCRS, which is a well-established measure of offline SA. We expected significant correlations between
8 the discrepancy indexes of the Cog-Awareness ADL Scale (total, b-ADL, and i-ADL) and PCRS.
9 Specifically, we expected strong correlations between the total, b-ADL, and i-ADL discrepancy indexes
10 and PCRS total discrepancy, as well as in its cognitive and ADL domains. The second objective was to
11 assess its external validity, that is, the extent to which it can discriminate between healthy controls and
12 patients with ABI. We hypothesised that patients with severe ISA, as determined by PCRS (see measures
13 section for details), would have higher discrepancy scores on the Cog-Awareness ADL Scale (total, b-ADL,
14 i-ADL) compared with patients with no or low ISA and healthy participants. The sensitivity of the scale
15 was also determined using ROC curve analysis. We expected the scale to have good sensitivity and
16 specificity for detecting ABI patients with severe ISA, establishing it as a reliable measure of SA in the
17 Spanish population. Finally, this study aimed to provide initial insights into the potential utility of the Cog-
18 Awareness ADL Scale for investigating domain-specific SA, specifically differences in SA levels between
19 b-ADL and i-ADL. Based on previous research on online SA described above, we expected that patients
20 with severe ISA would show greater SA deficits for i-ADL compared to b-ADL tasks.

21 22 **Materials and methods**

23 *Participants*

24 We recruited ABI patients who were undergoing cognitive/occupational rehabilitation in four
25 outpatient units in Málaga and Granada, Spain. To be eligible, patients had to be over 18 years of age and
26 have a confirmed ABI diagnosis from a neurological report at least 3 months prior to the study. There was
27 no restriction on the time elapsed since the onset of the ABI. Patients with hemineglect and significant
28 motor/sensory impairments were excluded, as these may largely affect their ability to perform ADL. In
29 addition, patients with language deficits were excluded to ensure the reliability of our study results. This is
30 because language deficits may make it difficult for patients to understand scale instructions and provide
31 verbal responses. Treating clinicians' judgement, supported by diagnostic/clinical reports, was used to make
32 these exclusion decisions. An additional criterion was the presence of a reliable informant who could
33 provide accurate information about the patient's performance in daily life. This ensured an accurate
34 assessment of SA using the PCRS and the Cog-Awareness ADL Scale. A total of 69 patients met the

1 inclusion criteria, although 15 were excluded for lack of a reliable informant. Thus, the final sample
2 consisted of 54 ABI patients (19 women) and 54 relatives, of whom 39 (72.1%) were partners (30 wives, 8
3 husbands and 1 girlfriend), 7 (13%) were daughters, 3 (5.6%) were sons, 2 (3.7%) were mothers, 2 (3.7%)
4 were sisters, and 1 (1.9%) was a brother. The healthy control (HC) group consisted of 71 community-
5 dwelling volunteers recruited from the same geographic area as the patients using snowball sampling
6 conducted by the researchers. The exclusion criteria for the HC group were: absence of a reliable informant,
7 global cognitive decline as determined by psychometric assessment during eligibility screening, and
8 clinically significant ISA based on the PCRS discrepancy score (see method section for details). Twenty
9 participants were excluded: 2 with clinically significant ISA and 18 for lack of a reliable informant. The
10 final HC group consisted of 51 participants (25 women). Ethical approval for the study was obtained from
11 the Andalusian Ethics Committee for Biomedical Research (AnosognosiaAVD2017, 3/01/2017, 0056-N-
12 17). All participants and their families received written and verbal information about the study and provided
13 their informed consent before participating. Information on the racial and ethnic background of the sample
14 was not available for this study.

16 **Measures**

17 *The Cog-Awareness ADL Scale: offline-awareness*

18 The main novelty of the proposed scale in relation to its predecessor (i.e. the Cog-ADL Scale) was
19 the inclusion of two versions: one to be completed by a direct caregiver (informant version) and the other
20 by the patient (patient version). An index of SA can be derived from the discrepancies between the two
21 scores. Furthermore, the Cog-Awareness ADL Scale included a separate form to be completed by the direct
22 caregiver. This additional form consists of specific questions designed to gather information about the
23 patient's level of functioning and support needs related to each task included in the scale. By gathering
24 information from the caregiver, the assessment can gain a more complete understanding of the patient's
25 abilities, limitations, and assistance needs across various ADLs (see supplementary material for more
26 details). It also included a reduced number of ADL tasks: two b-ADL (personal care, getting dressed) and
27 two i-ADL (cooking and managing finances/shopping). This modification is intended to improve feasibility
28 and reduce administration time. The selection of activities was based on a principal component analysis
29 (PCA) as previously reported (Rodríguez-Bailón et al., 2015, Montoro-Membila et al., 2022), confirming
30 the classical division between b- and i-ADL proposed by the American Occupational Therapy Association
31 (2014).

32
33 **INSERT TABLE 1 ABOUT HERE**
34

1 *Administration.* The questionnaire can be self-administered or, if required, the examiner can read
2 each item aloud and record the patient's responses. In either case, the therapist should always verify that all
3 the answers have been provided. The informant version can be self-administered by the direct caregiver.
4 To ensure that caregivers were completing the scale on behalf of the patient, the wording of each item in
5 the informant's version was modified (e.g. from 'I am able to notice whether the given change is correct'
6 to 'He/she is able to notice whether the given change is correct.'). This modification was accompanied by
7 clear verbal instructions indicating that they were completing the questionnaire on behalf of the patient.
8

9 *Scoring system.* Firstly, the informant independently rates the frequency and level of assistance
10 required by the patient for each b- and i-ADL before and after the brain injury. Frequency is rated as: 1 =
11 "never", 2 = "sometimes", 3 = "weekly", and 4 = "daily". Similarly, the degree of assistance is rated as: 1
12 = "someone does the activity for him/her", 2 = "with a lot of help", 3 = "with little help", and 4 =
13 "completely by him/herself". Since the scale is designed to measure a patient's current level of SA and
14 functionality, activities that are no longer performed due to physical or cognitive disability, or irrelevance,
15 are not considered for scoring. In a second step, both the patient and the informant are asked to indicate on
16 a 4-point Likert scale, within each ADL-category (considering only those activities that are in the patient's
17 repertoire), how often the patient exhibits the cognitive-functional errors presented in each item (1 =
18 "never", 2 = "sometimes", 3 = "quite often", 4 = "always"). The clinician is expected to clarify the content
19 of each item at any time. For each version (patient-informant), each item score within an ADL-category is
20 then summed to create a subcategory score (b-ADL_{SCORE} and i-ADL_{SCORE}). Both scores are also summed to
21 produce a TOTAL_{SCORE}. An ABSOLUTE_{SCORE} is also calculated for each subcategory, by estimating the
22 maximum possible score according to the number of activities performed by the participant (see below for
23 an example). Scores are inverted, for items that ask about limitations rather than capacities. Lower scores
24 represent greater impairment.

25 *Indexes.* The *Cog-Awareness ADL Scale* provides discrepancy indexes for each of the two ADL
26 categories (b-ADL_{DISCREPANCY} and i-ADL_{DISCREPANCY}) and a Total_{DISCREPANCY}, by considering the
27 TOTAL_{SCORE} and the ABSOLUTE_{SCORE}. This enables comparisons between all participants, including
28 those who no longer perform some of the ADLs proposed in the scale. For example, if a participant performs
29 only three activities (two b-ADLs and one i-ADL), and the patient's version has a TOTAL_{SCORE} of 72 and
30 an ABSOLUTE_{SCORE} of 84, the Cog-ADL_{PATIENT'S INDEX} will be 85.7% (TOTAL_{SCORE}/ ABSOLUTE_{SCORE} *
31 100). In contrast, if the informant has a TOTAL_{SCORE} of 43 and an ABSOLUTE_{SCORE} of 84, the Cog-
32 ADL_{INFORMANT'S INDEX} will be 51.1%. All indexes are expressed as percentages, with lower scores indicating
33 greater functional disability. Total_{DISCREPANCY} is obtained by subtracting the Cog-ADL_{PATIENT'S INDEX} from

1 the Cog-ADL_{INFORMANT'S INDEX} (34.6% in the cited example). The same can be done for each ADL category,
2 considering only the b-ADL items (b-ADL_{DISCREPANCY}) or the i-ADL items (i-ADL_{DISCREPANCY}). Higher
3 scores indicate greater discrepancy. The scale also provides the Independence_{INDEX}, which serves as a
4 measure of the patient's functionality. This index is derived from the questions on the scale (degree of
5 assistance that the patient requires for both b-ADL and i-ADL), although it is independent from those used
6 to calculate the discrepancy. As the other indexes, it only considers the activities that the patient performs
7 at the time of assessment. Consequently, it calculates a total score and an absolute score using the same
8 procedure as described above. It is expressed as a percentage, with lower scores indicating a higher level
9 of dependence.

10 *Patient Competency Rating Scale: offline awareness*

11 This 30-item *self-proxy rating discrepancy scale* is used to measure an individual's SA across four
12 subscales: ADL (eight items), cognitive (eight items), interpersonal (seven items) and emotional (seven
13 items) (Prigatano et al., 1998). It requires the patients and their caregivers to independently rate the ease
14 with which the patient is able to perform each functional situation on a 5-point Likert scale ranging from 1
15 = "Can't do" to 5 = "Can do with ease". Scores range from 30 to 150 points, with higher scores indicating
16 greater perceived competence. The level of SA is determined based on the discrepancy between the patient's
17 self-rating and the caregiver's rating. To classify patients with severe ISA, this study applied the double
18 criterion proposed by Bivona et al. (2019): a) patient's self-rating score of at least 100 points (indicating
19 minimal self-perceived difficulties); and b) positive discrepancy score of at least 20 points. The PCRS has
20 demonstrated excellent psychometric properties, and has been validated in Spanish-speaking populations
21 (Prigatano et al., 1998).

23 *Neuropsychological assessment*

24 The Rey Auditory-Verbal Learning Test (RAVLT, Schmidt, 1996) is used to assess the
25 participant's short- and long- term memory. EFs are measured with the semantic fluency test, in which
26 participants are asked to name as many animals as possible within 60 s (Ardila et al., 2006), and the INECO
27 Frontal Screening (IFS, Torralva et al., 2009), which is a brief and easy-to-administer screening test that
28 has proved to be sensitive in exploring response inhibition, set shifting, abstraction, and working memory,
29 after ABI (Pinasco et al., 2021). In this study, a Cognitive_{INDEX} was additionally calculated from the average
30 Z-scores of all neuropsychological tests. This index was used as a dependent variable in some of the
31 statistical analyses presented in the data analysis section.

33 *Procedure*

1 Participants who met the eligibility criteria underwent a testing session that lasted approximately 1
2 hour. The assessment was conducted by the treating clinician at each rehabilitation center, such as a clinical
3 neuropsychologist or occupational therapist. This set of clinicians was blinded to the specific objectives
4 and hypotheses of the study. The assessment process consisted of the following steps: a) the participants
5 and their caregivers were informed about the study and provided their informed consent; b) an interview
6 was conducted to gather clinical and sociodemographic information; and c) the assessment took place in a
7 quiet room, following specific administration guidelines for each measure. During the assessment, the
8 patient's primary caregiver completed the informant-caregiver version of the PCRS and the Cog-Awareness
9 ADL Scale in a separate room. For the healthy control group, the assessment was conducted at the Mind,
10 Brain, and Behaviour Research Center (CIMCYC) of the University of Granada and the Faculty of Health
11 Sciences of the University of Málaga. The assessment was administered by the principal investigators,
12 Cognitive Neuroscience Master's students, and final year OT students. All assessors received thorough
13 training in the administration of the tools described above.

14
15 **INSERT FIGURE 1 ABOUT HERE**

16 17 **Data analysis**

18 The data were analysed using R Studio software (version 1.3.1093). Descriptive statistics and
19 appropriate nonparametric tests were performed based on the distribution of the data. To assess the
20 **convergent validity** of the Cog-Awareness ADL Scale, firstly, Spearman's correlations were performed in
21 the ABI sample, between its three discrepancy indexes (b-ADL_{DISCREPANCY}, i-ADL_{DISCREPANCY}, and
22 Total_{DISCREPANCY}) and the discrepancy index of each of the PCRS subscales. The significance level was set
23 at 0.05 with Bonferroni's correction for multiple comparisons. The r_s coefficients < 0.30 were considered
24 as weak correlations, 0.31 - 0.7 as moderate correlations, and > 0.70 as strong correlations (González, M.,
25 Villegas, Atucha, & Fajardo, 2020). Then, we examined the agreement between the Total_{DISCREPANCY} scores
26 on the Cog-Awareness ADL Scale and the PCRS using the Bland-Altman approach (2010), as implemented
27 in the blandr R package (version 0.5.1; Datta, 2018). For each participant we calculated the difference
28 between both scores (y-axis), and plotted it against the mean of the same two scores (x-axis). With this
29 method, 95% of the data points are expected to fall within the adjusted 95% limits of agreement.
30 Proportional bias refers to a situation in which one measurement is consistently higher or lower than the
31 other. This bias has the potential to affect the agreement between the two rating scales. To evaluate the
32 presence of such bias, we conducted a linear regression analysis. To assess its **external validity**, the ABI
33 sample was firstly divided into two groups: *no-low ISA* and *severe ISA*, based on the PCRS discrepancy
34 score, as described in the measures section (see Figure 1). Group differences in continuous variables were

1 assessed using the Mann-Whitney U and Kruskal-Wallis rank sum tests. The categorical variables were
2 analysed using Chi-square analysis. Performance on the three discrepancy indexes was compared among
3 the three groups (HC, no-low ISA, severe ISA) using Quade's test, which is a non-parametric alternative to
4 ANCOVA (Quade, 1967). Demographic, clinical, and functional variables that showed significant group
5 differences were selected as covariates. Post-hoc analyses used Tukey-adjusted p -values as recommended
6 by McHugh, (2011) to account for unequal group sizes between the groups. To assess the *diagnostic*
7 *accuracy* of the Cog-Awareness ADL Scale, a ROC curve analysis was performed on the entire sample
8 using the pROC R package (version 1.18.0; Robin et al., 2011). The Area Under the Curve (AUC) values
9 were interpreted following the suggestion of Fischer et al. (2003) (> .90 high accuracy; .70 to .90 moderate
10 accuracy; .50 to .70 low accuracy). The optimal cut-off point was determined based on sensitivity,
11 specificity, and Youden's index formula (Youden, 1950), where a higher index indicates maximisation of
12 sensitivity and specificity. The state variable in the ROC curve analysis was the presence of severe ISA
13 according to the PCRS discrepancy score, and the test variable was the Cog-Awareness ADL Scale
14 Total_{DISCREPANCY} score. Finally, the paired-samples Wilcoxon test was performed to explore potential
15 differences in SA levels of functional deficits between b-ADL and i-ADL in patients with severe ISA.

16 17 **Results**

18 *Convergent validity*

19 Spearman's rho showed a large positive and significant correlation between the Cog-Awareness
20 ADL Scale and the PCRS Total_{DISCREPANCY} scores, as well as for each of its subscales ($p < .01$). The Bland-
21 Altman results for the level of agreement between the Total_{DISCREPANCY} scores of both scales are shown in
22 Figure 2. Due to the different units of both scores, Z scores were used to avoid creating an artificial
23 proportional error. Visual inspection of the graph revealed that few scores (3.70%) were outside the 95%
24 upper and lower limits of agreement. A linear regression analysis was also performed to examine the
25 relationship between the difference (dependent variable) and the mean scores on the scales (independent
26 variable). The analysis yielded a non-significant p -value ($p > 0.95$), indicating the absence of proportional
27 bias. This suggests a high level of agreement between the Cog-Awareness ADL Scale and the PCRS
28 Total_{DISCREPANCY} scores. Correlation analyses performed for the b-ADL_{DISCREPANCY} and i-ADL_{DISCREPANCY}
29 scores with each PCRS subscale showed consistent results, as shown in Table 2.

30
31 **INSERT TABLE 2 ABOUT HERE**

32
33 **INSERT FIGURE 2 ABOUT HERE**

1
2 ***Group differences across demographic, clinical, neuropsychological and functionality***
3 ***measures***

4 Table 3 shows the demographic and clinical characteristics of the three groups: HC (n = 51), no-
5 low ISA (n = 33), and severe ISA (n = 21). Age was not significantly different between groups ($p = .18$).
6 However, there were significant differences in education level and gender. Post-hoc analyses revealed a
7 higher proportion of participants with lower education in the severe ISA group compared to the no-low ISA
8 group, and a marginal difference compared to the HC group ($p = 0.54$). Significant differences were also
9 found in the proportion of male and female participants in the severe ISA group compared to the no-low
10 ISA and HC groups ($p < 0.001$ for each comparison). Regarding the clinical variables, there were no
11 statistically significant differences between the two ISA groups in time since injury onset ($p = 0.65$) or
12 etiology ($p = 0.69$). However, significant differences were found in the proportion of patients' lesion
13 location.

14
15 A series of Kruskal-Wallis rank sum tests were performed to examine group differences in
16 performance on neuropsychological tests and the Cognitive_{INDEX}. Each group served as a between-subjects
17 factor, and each neuropsychological test was the dependent variable. Prior to creating the Cognitive_{INDEX},
18 non-parametric correlation analyses confirmed significant correlations between all test scores (ranging from
19 0.56 to 0.74, all $p < 0.001$). The test scores were then converted to Z-scores based on the sample mean and
20 standard deviation, and the average Z-score was calculated. The Cognitive_{INDEX} showed high reliability (α
21 = .89). As shown in Table 3, the no-low ISA and severe ISA groups did not differ significantly from each
22 other on any of the neuropsychological measures, although their performance was significantly lower than
23 that of the HC group. Significant differences were also observed in the Independence_{INDEX}. All participants
24 were involved in all b-ADL (the two tasks) from the Cog-Awareness ADL Scale. However, more variability
25 was found in the number of i-ADL tasks performed in each group (see Table 4). A chi-squared analysis,
26 examining the relationship between the participant groups (HC, no-low ISA, and severe ISA) and the
27 number of instrumental ADL activities from the Cog-Awareness ADL Scale performed by the participants
28 (zero tasks, one task or two tasks), showed a significant result ($\chi^2 = 43.91, p < .001, \text{Cramer's } V = .45$
29 (medium effect size)). Upon closer examination, we found that the severe ISA group had a greater
30 percentage of participants engaging in zero or only one task (48% and 24%, respectively) compared to the
31 no-low ISA group (30% and 15%, respectively), and the HC group, in which all participants performed
32 both tasks (100%).

33
34 **INSERT TABLE 3 ABOUT HERE**

1
2 **INSERT TABLE 4 ABOUT HERE**

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4
5 ***External validity***

6 To compare group differences in the three discrepancy indexes of the Cog-Awareness ADL Scale,
7 a Quade's test was performed, with covariates including education level, gender, the Cognitive_{INDEX}, and
8 the Independence_{INDEX} (variables that showed significant differences in previous analyses). As expected, a
9 significant difference between the groups was observed for the Total_{DISCREPANCY} score. Post-hoc
10 comparisons with Tukey's correction showed that both the HC and the no-low ISA groups had significantly
11 lower Total_{DISCREPANCY} scores compared to the severe ISA group. Additionally, when the specific
12 discrepancy indexes were analysed for the b-ADL_{DISCREPANCY}, the same pattern of results emerged, as shown
13 in Table 5. However, for the i- ADL_{DISCREPANCY} score, although differences in a similar direction were
14 observed, they did not reach statistical significance among the three groups.

15
16 **INSERT TABLE 5 ABOUT HERE**

17
18 ***Diagnostic accuracy***

19 A ROC curve was then performed (see Figure 3), and the AUC was 0.95 (95% CI = [0.89 –1]; SE
20 = .02, $p < .001$). This indicates that there is a 95% probability that a randomly selected participant with ISA
21 will have higher discrepancy scores than a randomly selected participant without ISA (Hajian-Tilaki, 2013).
22 The predictive utility of the Cog-Awareness ADL Scale was also examined by calculating its sensitivity
23 and specificity. Using a cut-off point of $> .06$, the scale showed a sensitivity of 0.90 (95% CI = [0.76 –1])
24 and a specificity of 0.90 (95% CI = [0.84 –0.96]). The positive predictive value was 0.70 (95% CI = [0.53-
25 0.87]). This means that, among participants identified as having ISA based on the PCRS, there is a 70%
26 probability that the Cog-Awareness ADL Scale will correctly identify them as having ISA. Conversely, the
27 negative predictive value was 0.97 (95% CI = [0.93 –1]), meaning that there is a 97% chance that a
28 participant without ISA on the PCRS will be correctly identified as not having ISA on the Cog-Awareness
29 ADL Scale. Youden's Index (0.80) and accuracy (0.90, 95% CI = [0.90 –.91]) were also calculated as
30 measures of test performance. Using this criterion, there were 19 true positives, 76 true negatives, 8 false
31 positives, and 2 false negatives.

32
33 **INSERT FIGURE 3 ABOUT HERE**

1
2 ***Differences in SA levels between b-ADL and i-ADL in patients with severe ISA***

3 While all participants with severe ISA completed the b-ADL tasks, only 11 of them completed the
4 i-ADL task. Therefore, the results for these patients are presented. The paired-samples Wilcoxon test
5 showed a significant difference in the levels of SA ($Z = 8.00, p = 0.024$). In patients with severe ISA, the
6 i-ADL_{DISCREPANCY} score (Mdn = 30%) was higher than the b-ADL_{DISCREPANCY} score (Mdn = 16%), as shown
7 in Figure 4.

8
9 **INSERT FIGURE 4 ABOUT HERE**

10
11 **Discussion**

12 ***Convergent validity***

13 The Cog-Awareness ADL Scale showed a strong correlation with PCRS, which is a well-
14 established scale for assessing SA, with acceptable psychometric and conceptual properties (Smeets et al.,
15 2012; Dromer et al., 2021a). The strong correlation between the discrepancy scores of both instruments
16 (globally and in each subscale) suggests a positive association between the existing scale and the newly
17 developed scale. Surprisingly, the correlation between the Cognitive Awareness ADL scale and the ADL-
18 subscale of the PCRS, although strong, had the lowest coefficient compared to others PCRS subscales,
19 especially on the b-ADL subscale. One possible explanation may be related to the PCRS's design, which
20 appears to prioritize questions about i-ADL over b-ADL. Another explanation is that the PCRS is a scale
21 that assesses the person's ability to perform an activity or behaviour, even if he/she does not currently
22 perform it (i.e. potential ability rather than actual ability). In contrast, the Cog-ADL scale requires the
23 person to actually perform the activity in order to answer the items. If the activity is not performed, the
24 items are not answered. Therefore, the absence of the need for hypothesizing about others' or one's ability,
25 as required by the Cog-ADL Scale, might explain the comparatively lower correlations between the two
26 scales. This difference is particularly noticeable in the ADL-subscale of the PCRS, where it is clear whether
27 the person performs the activity or not, unlike other sections such as the emotional, cognitive or
28 interpersonal subscales, which do not involve this kind of hypothesising as they relate to different aspects
29 of the person's daily life.

30
31 However, it is important to point out that a strong correlation does not necessarily mean that these
32 scales provide equally accurate estimates of true values (Bland and Altman, 2010). The Bland-Altman plot
33 showed that the majority of the differences observed between the scales in the Total_{DISCREPANCY} scores fall
34 within the 95% confidence limits, indicating an acceptable level of agreement. We believe that this is an

1 important finding in favour of the psychometric robustness of the present scale for measuring SA in ABI
2 patients. This is consistent with several studies indicating that agreement examinations are needed to
3 estimate the amount of error in the development and evaluation of health status scales and classification
4 procedures (Terwee et al., 2007; Kottner et al., 2011; Boateng et al., 2018).

5
6 In addition, it is important to note that, while these previous instruments are reliable and feasible
7 for routine use in assessing SA, they have limitations in capturing the extent to which cognitive factors
8 contribute to variations in SA across different aspects of daily life. The limited number of items addressing
9 the cognitive domain in these instruments has received considerable attention in clinical and research
10 settings (Bivona et al., 2020; Brown et al., 2021; Dromer et al., 2021a; Toglia and Goverover, 2022).
11 Conversely, the Cog-Awareness ADL Scale provides a comprehensive and complete approach isolating the
12 cognitive processes that impact activities of daily living, by incorporating multiple cognitive items that
13 have proved to be important in predicting functional performance in previous research with performance-
14 based ADL tasks. In addition, the fact that it provides numerous examples of each cognitive error type
15 within the same ADL contexts may facilitate more realistic responses from patients and caregivers and
16 reduce subjectivity. By addressing the cognitive domain in such detail, the Cog-Awareness ADL Scale
17 effectively reduces the inherent abstraction that is often associated with items related to cognitive processes
18 in other self-proxy rating discrepancy scales (Brown et al., 2021). Furthermore, the importance of our scale
19 lies in its unique structure, as it effectively differentiates between b- and i-ADLs. This novel design allowed
20 us, for the first time, to examine the distinct relationship between the discrepancy in b- vs. i-ADLs and each
21 subscale of the PCRS. Specifically, our results indicate that discrepancy in both b- and i-ADLs are
22 associated with the different aspects measured by the PCRS. This suggests that our scale has the ability to
23 capture all cognitive and functional dimensions related to ISA. This provides a comprehensive view of ISA,
24 thus contributing to a more thorough understanding of patients' condition and their ability to assess their
25 own deficits.

26 27 ***Sociodemographic, clinical, neuropsychological and functional differences among groups:***

28 Regarding neuropsychological measures, the two patient groups showed cognitive deficits in all
29 cognitive areas with lower performance in most neuropsychological tests and the Cognitive_{INDEX} compared
30 to that obtained by the HC, this was an expected outcome as cognitive dysfunction is a common adverse
31 consequence after ABI (Whyte et al., 2011). More importantly, the two ABI patients' groups did not differ
32 from each other in any of these neuropsychological measures, except in their level of ISA (greater
33 discrepancy index effect for the severe ISA group than for the no-low ISA and HC groups). We consider
34 that this is a significant finding, as it demonstrates that patient groups specifically differ in their genuine

1 SA abilities, and that these cannot be attributed or confounded with group differences in other cognitive
2 processes. The Cog-Awareness ADL Scale also allowed us to test the relationship between ISA and
3 patients' functional dependence. Chi-squared analyses on the proportion of participants performing or not
4 performing each ADL from the Scale showed that, while all patients continued to perform both b-ADL, a
5 larger proportion of patients from the severe ABI group performed none or only one of the two i-ADL and
6 very few performed both, compared to the no-low and HC groups, where most of them continued to perform
7 the two i-ADL. Altogether, these findings revealed that the presence of ISA after ABI is specifically prone
8 to alter dependence in i-ADL. To the best of the authors' knowledge, no prior scales have allowed testing
9 this task differentiation.

11 *External Validity*

12 Group comparisons confirmed the expected results. In this study, the patients with severe ISA had
13 significantly higher **TotalDISCREPANCY** scores than the patients with no-low ISA and the HC, suggesting a
14 greater tendency for patients with severe ISA to overestimate their functional abilities on the Cog-
15 Awareness ADL Scale. These findings are consistent with previous studies examining SA in participants
16 with various ABI populations and healthy controls using other self-proxy discrepancy tools such as PCRS
17 or AQ (Prigatano et al., 1998; Sherer et al., 2003; Noé et al., 2005; Bivona et al., 2019). As expected, the
18 patients with severe ISA had significantly higher discrepancy scores in the b-ADL subcategory compared
19 to the patients with no-low ISA and the HC. However, in the i-ADL subcategory, although there was a
20 pattern of higher discrepancy scores in patients with severe ISA, the observed difference, surprisingly, did
21 not reach statistical significance. This finding may be attributed to the fact that several patients in our
22 sample did not participate in the i-ADL tasks, reducing the sample to only 11 patients in the severe ISA
23 group. This limited sample size could potentially have affected the ability of the test to detect significant
24 differences in the i-ADL tasks, especially given the observed variability in the data within each group.
25 Indeed, sample size and variability are two important factors known to affect the statistical power of various
26 tests (Rusticus and Lovato, 2014).

28 *Diagnostic accuracy*

29 The diagnosis of ISA is crucial for the management of ABI patients, as it affects daily functioning
30 and influences treatment decisions and prognosis. In our study, we aimed to evaluate the diagnostic
31 accuracy of the Cog-Awareness ADL Scale in identifying ABI patients with and without ISA using a ROC
32 curve analysis. To the best of our knowledge, ROC analysis has only been used for the diagnostic accuracy
33 of one SA tool, i.e., SADI, which is a validated semi-structured interview (Ownsworth et al., 2019), but not
34 for a *self-proxy rating discrepancy scale*. The results of the ROC curve analysis indicate that the Cog-

1 Awareness ADL Scale has excellent sensitivity and specificity with a cut-off score of $> .06$, which is
2 consistent with the convention proposed by Fischer et al. (2003). This indicates that the majority of ABI
3 patients identified as having severe ISA by PCRS were correctly identified by the Cog-Awareness ADL
4 Scale. It should be noted that, although the number of false positives was higher than the number of false
5 negatives, we prioritised minimising false negatives in our study to prevent the negative consequences
6 associated with underdiagnosis of ISA, such as delayed intervention (Trevethan, 2017). In this regard, as
7 previously reported (Smeets et al., 2012; Dromer et al., 2021b; Sansonetti et al., 2021; Toglia and
8 Goverover, 2022), the development of sensitive diagnostic tools with acceptable psychometric properties
9 to accurately determine the level of ISA in patients with ABI is essential for their early rehabilitation to
10 increase motivation and engagement, improve functional outcomes, and prevent long-term consequences.

11 12 ***Do SA levels vary across tasks?***

13 Another interesting finding of this study is that individuals with severe ISA showed a significantly
14 reduced ability to accurately predict their cognitive performance on i-ADL tasks compared to b-ADL tasks.
15 This finding adds valuable insights to the ongoing discussion in recent studies, highlighting the need to
16 investigate the potential variability of ISA manifestations observed between patients and within the same
17 individual depending on task characteristics such as familiarity and task complexity (Toglia and Kirk, 2000;
18 Merchán-Baeza et al., 2020; Dromer et al., 2021a; Toglia and Goverover, 2022). As described above, to
19 our knowledge, only a few performance-based studies have addressed the effect of task type on the level of
20 SA, also finding greater challenges in SA when ABI patients faced more complex i-ADL tasks (Abreu et
21 al. 2001; Rotenberg-Shpigelman et al. 2014). The novel structure of the Cog-Awareness ADL Scale, which
22 distinguishes between b-ADL and i-ADL, seems to be the first tool to address the issue of task complexity
23 in offline SA. Indeed, as was mentioned above, it seems that the inherent complexity of i-ADL tasks,
24 including higher cognitive and social demands, may lead to a reduced ability of individuals with severe
25 ISA to anticipate steps, foresee errors, and accurately evaluate their performance. These findings have
26 important clinical implications for at least two reasons. Firstly, they underscore the importance of
27 considering different task demands and contextual factors when assessing SA after ABI, as suggested by
28 Toglia and Kirk, (2000). Secondly, as emphasised by Robertson and Schmitter-Edgecombe (2015), the
29 offline component of SA has significant implications for an individual's assessment of task difficulty, which
30 may hinder their effort and engagement in certain tasks, thus affecting their commitment in treatment
31 programmes. However, this finding, should be interpreted with caution, as it is based on a small sample
32 size of patients with severe ISA in whom both discrepancy indices for b-ADL and i-ADL tasks could be
33 calculated. Therefore, although these findings are meaningful and provide valuable insights within the
34 specific context of our study population, their generalisability may be limited. Although this limitation

1 could not have been anticipated prior to the study, replication with a larger sample of severe ISA patients
2 would provide further validation and increase the robustness of the results. Nevertheless, the fact that such
3 a reduction in the sample of severe SA ABI patients performing frequent IADL took place seems to be a
4 relevant finding that highlights the impact of SA on complex functionality and the importance of addressing
5 the specific needs of individuals with severe ISA to enhance their ability to carry out daily activities
6 effectively.

7 8 **Limitations and future directions**

9 While our multicenter study provides preliminary evidence supporting the validity of the Cog-
10 Awareness ADL Scale for identifying ABI patients with and without ISA in both research and clinical
11 settings, it is important to acknowledge some limitations. Firstly, the factor structure of the Cog-Awareness
12 ADL Scale could not be assessed due to the sample size. Conventional guidelines suggest having a ratio of
13 5 to 10 participants per item (Kyriazos, 2018), which would recommend a sample size of 155-310
14 participants for the Cog-Awareness ADL Scale. Therefore, a larger study is needed to confirm and establish
15 the factor structure of the Cog-Awareness ADL Scale. Future studies should also include test-retest and
16 inter-rater reliability analyses, which could not be included in the present study due to COVID-19
17 restrictions on sample access that were present when the study was conducted. Secondly, due to the
18 heterogeneous nature of our sample, we were unable to examine whether different etiologies, other clinical
19 factors such as severity of impairment, or lesion location may result in different profiles of SA levels. Future
20 studies with a larger sample of participants may be able to divide patients into subgroups with more
21 homogeneous clinical profiles in order to distinguish between different SA levels. In addition, future
22 investigations using this scale with larger samples could focus on assessing the extent to which SA levels
23 differ among specific cognitive domains (i.e., are patients with ISA less aware of specific cognitive items,
24 such as those related to inhibition, problem-solving or task initiation, than to others? Are potential deficits
25 in SA in specific cognitive domains related to damage into specific brain regions? Gaining insight into these
26 variations seem crucial for advancing our understanding of SA in the context of ABI (Dromer et al., 2021a;
27 Villalobos et al., 2021; Togliola and Goverover, 2022) and for tailoring personalised interventions to improve
28 patient outcomes (Smeets et al., 2012). We consider that the inherent structure of the Cog-Awareness ADL
29 Scale seem promise to start answering these relevant questions. Furthermore, in our study, we were not able
30 to assess the participants' emotional and psychological functioning. The influence of emotional and social
31 factors on SA has received considerable attention in recent systematic reviews by Brown et al. (2021) and
32 Dromer et al. (2021b). It is important for future development of the scale to address this limitation and
33 include additional items to assess these factors, in order to gain a more comprehensive understanding of
34 other potential subdomains of SA.

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Disclosure statement

We have no known conflict of interest to disclose.

Data availability statement.

The data that support the findings of this study are available from the corresponding author, DS-F, upon reasonable request.

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Author Contributions

DS-F: Methodology; Investigation; Formal analysis; Visualisation; Writing–Original Draft; Writing–Review & Editing.

MJF: Conceptualisation; Methodology; Visualisation; Writing–Review & Editing; Supervision.

AN-E: Methodology; Investigation

GR: Methodology; Investigation

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1 Appendix 1.

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3 **Table 1.** General structure of the Cognitive Awareness Scale for Basic and Instrumental Activities of Daily

4 Living

The Cog-Awareness ADL Scale		
Cog-functional abilities measured	<i>Item 1. Action schema:</i> ability to complete all necessary steps of the task in the correct order.	
	<i>Item 2. Distraction:</i> ability to avoid distraction and grabbing or making tangential actions toward irrelevant objects which were not necessary for the task.	
	<i>Item 3. Object selection:</i> ability to select the proper objects to perform the task without replacing them with others.	
	<i>Item 4. Semantic knowledge:</i> Ability to recognise the use of the objects.	
	<i>Item 5. Error detection:</i> ability to detect their own errors.	
	<i>Item 6. Problem solving:</i> ability to solve any unexpected situation occurring during the execution of an ADL.	
	<i>Item 7. Task self-initiation:</i> ability to self-initiate a task in an autonomous manner.	
	<i>Item 8. Calculation:</i> ability to perform mathematical operations accurately and efficiently.	
	<i>Item 9. Memory:</i> ability to acquire, retain, and recall information effectively.	
	<i>Item 10. Inhibition:</i> ability to control one's immediate desires or impulses and make decisions that consider the potential consequences.	
	b-ADL	i-ADL
Activities	Personal care (items 1-7) Getting dressed (items 1-7)	Cooking (items 1-7) Managing finances/shopping (items 1-10)
Number of items	14	17

Note: b-ADL = basic activities of daily living; i-ADL = instrumental activities of daily living

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1 **Table 2.** Spearman correlations between the Cognitive Awareness Scale for Basic and Instrumental
 2 Activities of Daily Living and the Patient Competency Rating Scale discrepancy indexes for the patient
 3 sample

PCRS - Discrepancy	Cog-Awareness ADL Scale		
	TotalDISCREPANCY (n = 54)	b-ADLDISCREPANCY (n = 54)	i-ADLDISCREPANCY (n = 32)
Total	0.80**	0.78**	0.69**
ADL-subscale	0.58**	0.55**	0.61**
Emotional- subscale	0.74**	0.70**	0.65**
Cognitive- subscale	0.67**	0.63**	0.64**
Interpersonal- subscale	0.64**	0.66**	0.51**

Note: PCRS = Patient Competency Rating Scale; ADL = activities of daily living; b-ADL = basic activities of daily living; i-ADL = instrumental activities of daily living

Bonferroni's corrected significance levels: * = < .05; ** = < .01

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Table 3. Comparison between participants in each group on demographic and clinical variables.

	HC (Group 1) n = 51	No-low ISA (Group 2) n = 33	Severe ISA (Group 3) n = 21	Test ¹	Group differences ²	Effect size
Demographic-clinical variables						
Age (Years)						
Mean (SD)	53 (± 17)	56 (± 11)	59 (± 13)	$\chi^2 (2) = 3.44$	ns.	$\eta^2 = .03$
Range	19-81	31-75	25-81			
Education (Years)						
Mean (SD)	11 (± 3)	11 (± 3)	9 (± 3)	$\chi^2 (2) = 7.16^*$	2 > 3*	$\eta^2 = .07$
Range	6-17	5-17	4-17			
Gender (n, %)						
Female	25 (49%)	17 (52%)	2 (10%)	$\chi^2 (2) = 11.36^{**}$	1 > 3*** 2 > 3***	$\phi = .30$
Evolution (Months)						
Mean (SD)	-	14 (± 12)	20 (± 21)	$U = 200$	ns.	$r_{bis} = .08$
Range	-	3-48	3-76			
Etiology (n, %)						
Stroke	-	25 (83%)	12 (76%)	$\chi^2 (3) = 1.44$	ns.	$\phi = .00$
Tumour	-	2 (7%)	2 (12%)			
TBI	-	2 (7%)	2 (12%)			
Infection	-	1 (3%)	0 (0%)			
Lesion location (n, %)						
Left Hemisphere	-	14 (58%)	3 (25%)	$\chi^2 (2) = 5.85^*$	-	$\phi = .33$
Right Hemisphere	-	6 (25%)	8 (67%)			
Bilateral	-	4 (17%)	1 (8%)			
Neuropsychological measures³						
INECO	25 (± 3)	19 (± 6)	18 (± 5)	$\chi^2 (2) = 34.8^{***}$	1 > 3*** 1 > 2***	$\eta^2 = .35$
RAVLT-short term	48 (± 10)	37 (± 13)	30 (± 10)	$\chi^2 (2) = 30.3^{***}$	1 > 3*** 1 > 2***	$\eta^2 = .30$
RAVLT-long term	10 (± 3)	7 (± 4)	5 (± 4)	$\chi^2 (2) = 23.6^{***}$	1 > 3*** 1 > 2**	$\eta^2 = .23$

Semantic Fluency	22 (± 6)	15 (± 6)	14 (± 5)	$\chi^2 (2) = 27.7^{***}$	1 > 3 ^{***} 1 > 2 ^{***}	$\eta^2 = .28$
CognitiveINDEX	.45 (±.61)	-.44 (±.86)	-.82 (±.70)	$\chi^2 (2) = 39.1^{***}$	1 > 3 ^{***} 1 > 2 ^{***}	$\eta^2 = .39$

Functionality measures(%)⁴

IndependenceINDEX	99 (± 3)	74 (± 20)	62 (± 15)	$\chi^2 (2) = 74.8^{***}$	1 > 3 ^{***} 1 > 2 [*] 2 > 3 ^{***}	$\eta^2 = .71$
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Note: HC = Healthy Controls; ISA = Impaired Self-Awareness; RAVLT = Rey Auditory Verbal Learning Test
Significance levels: * = < .05; ** = < .01; *** = < .001

¹ Kruskal-Wallis rank sum test and Mann-Whitney U test for continuous variables; Chi-squared test for categorical variables.

² Differences between groups were evaluated with Tukey's post hoc tests

³ Mean raw scores

⁴ Derived from the Cog-Awareness ADL Scale. Lower scores (%) represent lower functional level

Table 4. Frequency of participants from each group that perform each type of activity.

	HC (n = 51)	No-low ISA (n = 33)	Severe ISA (n = 21)
b-ADL, n(%)			
2 tasks	51 (100%)	33 (100%)	21 (100%)
1 task	-	-	-
0 tasks	-	-	-
i-ADL, n(%)			
2 tasks	51 (100%)	18 (55%)	6 (29%)
1 task	-	5 (15%)	5 (24%)
0 tasks	-	10 (30%)	10 (48%)

Note: HC = Healthy Controls; ISA = Impaired Self-Awareness; b-ADL = basic activities of daily living, i-ADL = instrumental activities of daily living

Table 5. Comparison between participants in each group on the three discrepancy indexes of the Cognitive Awareness Scale for Basic and Instrumental Activities of Daily Living.

	HC (Group 1) n = 51	No-low ISA (Group 2) n = 33	Severe ISA (Group 3) n = 21	Test ¹	Group differences ²	Effect size
Cog-Awareness Total (%)						
Mean (\pm SD)	0 (\pm 3)	2 (\pm 8)	21 (\pm 11)			
Range	-11 to 10	-13 to 29	0 to 38	$F = 6.55^{**}$	1 < 3*	.11
Median (IQR)	0 (-1, 1)	2 (-1, 4)	20 (13, 29)		2 < 3**	
Cog-Awareness b-ADL (%)						
Mean (\pm SD)	0 (\pm 2)	2 (\pm 8)	18 (\pm 10)			
Range	-5 to 7	-13 to 21	-5 to 38	$F = 6.04^{**}$	1 < 3*	.11
Median (IQR)	0 (0, 0)	2 (-2, 5)	16 (13, 25)		2 < 3**	
Cog-Awareness i-ADL (%)³						
Mean (\pm SD)	0 (\pm 6)	3 (\pm 10)	29 (\pm 18)			
Range	-16 to 20	-10 to 43	4 to 54	$F = 2.06$	ns.	.05
Median (IQR)	0 (-2, 2)	0 (-2, 3)	31 (15, 43)			

Note: HC = Healthy Controls; ISA = Impaired Self-Awareness; b-ADL = basic activities of daily living, i-ADL = instrumental activities of daily living

¹Quade's ANCOVA, selecting years of education, gender, Cognitive_{INDEX} and functionality as covariates

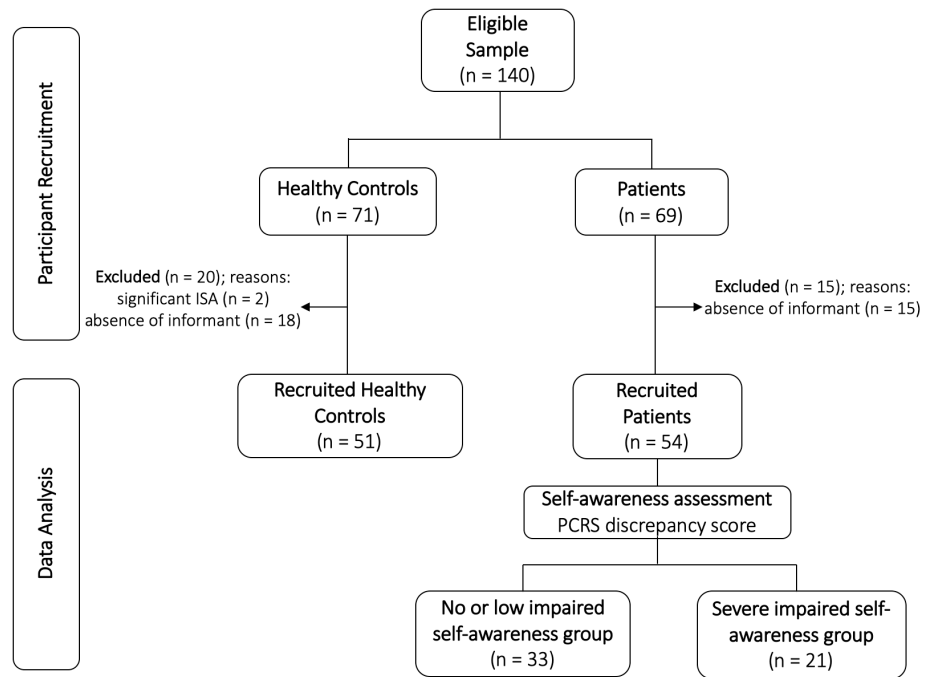
²Differences between groups were evaluated with Tukey's post hoc tests.

³In this analyses sample size for the no-low ISA group was n = 23 and for the severe ISA group was n = 11, as not all participants performed i-ADL.

Significance levels: * = < .05; ** = < .01; *** = < .01

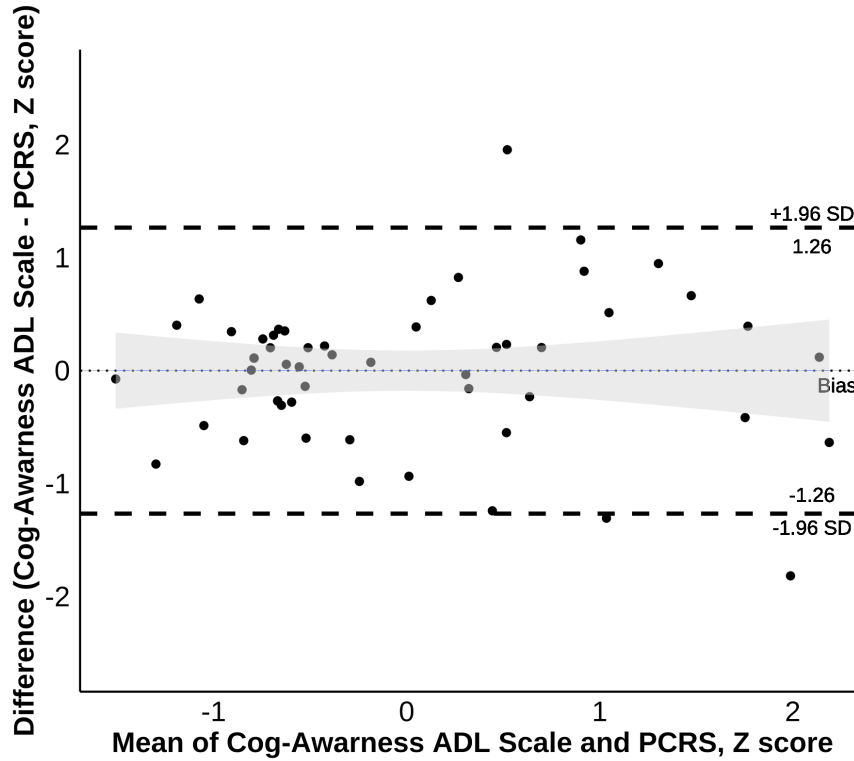
Greater mean scores (%) represent greater ISA

1 **Figure 1. Sampling Flow Chart**



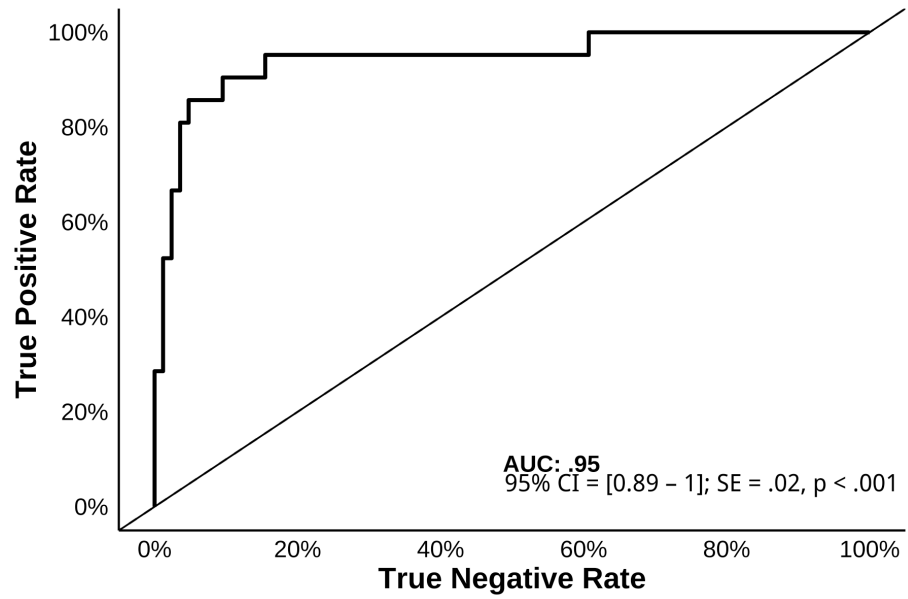
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- 1 **Figure 2.** Bland-Altman plot of agreement between the Cognitive Awareness Scale for Basic and
- 2 Instrumental Activities of Daily Living and the Patient Competency Rating Scale (PCRS) in the patient
- 3 sample ($n = 54$). The middle line represents the mean difference in the Total_{DISCREPANCY} scores of both scales.
- 4 The upper and lower dashed lines represent the upper and lower 95% confidence limits.



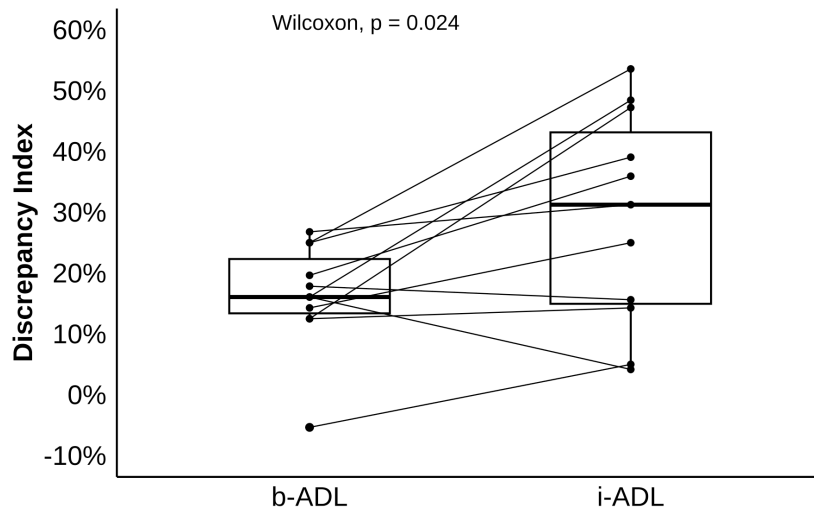
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1 **Figure 3.** Area under the curve (AUC) demonstrating the optimal sensitivity (true positive rate) and
2 specificity (true negative rate) of the Cognitive Awareness Scale for Basic and Instrumental Activities of
3 Daily Living relative to the Patient Competency Rating Scale ($n = 105$).



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1 **Figure 4.** Visualization of group and individual change between patients with severe impaired self-
2 awareness ($n = 11$) for the discrepancy index across basic (b-ADL) and instrumental activities of daily
3 living (i-ADL).



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