

Highlights

Bias in modeled solar radiation by non-resolved intra-daily AOD variability

José A. Ruiz-Arias

- Non-resolved intra-daily AOD variability induces a bias in the modeled solar radiation.
- A mathematical formulation is proposed to describe and predict this bias.
- Mean biases of about -0.2 W/m^2 and -2 W/m^2 are found in the global horizontal and direct normal solar irradiances at the surface, respectively.

Bias in modeled solar radiation by non-resolved intra-daily AOD variability

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Abstract

Attenuation of broadband solar irradiance by aerosols has been traditionally evaluated in wide areas using aerosol optical depth (AOD) discretized at monthly time steps. This fact is known to induce a bias in the long-term solar irradiance evaluations. Nowadays weather models allow for a spatially-continuous description of AOD at sub-daily steps (e.g., hourly or 3-hourly). But considering the still high uncertainty of their modeled AODs in relation with the natural sub-daily AOD variability, a key question is whether the attenuation of solar irradiance by aerosols could simply be accounted for from daily AOD means. Hence, the bias induced by the non-resolved sub-daily AOD variability should be investigated. This work proposes a mathematical methodology to understand the roots of such a biasformulation and uses AOD observations from 213 ground sites to investigate it. Overall, the mean and standard deviation of the bias at evaluating the daily mean global horizontal solar irradiance stay at about -0.2 and 1.2 W/m^2 , respectively, or -2.0

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and 5.5 W/m^2 for direct normal irradiance. The mean of the daily bias nearly vanishes using the proposed methodology while the standard deviation reduces to only 1.0 (3.3) W/m^2 in global horizontal (direct normal) solar irradiance.

Keywords: solar radiation evaluation, aerosol variability, estimation bias, mathematical model

1 **1. Introduction**

2 Atmospheric aerosols play a key role in a multitude of processes that over-
3 all rule the course of weather. Most importantly, they act as condensation
4 nuclei in cloud-formation processes and attenuate the long-wave and solar
5 radiation fluxes that traverse the atmosphere. In absence of clouds, aerosols
6 are the main attenuating atmospheric constituent.

7 Aerosol optical depth (AOD), the main optical parameter to evaluate the
8 extinction of solar radiation by aerosols, is being monitored worldwide from
9 satellite-borne spectroradiometers such as the MODerate resolution Imaging
10 Spectroradiometer (MODIS) and the Multi-angle Imaging SpectroRadiome-
11 ter (MISR) (Kaufman et al., 2000; Diner et al., 2001) and ground-based
12 sunphotometer networks such as the Aerosol Robotic Network (AERONET;
13 ?). Nonetheless, the spatially-gridded AOD that is obtained is prone to
14 data gaps because the sensors are typically mounted on polar-orbiting satel-
15 lites and the AOD retrieval algorithms are valid only under cloud-free situa-
16 tions (Levy et al., 2007, 2013; Ruiz-Arias et al., 2013a). Although gap-filling
17 methods have been proposed (Ruiz-Arias et al., 2013b; Yang and Hu, 2018),
18 the most widely-used approach, by far, is the upscaling of AOD retrievals

19 to monthly time steps (Levy et al., 2009; De Leeuw et al., 2015). Nowa-
20 days, however, atmospheric models coupled with chemistry transport models
21 (Benedetti et al., 2018; Gelaro et al., 2017; Inness et al., 2019; Schulz and
22 Kinne, 2009) provide a comprehensive description of the entire atmospheric
23 aerosol cycle throughout multi-year continuous three-dimensional grids at
24 sub-daily time steps (e.g., 3-hourly or finer) from which aerosol databases
25 and climatologies are produced, most often, over monthly time grids (Kinne
26 et al., 2013; Zubler et al., 2011). These data bases are used to evaluate the
27 solar resource amount (Gueymard and Sengupta, 2013; Ruiz-Arias and Guey-
28 mard, 2018a,b), the global radiative energy budget (Hinkelman, 2019; Wild
29 et al., 2015) or as initial and boundary conditions in subsequent numerical
30 weather simulations (Bozzo et al., 2017).

31 As shown by Ruiz-Arias et al. (2016a,b,c), the global horizontal irradiance
32 (GHI) and the direct normal irradiance (DNI) under cloud-free situations are
33 underestimated by up to about 2 % and 10 %, respectively, when a monthly
34 time grid, instead of daily, is used to describe AOD. The reason is the loss
35 of variability when AOD is represented only at monthly time steps. This
36 systematic underestimation, accumulated throughout time in scales of weeks
37 to months or longer, may also result in non-negligible forcings in numerical
38 simulations of weather (Benedetti and Vitart, 2018) and in biases in the eval-
39 uated solar resource amount. Hence, a sub-daily or, at least, daily AOD from
40 atmospheric models should be preferred in the evaluation of the solar radia-
41 tion amount at the surface. However, although model simulations can gen-
42 erally reproduce the aerosol large-scale circulation, the uncertainty of their
43 AOD predictions is still greater than the actual intra-daily variability of AOD

44 (Kocha et al., 2013; Smirnov et al., 2002). Hence, it is not clear that modeled
45 AODs can faithfully reproduce the sub-daily variability of AOD. For instance,
46 Inness et al. (2019) has shown that the standard deviation of the differences
47 between modeled AOD at 550 nm in the brand new Copernicus Atmosphere
48 Monitoring Service reanalysis (CAM5) and observations at more than 900
49 AERONET sites is 0.11. However, according to the AERONET data set de-
50 scribed in Section 3.1, which encompasses 203617 site-days throughout 213
51 AERONET sites, the mean intra-daily standard deviation of AOD at 550 nm
52 is only 0.03, with 90 % of the days having intra-daily standard deviations
53 lower or equal than 0.07. This fact seems to discourage the use of sub-daily
54 modeled AOD values in favor of daily averages at evaluating the solar radi-
55 ation amount at the surface. Nonetheless, disregarding the AOD sub-daily
56 variability by using daily or coarser AOD representations induces a bias that
57 depends on the non-resolved AOD variability. Depending on the application,
58 this bias might be important, particularly in those that involve or are affected
59 by long-term evaluations of solar radiation.

60 For illustration purposes, Fig. 1 shows the diurnal profiles of simulated
61 clear-sky GHI and DNI with the SMARTS radiative transfer model (de-
62 scribed in Section 3.2) at the Izaña AERONET station (Canary Islands,
63 Spain) for two days that have, respectively, low and high variability of
64 Angström turbidity, β (i.e., AOD at 1 μm). In order to highlight the impact
65 of neglecting the sub-daily variability of AOD, the diurnal profiles of GHI and
66 DNI are calculated for two variations of the input dataset: (i) directly using
67 the observations of β and precipitable water, w , registered at the AERONET
68 station (hereinafter also referred to as reference case), and (ii) assuming β

69 and w stay constant throughout the day to their respective observed daily
70 mean values. For reasons that will become clear later, the sub-daily varia-
71 tions of w are also considered in this evaluation. The Angström exponent,
72 α , is assumed constant and equal to its observed daily mean value such that
73 only the impacts of β and w variations on the calculated clear-sky GHI and
74 DNI are considered. However, note that the effect of the variability of α
75 in this illustration case is expected very low because it stays fairly constant
76 and similar during the two days (0.20 and 0.18). Note also that its value
77 shows that coarse aerosols, presumably from maritime and desert origin as
78 it is expected at Izaña, are dominating during both days.

79 At first sight, one might expect that the daily mean clear-sky GHI and
80 DNI values (shown in parenthesis in the plot legends) obtained using the
81 actual β and w observations (reference case) and using their respective daily
82 mean values were nearly undistinguishable because the diurnal period during
83 which clear-sky solar irradiance is underestimated is counterbalanced by the
84 period during which it is overestimated. This reasoning appears to be sup-
85 ported by Fig. 1a, in which both the diurnal profiles and daily mean values of
86 simulated clear-sky GHI and DNI are nearly alike both in the reference case
87 and when daily mean values of β and w are considered. Indeed, the daily
88 mean clear-sky DNI in the latter case is only 1 W/m^2 below the reference
89 case. For GHI, the difference is even lower (0.5 W/m^2). However, Fig. 1b
90 shows larger differences not only in the diurnal profiles of clear-sky GHI and
91 DNI but also in their daily mean values (the daily mean clear-sky DNI con-
92 sidering constant β and w values is 10.8 W/m^2 below the reference case and is
93 1 W/m^2 below in the case of GHI). This day displays a much higher variabil-

94 ity of both β and w , which is presumably produced by an intrusion of humid
95 air (possibly also dust) starting before noon. This increased variability of β ,
96 and also w to some extent, explains the biases in the modeled GHI and DNI
97 with respect to the reference case as it will be described in Section 2.

98 This work quantifies the bias that underlies the simulation of GHI and
99 DNI under cloud free situations when AOD is accounted for using daily
100 averages instead of instantaneous values. To that aim, an observational data
101 set that includes spectral AOD and precipitable water observations from
102 213 AERONET sites spanning the period 2000-2018 is used and a general
103 mathematical framework is formulated to demonstrate that this bias depends
104 on a combination of both the non-resolved intra-daily AOD variability and
105 the transfer of solar radiation throughout the atmosphere. Furthermore, it
106 is shown that this formulation allows to predict the bias from the daily AOD
107 mean and variance (i.e., without any sub-daily description of AOD) and a
108 solar radiation model that describes the radiative transfer of the atmosphere.

109 **2. Mathematical framework**

110 Let AOD be the random variable τ with mean μ_τ and variance σ_τ^2 . In our
111 working context, they are, respectively, the AOD mean and variance values
112 throughout a day. (Hereinafter, μ_x and σ_x^2 are used to denote, respectively,
113 the mean and variance of a variable x). Let R be the physical radiative
114 transfer model that evaluates the solar irradiance at the surface (i.e., for this
115 discussion, it interchangeably evaluates GHI and DNI). For simplicity, let's
116 by now assume that R only depends on τ . If the sub-daily changes of τ
117 are neglected, thus considering τ is fixed to a constant value μ_τ throughout

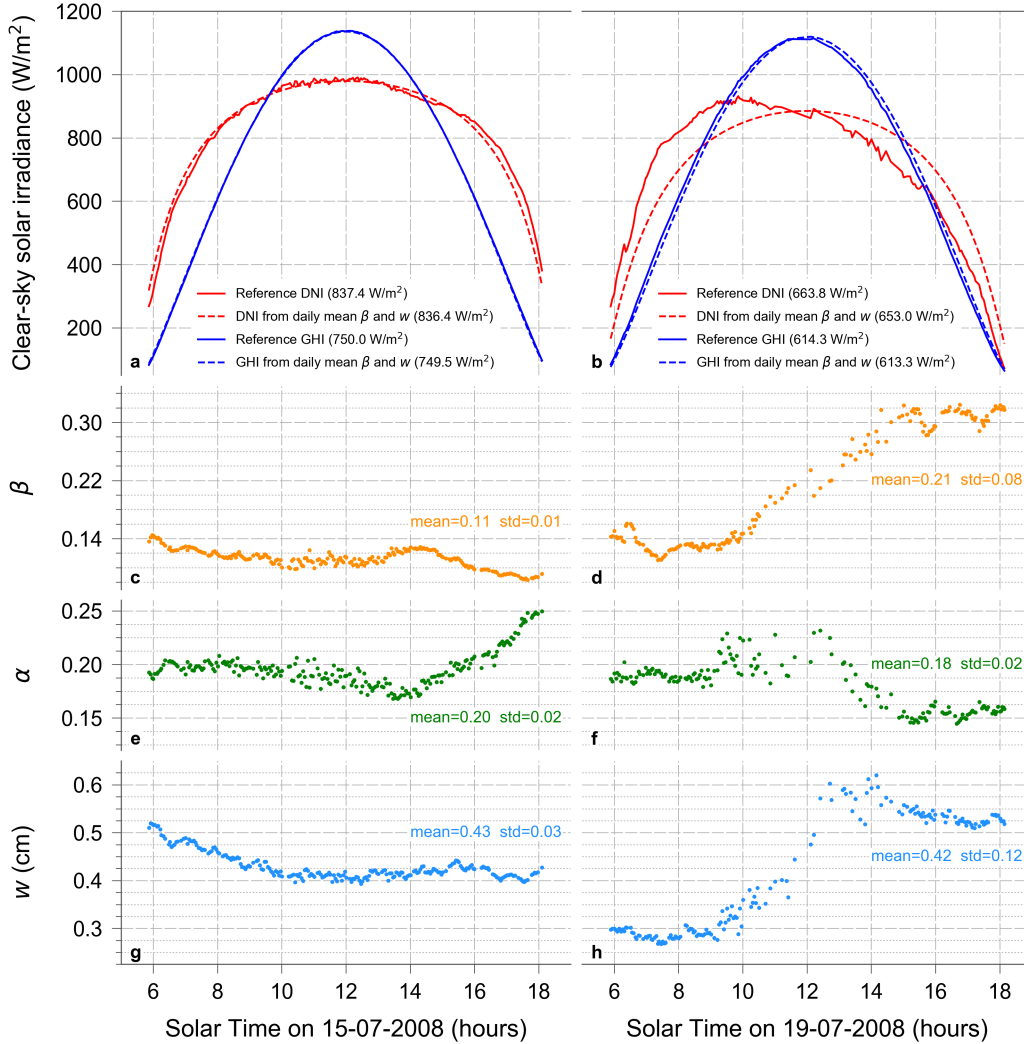


Figure 1: Diurnal profiles of simulated clear-sky GHI and DNI (panels a and b), and observed Angström turbidity (β ; panels c and d), Angström exponent (α ; panels e and f) and precipitable water (w ; panels g and h) at the Izaña AERONET station (Canary Islands, Spain) for two days with low β and w variability (left column) and high β and w variability (right column). The clear-sky solar irradiance simulations are performed with the SMARTS radiative transfer model (described in Section 3.2) for two variations of the input dataset: using direct observations of β and w (reference case; panels c–h) and assuming they are constant throughout the day to their respective daily mean values (shown in panels c–h together with the daily standard deviation, std). The daily mean values of simulated clear-sky GHI and DNI are shown in parenthesis in panels a and b.

118 the entire day, it might be argued that the actual daily mean R , μ_R , can
 119 be simply evaluated as $R(\mu_\tau)$. The potential difference between $R(\mu_\tau)$ and
 120 μ_R is the bias underlying the evaluation of R using daily mean τ instead
 121 of the actual sub-daily values. This work hinges upon this estimation bias.
 122 Hereinafter, $R(\mu_\tau)$ is referred to as *zero-order* approximation.

123 The actual mean solar irradiance at the surface is defined as

$$124 \quad \mu_R = \int_0^{+\infty} R(\tau)P(\tau)d\tau, \quad (1)$$

125 where $P(\tau)$ is the probability density function of τ such that $\int_0^{+\infty} P(\tau)d\tau =$
 126 1.

127 The value of $R(\tau)$ in the neighborhood of μ_τ can be evaluated with a
 128 Taylor expansion series as follows:

$$129 \quad R(\tau) = R(\mu_\tau) + \sum_{n=1}^{+\infty} \frac{1}{n!} \left. \frac{d^n R}{d\tau^n} \right|_{\mu_\tau} (\tau - \mu_\tau)^n, \quad (2)$$

130 where the first term of the right-hand side is the zero-order approximation
 131 and the following are higher-order Taylor correction terms. Note that the
 132 derivatives are all evaluated at μ_τ .

133 Combining Eq. (1) and (2), μ_R can be evaluated as:

$$134 \quad \mu_R = R(\mu_\tau) + \sum_{n=1}^{+\infty} \frac{1}{n!} \left. \frac{d^n R}{d\tau^n} \right|_{\mu_\tau} M_n(\tau), \quad (3)$$

135 where

$$136 \quad M_n(\tau) = \int_0^{+\infty} (\tau - \mu_\tau)^n P(\tau)d\tau \quad (4)$$

137 is the n -order central moment of $P(\tau)$. Equation (3) shows that, in general,
 138 the zero-order approximation $R(\mu_\tau)$ is different from μ_R . Thus a bias in the
 139 latter is expected when it is approximated only by the former. This is the

140 case when daily (monthly) mean solar irradiance is evaluated from the daily
 141 (monthly) mean AOD.

142 Since the first-order central moment is zero, the second-order central mo-
 143 ment is the variance of $P(\tau)$ and furthermore neglecting the higher-order
 144 Taylor correction terms, Eq. (3) reduces to:

$$145 \quad \mu_R \approx R(\mu_\tau) + \frac{1}{2} \frac{d^2 R}{d\tau^2} \Big|_{\mu_\tau} \sigma_\tau^2. \quad (5)$$

146 Therefore, $R(\mu_\tau)$ reduces to μ_R only when: (i) τ is constant (i.e., $\sigma_\tau^2 = 0$)
 147 or, (ii) the radiative transfer model is linear (i.e., $\frac{d^2 R}{d\tau^2} = 0$). Indeed, in the
 148 latter case, $R(\tau) = a + b\tau$ for arbitrary parameters a and b independent of
 149 τ . Then, $\mu_R = \overline{R(\tau)} = \overline{(a + b\tau)} = a + b\mu_\tau = R(\mu_\tau)$, as it is also predicted by
 150 Eq. (5).

151 Hence, considering that the radiative transfer of the atmosphere is a non-
 152 linear process, estimating the solar irradiance at the surface throughout a day
 153 only from the zero-order approximation generally results in a systematic error
 154 approximated by the second term of the right-hand side of Eq. (5), hereinafter
 155 referred to as *second-order* approximation. A simple case example is that
 156 of DNI, which is computed as the product of the short-wave atmospheric
 157 transmittances by every constituent of the atmosphere. Since atmospheric
 158 transmittance is a decaying exponential (Bohren and Clothiaux, 2006), its
 159 second derivative is always positive and Eq. (5) predicts that $R(\mu_\tau)$ is always
 160 smaller than μ_R because $\sigma_\tau^2 > 0$ necessarily. This is consistent with the results
 161 obtained by Ruiz-Arias et al. (2016a,b,c) purely based on data analysis using
 162 Monte Carlo methods.

163 In the more general case, R not only depends on τ but also on solar
 164 position and other atmospheric constituents. By assuming that R depends

165 on m independent variables x_i , it can be demonstrated that Eq. (5) extends
166 to:

$$167 \quad \mu_R \approx R(\vec{\mu}) + \frac{1}{2} \sum_{i=1}^m \frac{\partial^2 R}{\partial x_i^2} \Big|_{\vec{\mu}} \sigma_i^2, \quad (6)$$

168 where $\vec{\mu} = (\mu_1, \mu_2, \dots, \mu_m)$, with μ_i and σ_i^2 being, respectively, the mean and
169 variance of variable x_i . The first and second terms of the right-hand side are
170 the generalization of the zero- and second-order approximations, respectively.

171 The second-order approximation provides a mathematical foundation to
172 explain why and how a bias appears when sub-daily AOD variability is ne-
173 glected. A thorough investigation of higher-order Taylor correction terms is
174 postpone for future analyses.

175 **3. Data and methods**

176 *3.1. Ground observations*

177 The observational data set comprises AOD at multiple wavelengths and
178 precipitable water observations from the All-points Level-2 AERONET data
179 base, Version 3, (Giles et al., 2019) at 213 sites spanning the period 2000–
180 2018. The observations are gathered typically every 15 min although those
181 observations affected by clouds are screened during the AERONET’s quality
182 control check. Although the uncertainty in the AOD measurements can be
183 highly variable, ? Only days with 30 or more final observations with solar
184 altitude greater than 10° are retained in order to guarantee a representative
185 sampling of AOD throughout each day, overall totaling 203617 site-days at
186 all sites combined. (The term site day specifically refers to the fact that
187 the AERONET observations gathered at any two different sites during the
188 same day are treated independently and count as two different "site day"

189 instances.) The mean period covered by the observations within the day is
190 of 8.7 hours and for 90 % of the site days this time lapse is higher or equal
191 than 6.9 hours. The sites are classified by rough prevailing aerosol type into
192 fine, mixed or coarse aerosol class following the clustering approach described
193 in Ruiz-Arias et al. (2016a). This classification scheme results in 108 fine,
194 68 mixed and 37 coarse aerosol sites with 97427, 71611 and 34579 site days,
195 respectively. Broadly speaking, the fine aerosol sites are mostly affected by
196 anthropogenic aerosols and biomass burning, whereas the coarse aerosol sites
197 are mostly affected by sea salt and dust.

198 Fig. 2 shows the AERONET sites locations and aerosol prevailing type
199 (Fig. 2a) as well as the data distribution of Angström turbidity (Fig. 2b) and
200 precipitable water (Fig. 2c) by means of boxplots. Overall, the coarse sites
201 present the highest AOD turbidity and mean daily variability. The latter is
202 a consequence of the wider dynamic range of AOD values. At coarse sites,
203 precipitable water is also slightly higher than at mixed and fine aerosol sites.

204 *3.2. Radiative transfer modeling and data pre-processing*

205 The Simple Model of the Atmospheric Radiative Transfer of Sunshine
206 (SMARTS) spectral radiative transfer model (Gueymard, 2019) is used to
207 simulate GHI and DNI (i.e., it is used as a surrogate of the function R
208 introduced in Section 2). SMARTS is an accurate clear-sky parametric model
209 extensively used in a broad range of fields such as the atmospheric sciences,
210 solar resource assessment and forecasting or solar energy technologies, among
211 others (see Gueymard, 2019, and references therein). It simulates the solar
212 spectrum from 0.28 to 4.0 μm at 2002 wavelengths and has demonstrated an
213 outstanding performance at simulating clear-sky broadband fluxes compared

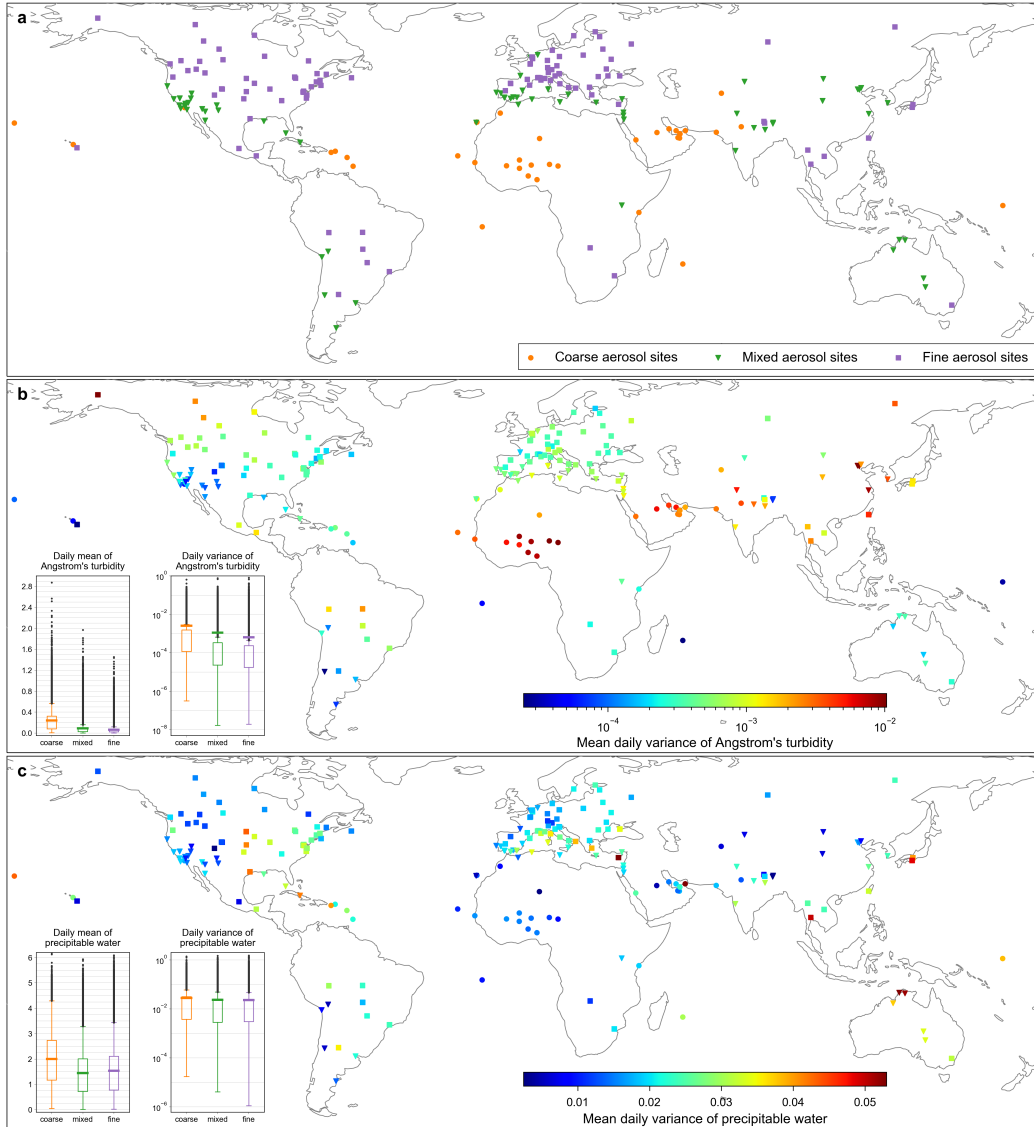


Figure 2: Location of the AERONET sites used in this study and mean properties at each site during the period 2000–2018: (a) prevailing aerosol type, (b) mean daily variance of β and (c) mean daily variance of w . The insets in panels b and c show boxplots of the daily mean and variance of β and w , respectively, by aerosol type at all sites combined. The boxplot boxes enclose the inter-quartile range (i.e, from first to third quartiles) and the whiskers extend one inter-quartile range beyond the box limits. The gray dots are observations beyond the whiskers limits and the thick horizontal lines on or near the boxes mark the mean value of each dataset.

214 to rigorous physical models and ground observations (Ruiz-Arias et al., 2013c;
215 Ruiz-Arias and Gueymard, 2018a).

216 SMARTS simulates aerosol scattering and absorption from the Angström
217 turbidity and the Angström exponent. The latter is obtained after a *log-log* fit
218 of the AOD measurements between 440 and 870 nm following the Angström’s
219 formula (Eck et al., 1999). It is directly available in the AERONET data set
220 (variable *440-870_Angstrom_Exponent*). However, Angström turbidity is
221 not directly observed so that it is here extrapolated from the observed AOD
222 at 1020 nm using the Angström’s formula and the AERONET’s retrieved α .
223 When AOD at 1020 nm is not available, the closer spectral channel available
224 below 1 μm (e.g., AOD at 870 nm) is used to perform the extrapolation
225 to 1 μm . To simulate the attenuation by water vapor, SMARTS requires
226 precipitable water, which is directly available in the AERONET data set
227 (variable *Precipitable_Water(cm)*).

228 Since, in general, the impact of α on R is of lower importance than that
229 of β and w , the impact of the intra-daily variability of α is deemed negligible
230 with respect to that of β and w and all the calculations with SMARTS are
231 performed using the mean Angström exponent, μ_α , observed each day. This
232 is an approximation that may have an impact in the results, although it is
233 expected low, and can however largely simplify the interpretation of results.
234 Notwithstanding, part of the impact of α is already accounted for because
235 the data set is stratified by prevailing aerosol conditions, which are tightly
236 related to α . In parallel, since (in general) the impact of w on R cannot be
237 neglected against that of β , the effect of the sub-daily variability of both β
238 and w is jointly investigated using Eq. (6) as it is described in Section 3.3.

239 Default values are used for the rest of input parameters required by
 240 SMARTS, namely: total column ozone (0.3 cm), surface albedo (0.2), aerosol
 241 single-scattering albedo (0.92) and aerosol asymmetry parameter (0.7). At-
 242 mospheric pressure is calculated from each site’s altitude using the hypso-
 243 metric equation with a scale-height parameter of 8434 m above mean sea
 244 level.

245 In summary, the radiative transfer simulations with SMARTS are driven
 246 by sub-daily changes of β , w and solar zenith angle as well as daily changes
 247 in α , keeping fixed the rest of input parameters. In what follows, only these
 248 four variables are therefore explicitly considered as input drivers of SMARTS.

249 3.3. Simulation of daily solar irradiances

250 At every sub-daily i -th time slot of the j -th day in the observational data
 251 set, SMARTS is run with the observed Angström turbidity, β_i^j , precipitable
 252 water, w_i^j , and daily mean Angström exponent, μ_α^j , and the calculated solar
 253 zenith angle, $\theta_{z,i}^j$. The subsequent GHI and DNI outputs are averaged as
 254 follows:

$$255 \quad \mu_R^j = \frac{1}{N_j} \sum_{i=1}^{N_j} R(\theta_{z,i}^j, \beta_i^j, w_i^j; \mu_\alpha^j), \quad (7)$$

256 where μ_R^j and N_j are the daily mean solar irradiance R (GHI or DNI) and
 257 the number of observations, respectively, for the j -th day. Subsequently, the
 258 model is run similarly for each j -th day with daily mean Angström turbid-
 259 ity, μ_β^j , and daily mean precipitable water, μ_w^j , to estimate the zero-order
 260 approximation of daily mean solar radiation, $\hat{\mu}_{R,0}^j$, as:

$$261 \quad \hat{\mu}_{R,0}^j = \frac{1N_j \sum_{i=1}^{N_j}}{R} (\theta_{z,i}^j; \mu_\beta^j, \mu_w^j, \mu_\alpha^j). \quad (8)$$

262 As it was introduced in Section 2, the difference $\hat{\mu}_{R,0}^j - \mu_R^j$, hereinafter
 263 referred to as $\Delta_{R,0}^j$, is the underlying bias error at evaluating μ_R with the
 264 zero-order approximation for the j-th day. Likewise, the second-order approx-
 265 imation of daily mean solar radiation, $\hat{\mu}_{R,2}^j$, is calculated following Eq. (6)
 266 as:

$$267 \quad \hat{\mu}_{R,2}^j = \hat{\mu}_{R,0}^j + \frac{1}{N_j} \sum_{i=1}^{N_j} \left[\frac{1}{2} \frac{\partial^2 R}{\partial \beta^2} \Big|_{\Omega_i^j} \sigma_{\beta,j}^2 + \frac{1}{2} \frac{\partial^2 R}{\partial w^2} \Big|_{\Omega_i^j} \sigma_{w,j}^2 \right], \quad (9)$$

268 where $\Omega_i^j = (\theta_{z,i}^j; \mu_{\beta}^j, \mu_w^j, \mu_{\alpha}^j)$. As for the zero-order approximation, now
 269 $\Delta_{R,2}^j = \hat{\mu}_{R,2}^j - \mu_R^j$ is the error at evaluating μ_R with the second-order ap-
 270 proximation for the j-th day. Note that the sub-daily variability in Eq. (8)
 271 and (9) is due only to solar zenith angle, $\theta_{z,i}^j$. The derivatives in Eq. (9) are
 272 evaluated using a third-order central-difference scheme.

273 4. Results and discussion

274 Fig. 3 shows the scatterplots of the actual GHI and DNI daily means
 275 μ_R^j *vis-à-vis* their zero-order approximation counterparts $\hat{\mu}_{R,0}^j$. The plots
 276 are presented by aerosol type. Overall, the discrepancies are lower for GHI
 277 than for DNI and for sites with prevailing coarse aerosol than prevailing fine
 278 aerosol.

279 The zero-order approximation error of GHI, $\Delta_{GHI,0}^j$, (Fig. 3a-c) stays
 280 nearly always within the typical expected GHI measurement error bounds
 281 (grayish area). The mean bias deviation stays reasonably similar regardless
 282 the prevailing aerosol type, with a combined value (i.e., including all sites)
 283 of -0.19 W/m^2 (see Table 1). For DNI, the zero-order approximation error,
 284 $\Delta_{DNI,0}^j$, (Fig. 3d-f) goes more often beyond the reference measurement error
 285 bounds, especially at fine aerosol sites, where site days with errors as high

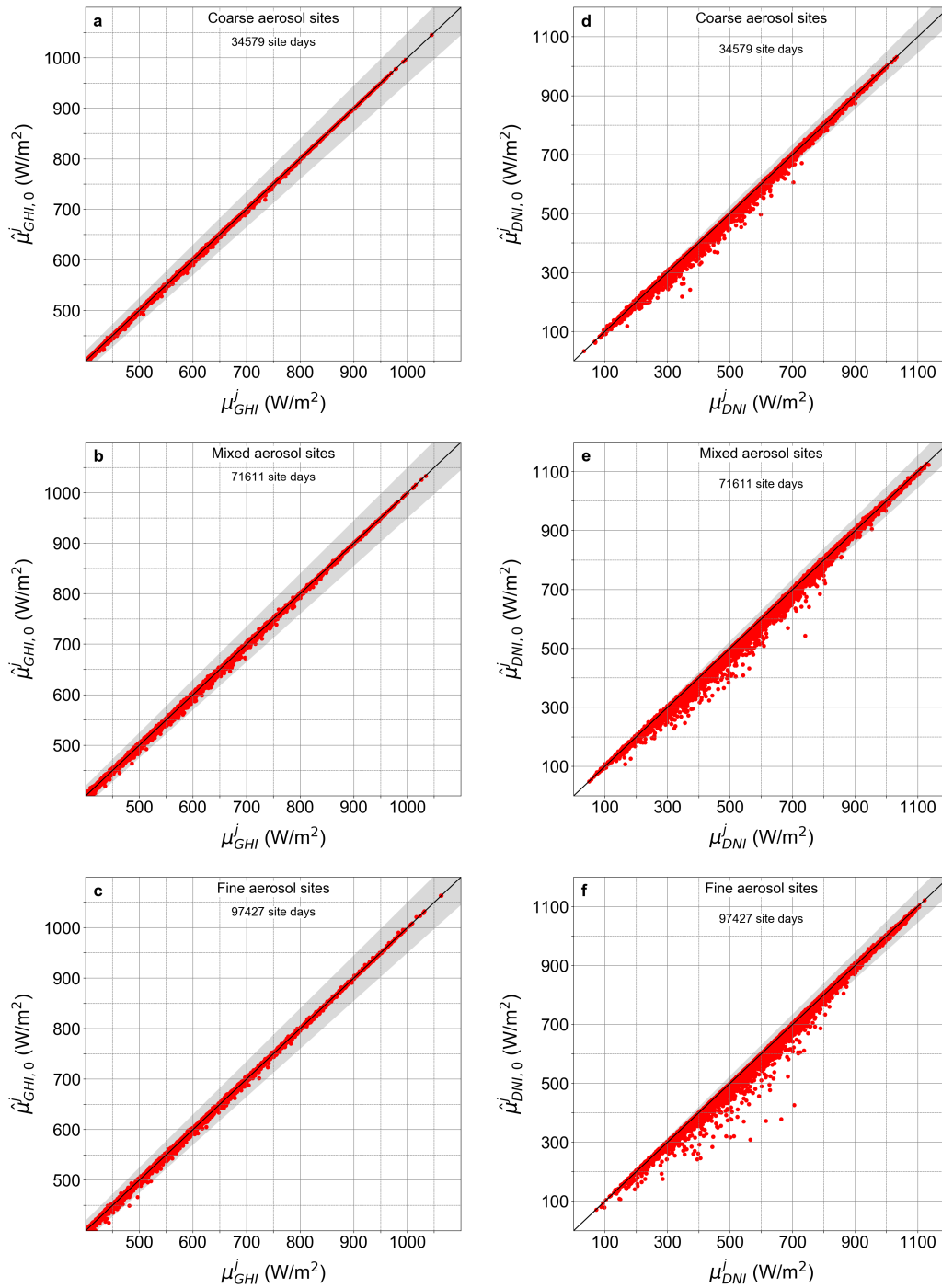


Figure 3: Scatterplots of μ_R^j vs. $\hat{\mu}_{R,0}^j$ for GHI (left column) and DNI (right column) for each aerosol type. The grayish area highlights the typically expected measurement error bounds of solar irradiance, taken here as 5 % following Habte et al. (2016).

286 as 200 W/m^2 , or more, are shown. The mean bias deviation increases to
 287 nearly or above 2 W/m^2 in all aerosol types, and has a combined value of
 288 -1.95 W/m^2 (see Table 1). Overall, MBD for DNI is negative but with
 289 magnitude comparable to that of MAD meaning that the differences are
 290 most often negative as advanced in Section 2.

291 The right side of Table 1 shows that adding the second-order Taylor cor-
 292 rection to the zero-order approximation diminishes the bias for both GHI and
 293 DNI and reduces the combined MAD from 0.69 W/m^2 to 0.61 W/m^2 for GHI
 294 (reduction of 12 %) and from 2.75 W/m^2 to 1.87 W/m^2 for DNI (reduction
 295 of 32 %). It shows also that 90 % of the $\Delta_{GHI,0}^j$ and $\Delta_{DNI,0}^j$ combined values
 296 stay below 1.54 W/m^2 and 5.91 W/m^2 , respectively. However, these figures
 297 drop to 1.39 W/m^2 and 4.22 W/m^2 when the second-order approximation is
 298 used to compute the GHI and DNI daily means, respectively.

299 Fig. 4 shows the scatterplots of the actual GHI and DNI daily means
 300 *vis-à-vis* their second-order approximations, $\hat{\mu}_{R,2}^j$. Compared to Fig. 3, the
 301 second-order approximations stay more tightly clustered within the expected
 302 measurement error bounds (i.e., $\pm 5 \%$), especially at the coarse aerosol sites.
 303 The $\hat{\mu}_{R,2}^j$ values go beyond the expected measurement error bounds for very
 304 few days for GHI at mixed and fine aerosol sites and for few days for DNI,
 305 especially at the mixed and fine aerosol sites (markers highlighted with blue
 306 edges in Fig. 4). Note that these days involve only a tiny part of the en-
 307 tire observational dataset: 0.34 %, 0.24 % and 0.14 % of the site days for
 308 DNI at coarse, mixed and fine aerosol sites, respectively. These fractions
 309 contrast with the perception from Fig. 4 that departures from the expected
 310 measurement error bounds are more probable at fine aerosol sites. The violin

Table 1: Statistical scores of the zero- and second-order approximation errors of daily mean GHI and DNI. The column scores are: mean bias difference, $\text{MBD} = \frac{1}{N} \sum_j \Delta_R^j$; mean absolute difference, $\text{MAD} = \frac{1}{N} \sum_j |\Delta_R^j|$; standard deviation, $\text{STD} = \left[\frac{1}{N} \sum_j (\Delta_R^j - \text{MBD})^2 \right]^{\frac{1}{2}}$; percentile 66 of $\{|\Delta_R^j|\}$ (P66); and percentile 90 of $\{|\Delta_R^j|\}$ (P90). All figures are in W/m^2 .

	0th-order approximation				2nd-order approximation			
	MBD	MAD	STD	P90	MBD	MAD	STD	P90
GHI								
Coarse aerosol	-0.21	0.70	1.06	1.54	0.09	0.63	0.88	1.40
Mixed aerosol	-0.21	0.76	1.29	1.70	0.04	0.64	1.02	1.45
Fine aerosol	-0.17	0.63	1.07	1.43	0.12	0.58	1.04	1.34
All combined	-0.19	0.69	1.15	1.54	0.09	0.61	1.01	1.39
DNI								
Coarse aerosol	-2.03	2.83	5.17	6.22	0.01	1.78	2.75	4.12
Mixed aerosol	-1.87	2.87	5.52	6.07	0.00	2.11	3.45	4.75
Fine aerosol	-1.98	2.63	5.60	5.67	-0.05	1.72	3.28	3.84
All combined	-1.95	2.75	5.50	5.91	-0.02	1.87	3.26	4.22

311 plots of each panel in Fig. 4 depict the distributions of Δ_R^j for the zero- and
 312 second-order approximations. Clearly, the latter diminishes the bias (virtu-
 313 ally removing it for DNI) and reduces the spread of residues compared to
 314 the zero-order approximation, consistently with the results already shown in
 315 Table 1.

316 To gain understanding on the reasons that lead to deviations of $\hat{\mu}_{DNI,2}^j$
 317 beyond the expected measurement error bounds, Tables 2-4 show the mean
 318 prevailing conditions during the site days for which $|\Delta_{DNI,2}^j|$ is greater than
 319 5 % and for which it is less or equal than 5 % for each aerosol type. Over-
 320 all, both μ_β^j and $\sigma_{\beta,j}$ are notably higher in all cases when $|\Delta_{DNI,2}^j| > 5\%$
 321 which appears to indicate that the validity of the Taylor approximation under
 322 highly turbid and variable conditions may become questionable. Note that
 323 precisely highly turbid sites are typically characterized by highly variable
 324 aerosol turbidity (Ruiz-Arias et al., 2016a). The increase of the deviations of
 325 $\hat{\mu}_{DNI,2}^j$ is likely reinforced by higher μ_w^j and $\sigma_{w,j}$ values that overall also occur
 326 when $|\Delta_{DNI,2}^j| > 5\%$ except for μ_w^j in coarse aerosol sites. With regards to
 327 Angström exponent, it appears that extreme situations such as low values at
 328 coarse aerosol sites and high values at fine aerosol sites, may also contribute
 329 to the increase of the magnitude of $\Delta_{DNI,2}^j$. However, they might just be
 330 a result of the high turbidity episodes included within the cases for which
 331 $|\Delta_{DNI,2}^j| > 5\%$, such as dust storms in coarse locations and pollution out-
 332 breaks in fine aerosol sites, but its verification would require further scrutiny
 333 that is out of the scope of this study. These conclusions, to a lower extent,
 334 also hold for GHI.

335 The Angström turbidity insets in Fig. 2b show that AOD at the coarse

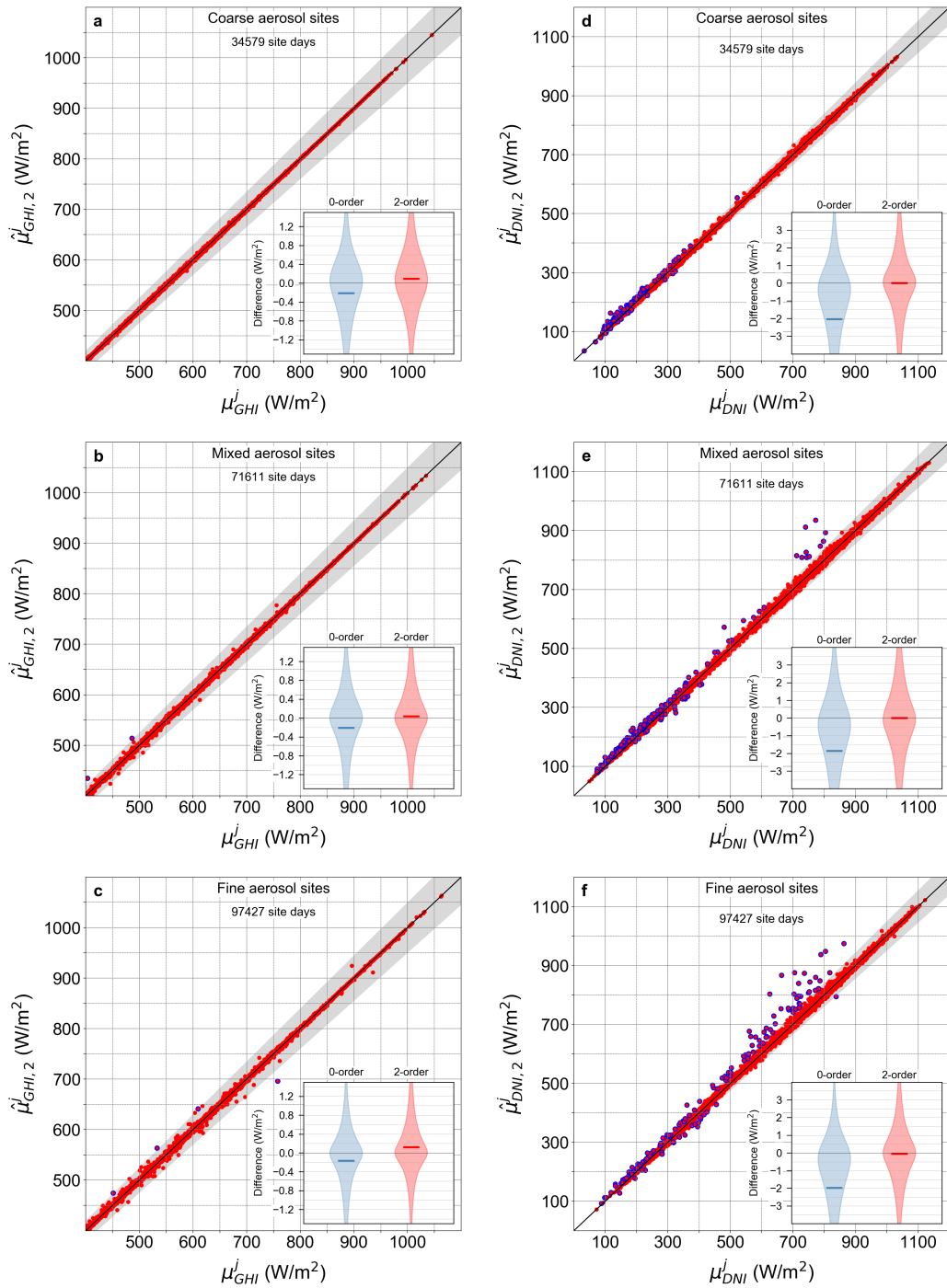


Figure 4: As Fig. 3 but for $\hat{\mu}_{R,2}^j$. The edge of the markers for site days beyond the expected measurement error bounds are highlighted in blue. The violin plots in each panel show the distribution of $\Delta_{R,0}^j$ and $\Delta_{R,2}^j$ in each case.

Table 2: Mean value of site day characteristics at the coarse aerosol sites for days with $|\Delta_{DNI,2}^j|$ greater than 5 % (blue-edged points in Fig. 4d) and less or equal than 5 % (rest of points in Fig. 4d). The columns are the mean of: number of daily counts (N_j), daily mean Angström turbidity (μ_β^j), daily standard deviation of Angström turbidity ($\sigma_{\beta,j}$), daily mean precipitable water (μ_w^j) in cm, daily standard deviation of precipitable water ($\sigma_{w,j}$) in cm, daily mean Angström exponent (μ_α^j), daily mean DNI (μ_{DNI}^j) in W/m², and magnitude of the daily mean DNI second-order approximation error, $|\Delta_{DNI,2}^j|$, in percent. The mean solar zenith angle is about 53° in both cases.

	N_j	μ_β^j	$\sigma_{\beta,j}$	μ_w^j	$\sigma_{w,j}$	μ_α^j	μ_{DNI}^j	$ \Delta_{DNI,2}^j $
$ \Delta_{DNI,2}^j > 5\%$	37.6	1.04	0.26	1.84	0.18	0.18	182	8.2
$ \Delta_{DNI,2}^j \leq 5\%$	47.2	0.23	0.05	2.00	0.17	0.54	605	0.3

Table 3: As Table 2 but for sites with mixed aerosol type (data in Fig. 4e). The mean solar zenith angle is about 54° in both cases.

	N_j	μ_β^j	$\sigma_{\beta,j}$	μ_w^j	$\sigma_{w,j}$	μ_α^j	μ_{DNI}^j	$ \Delta_{DNI,2}^j $
$ \Delta_{DNI,2}^j > 5\%$	43.0	0.72	0.27	2.17	0.24	1.11	256	9.0
$ \Delta_{DNI,2}^j \leq 5\%$	48.8	0.08	0.03	1.44	0.15	1.07	767	0.3

Table 4: As Table 2 but for sites with fine aerosol type (data in Fig. 4f). The mean solar zenith angle is about 56° in both cases.

	N_j	μ_β^j	$\sigma_{\beta,j}$	μ_w^j	$\sigma_{w,j}$	μ_α^j	μ_{DNI}^j	$ \Delta_{DNI,2}^j $
$ \Delta_{DNI,2}^j > 5\%$	57.9	0.42	0.29	1.98	0.22	1.65	428	8.9
$ \Delta_{DNI,2}^j \leq 5\%$	55.1	0.06	0.02	1.53	0.15	1.43	770	0.2

336 aerosol sites is, overall, higher and more variable than at fine aerosol sites.
 337 Thus, it seems counterintuitive that the misalignments shown in Fig. 3 at
 338 the coarse aerosol sites appear smaller than at the fine aerosol sites, specially,
 339 for DNI. However, as it is expected, the magnitude of $\Delta_{DNI,0}^j$ values at the
 340 coarse sites in Table 1 is, at least, slightly greater than at the fine sites (-2.03
 341 W/m^2 *vs.* -1.98 W/m^2 , respectively). At first sight, Table 1 and Fig. 3
 342 show contradictory results. A closer inspection of this issue, particularized
 343 for DNI, is presented in Fig. 5, which shows the distribution of observations
 344 in the space $(\mu_{\beta}^j, \sigma_{\beta,j}^2)$ for coarse and fine aerosol sites. The 0.5 % of days
 345 with the highest $\Delta_{DNI,0}^j$ values are colored by error magnitude. They all fall
 346 within the space area with low or moderate turbidity and/or high intra daily
 347 variability. Overall, the days with high intra-daily variability at fine aerosol
 348 sites typically occur with coincidentally lower daily mean turbidities than at
 349 coarse aerosol sites and the highest $\Delta_{DNI,0}^j$ at the former are greater than at
 350 the latter, consistently with the misalignments seen in Fig. 3 at fine aerosol
 351 sites.

352 The fact that days with high intra-daily variability and high (low) turbid-
 353 ity result in relatively modest (important) Δ_R^j differences, typical situations
 354 at coarse (fine) aerosol sites, can be explained in virtue of Eq. (5). To that
 355 aim, one must note that the atmospheric transmittance by aerosols, which is
 356 a decaying exponential, becomes: 1) less sensitive to AOD changes at large
 357 AODs and, 2) more sensitive to AOD changes at low AODs as Angström
 358 exponent increases. This favors that the second derivative of the radiative
 359 transfer model with respect to AOD in the Taylor correction terms dominates
 360 over the intra-daily variability of AOD for situations with high turbidities

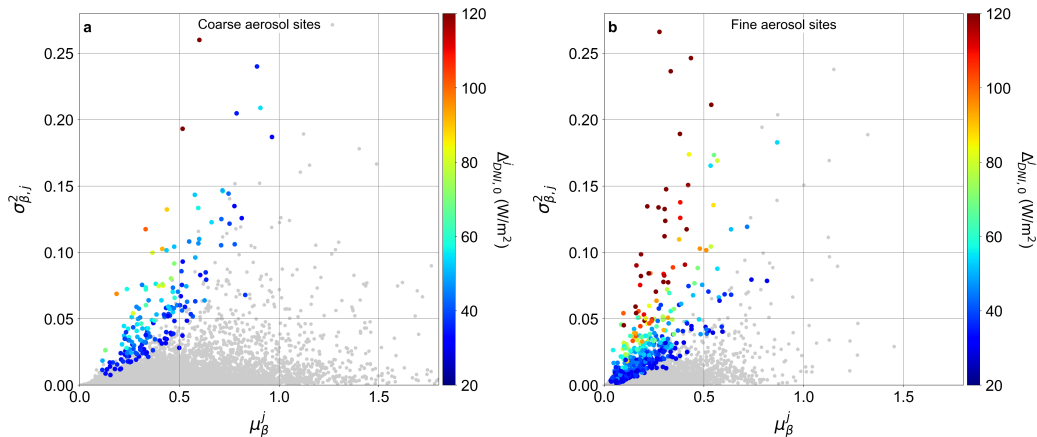


Figure 5: Distribution of observations in the space $(\mu_{\beta}^j, \sigma_{\beta,j}^2)$ for coarse (a) and fine (b) aerosol sites. The 0.5 % of days with the highest $\Delta_{DNI,0}^j$ are colored by error magnitude in W/m^2 .

361 at coarse aerosol sites (small second derivative) and enhances the effect of
 362 high intra-daily variability of AOD for situations with low turbidities at fine
 363 aerosol sites (large second derivative).

364 5. Conclusions

365 The non-resolved intra-daily variability of AOD induces a systematic de-
 366 viation in the calculations of the daily mean (or sum) of solar irradiance at
 367 the surface (both GHI and DNI) as compared to when AOD is fully resolved
 368 at sub-daily time scale. It has been shown that: 1) the deviation is caused
 369 by the non-linearity of the atmospheric radiative processes involving AOD
 370 and, 2) it is proportional to the intra-daily AOD variance. A data set of
 371 213 AERONET sites roughly classified by prevailing aerosol type as coarse,
 372 mixed and fine has been used to evaluate such a systematic deviation. To
 373 that aim, the SMARTS radiative transfer model has been used to compute

374 GHI and DNI. Overall, the error in GHI stays very similar regardless the
375 aerosol type at about -0.2 W/m^2 (all sites combined), with only 10 % of
376 the samples featuring an error greater than about 1.5 W/m^2 . For DNI, the
377 error is nearly ten times higher (-1.95 W/m^2 at all sites combined) with
378 10 % of the samples featuring an error greater than nearly 6 W/m^2 at all
379 sites combined.

380 Overall, the systematic error is slightly higher at coarse sites than at fine
381 sites. However, the very highest errors at fine aerosol sites are (or can be)
382 much higher than at coarse aerosol sites because, although the intra-daily
383 AOD variance can be similar at both kinds of sites, the aerosol transmit-
384 tance is more sensitive to AOD changes at low AODs and high Angström's
385 exponents, typical situation at fine aerosol sites.

386 Overall, the results of this study suggest that the underlying bias in mod-
387 eled GHI due to the use of daily rather than sub-daily aerosol properties and
388 precipitable water may not be critical for most practical applications, in con-
389 trast with the modeled DNI in which the bias increases up to about 2 W/m^2 .
390 The mathematical framework presented here can be used to predict this sys-
391 tematic deviation just making use of aggregated variables of AOD (mean and
392 variance) and, possibly, of other variables involved in the calculation of solar
393 irradiance such as precipitable water. It can be likewise applied to other time
394 scales, not necessarily restricted only to daily intervals.

395 In subsequent studies the role of other variables such as Angström expo-
396 nent and the cross-correlations between all of them will be evaluated, as well
397 as potential improvements based on the consideration of higher-order Taylor
398 correction terms.

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