

Correlation between architectural variables and torque in the erector spinae muscle during maximal isometric contraction

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Abstract

This study analysed whether a significant relationship exists between the torque and muscle thickness and pennation angle of the erector spinae muscle during a maximal isometric lumbar extension with the lumbar spine in neutral position. This was a cross-sectional study in which 46 healthy adults performed three repetitions for 5 s of maximal isometric lumbar extension with rests of 90 s. During the lumbar extensions, bilateral ultrasound images of the erector spinae muscle (to measure pennation angle and muscle thickness) and torque were acquired. Reliability test analysis calculating the internal consistency (Cronbach's alpha) of the measure, correlation between pennation angle, muscle thickness and torque extensions were examined. Through a linear regression the contribution of each independent variable (muscle thickness and pennation angle) to the variation of the dependent variable (torque) was calculated. The results of the reliability test were: 0.976–0.979 (pennation angle), 0.980–0.980 (muscle thickness) and 0.994 (torque). The results show that pennation angle and muscle thickness were significantly related to each other with a range between 0.295 and 0.762. In addition, multiple regression analysis showed that the two variables considered in this study explained 68% of the variance in the torque. Pennation angle and muscle thickness have a moderate impact on the variance exerted on the torque during a maximal isometric lumbar extension with the lumbar spine in neutral position.

Keywords: *ultrasonography, pennation angle, muscle thickness, lumbosacral region, reliability, multiple regression analysis*

1. Introduction

The erector spinae is primarily responsible for lumbar extension, and also contributes to the lateral tilt of the trunk and maintaining posture (Bogduk, Macintosh, & Percy, 1992; Stokes, Hides, Elliott, Kiesel, & Hodges, 2007). These functions therefore merit further study of this important muscle group in the lower back, to identify normal biomechanical behaviour in comparison with participants suffering from musculoskeletal disorders that could be conditioned by abnormal behaviour of this muscle, such as low back pain sufferers (McGill, Grenier, Kavcic, & Cholewicki, 2003; Stokes et al., 2007).

The forces produced by muscle contractions generate joint movements, which depend on muscle-tendon forces and the length of the lever arm, defined as the perpendicular distance from the rotation centre of the joint to the muscle-tendon action line (Pandy, 1999; Tsaopoulos, Baltzopoulos, & Maganaris, 2006). It is common for researchers to measure changes in functional parameters that depend on strength (force,

torque, etc.) (Al-Mulla, Sepulveda, & Colley, 2011; Delp, Suryanarayanan, Murray, Uhlir, & Triolo, 2001; Larivière et al., 2010) in order to understand the factors contributing to joint movements, from anatomical (lever arm or muscle size for example) and/or neuromotor (synergist, antagonist and agonist activation) perspectives (Blazevich, Coleman, Horne, & Cannavan, 2009).

The most common procedure for measuring the muscle force in a laboratory involves the use of Isokinetic dynamometer connected to computers (Clemons et al., 2004). The variable that is most often obtained from their use is the torque, defined as the product of the intensity of the force (modulus) by the distance from the point of application of the force to the axis of rotation (Al-Mulla et al., 2011; Tsaopoulos et al., 2006).

In biomechanics, ultrasound is commonly used to assess changes in muscle architectural variables such as muscle thickness (Bojsen-Moller, Hansen, Aagaard, Kjaer, & Magnusson, 2003; Mannion et al., 2008; Masuda, Miyamoto, Oguri, Matsuoka, & Shimizu,

2005; Nogueira et al., 2009; Springer & Gill, 2007; Watanabe, Miyamoto, Masuda, & Shimizu, 2004) and/or pennation angle (Fukunaga, Kawakami, Kuno, Funato, & Fukashiro, 1997; Kawakami, Abe, & Fukunaga, 1993; Mahlfeld, Franke, & Awiszus, 2004). Pennation angle is defined as the angle between a parallel aponeurosis line and the line of the clearest fascicle as the positive angle (Hodges, Pengel, Herbert, & Gandevia, 2003), and muscle thickness was defined as the distance between superficial and deep muscle aponeurosis at a 90° angle from the deep aponeurosis (Bland, Prosser, Bellini, Alter, & Damiano, 2011). Both architectural variables have been used most frequently to assess the dynamic behaviour of muscle using ultrasound (Hebert, Koppenhaver, Parent, & Fritz, 2009; Stokes et al., 2007; Teyhen et al., 2007). Studies have shown that the reliability of ultrasound in detecting changes in paraspinal muscles varies from moderate to excellent, giving intra-class correlation coefficient values of between 0.72 and 0.98 (Stokes et al., 2007).

Different studies have evaluated quantitative architectural variables of paraspinal musculature to analyse the behaviour and the change in muscle morphology, using static and dynamic images, for various types of contraction (Kiesel, Uhl, Underwood, & Nitz, 2008; Kiesel, Uhl, Underwood, Rodd, & Nitz, 2007; Lee et al., 2007; Masuda et al., 2005; Watanabe et al., 2004). However, to our knowledge, there are no tests examining how pennation angle and muscle thickness of the erector spinae interact with the torque to mediate lumbar extension.

The aim of this study was therefore to analyse whether a significant relationship existed between the torque of the erector spinae muscle and the muscle thickness and pennation angle measured by ultrasound during a maximal isometric lumbar extension from a sitting position with the lumbar spine in neutral position. The hypothesis was that there was a significant correlation between the dependent variable (torque) and the independent variables (muscle thickness and pennation angle) of the erector spinae. This finding may facilitate the understanding of how architectural changes occur during erector spinae maximal isometric extension.

2. Methods

The study was of cross-sectional design and examined the correlation between muscle thickness and pennation angle and the torque during a maximal isometric lumbar extension with the lumbar spine in neutral position and an angle of 90° between the hips and the trunk.

The study had the following inclusion criteria: healthy adults between 18 and 40 years of age and of both gender with no history of low back pain in

the year preceding the study. Participants were excluded if they suffered flashpoint processes in the lumbar spine as a result of spinal disorders or suffered from pain, nerve root/radicular pain, an infection, osteoporotic fractures or neoplastic, metastatic or arthritic disease. Those suffering from scoliosis or any column asymmetry, cognitive impairment of any aetiology or unable to perform the movements in the trial and/or participants with a body mass index over 35 kg/m² were also excluded. Forty-six participants took part and five participants were excluded in the present study.

All participants provided written informed consent. This study was conducted in accordance with the Ethical Principles for Medical Research Involving Human Subjects (Williams, 2008) and approved by the ethics committee of the University of Malaga.

According to the Spanish laws on personal data protection (Organic Law 15/1999 and Royal Decree 1720/2007), the anonymity of each participant is guaranteed at all times as well as a perfect personal data escrow. The data were stored on a computer and encrypted password protected and only the two researchers of this study had access to them.

2.1. Screening questionnaires

To better define the group of participants who took part in this trial, each completed four self-administered questionnaires: SF-12 (Luo et al., 2003; Ware, Kosinski, & Keller, 1998), EuroQol 5D (Badia, Roset, Montserrat, Herdman, & Segura, 1999), the Örebro Musculoskeletal Pain Questionnaire (Linton & Halldén, 1998) and the Roland Morris Questionnaire (Roland & Morris, 1983a, 1983b) before commencing the study, which allowed us to develop a general screening group in terms of general health state, quality of life, pain and disability caused by back pain, respectively. The reliability of these tools is 0.70–0.89 (Luo et al., 2003; Vilagut et al., 2008; Ware et al., 1998), 0.86–0.90 (Badia et al., 1999; Van Agt, Essink-Bot, Krabbe, & Bonsel, 1994), 0.98 (Linton & Halldén, 1998) and 0.87 (Kovacs et al., 2002), respectively.

2.2. Ultrasound image acquisition

Five-cm-wide ultrasound head (SonoSite ultrasound mod, Titan, with a linear array transducer and frequency range of 6–13 MHz for ultrasound image acquisition) was placed longitudinally at the level of L₃–L₄ and separated by 3 cm from the line drawn from the level of the spinous processes. The ultrasound image acquisition was performed bilaterally. The ultrasound image was 6.5 cm deep. Before the study, an extensive pilot testing with ultrasound

175 studies was conducted to determine a correct ultra-
 180 sound image acquisition protocol (Stokes et al.,
 2007).

180 Ultrasound gel was applied between the skin and
 the head to get better acoustic coupling. It made a
 mark on the skin and the head of the ultrasound was
 therefore always placed at the same site.

185 For the analysis of all architectural variables, the
 clearest image was chosen to ensure the right selection
 of the reference points of each measured param-
 eter. Muscle thickness and pennation angle were
 measured following an adapted procedure described
 by Hodges et al. (2003). Thickness was measured as
 the distance between the superficial and deep apo-
 neuroses. Pennation angle was measured between a
 parallel deep aponeurosis line and the line of the
 clearest fascicle as the positive angle.

190 **Figure 1** shows an example of how muscle thick-
 ness and pennation angle were obtained from the
 ultrasound images.

2.3. Peak torque measurement

195 To record the maximum muscle strength of each
 participant, a computerised Isokinetic dynamometer
 (Real Power, Globus Italia) was positioned between
 two chains. The sequence of objects between the
 wall and the lumbar extension machine was as fol-
 200 lows: chain, computerised isokinetic dynamometer
 and chain (**Figure 2**).

205 Lumbar extension strength was recorded within 5
 s of each repetition. The peak recorded after three
 repetitions was considered the maximum peak force.
 The torque was calculated multiplying the value of
 that peak of force (N) by the distance between the
 computerised Isokinetic dynamometer and the push
 arm of the machine (0.95 m).

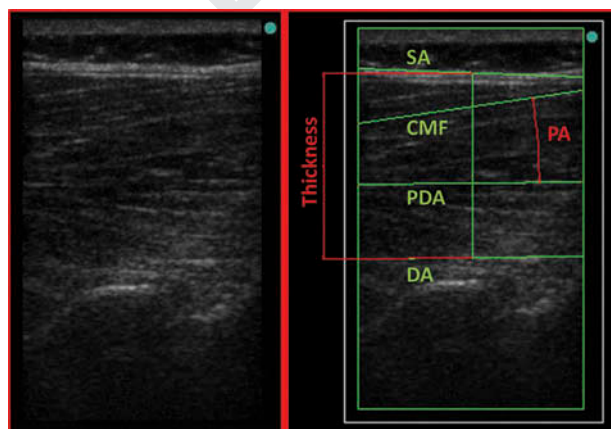


Figure 1. Example of architecture variables measured from ultra-
 sound image.

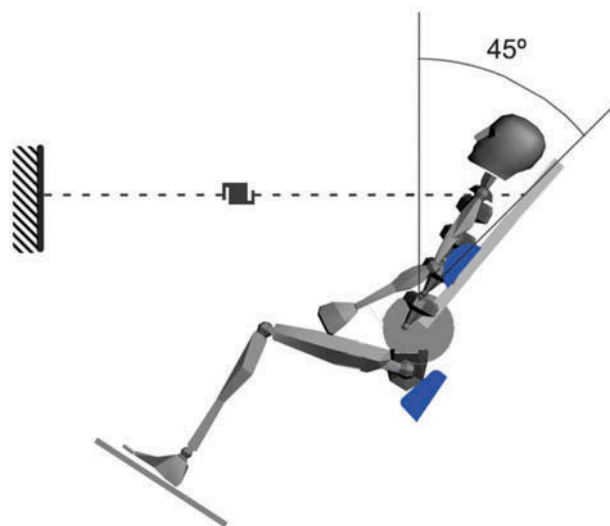


Figure 2. Scheme of the position of the participants and execution
 of the gesture analysis.

2.4. Experimental protocol

210 Participants performed a 5-min warm-up. Then par-
 ticipants were seated in the apparatus where the test
 was to be performed. It was verbally explained to all
 participants how to perform the test and during the
 explanation it was emphasised that the isometric
 lumbar extension had to be maximum. Then, the
 215 training started and each subject performed between
 three and five maximum executions. The intensity of
 these maximal isometric lumbar extensions was
 monitored by a researcher using the Isokinetic
 dynamometer and a computer to ensure that parti-
 220 cipants understood the instructions. Each participant
 was given the same verbal test instructions before the
 test and all were encouraged in the same way during
 the execution of the test. After the training period,
 the participants had 5-min rest break.

225 Each participant performed three repetitions of the
 maximal isometric lumbar extension. The rest time
 between sets was 90 s. The maximum voluntary
 contraction was defined as the highest torque value
 measured during the isometric contraction
 230 (Häkkinen & Häkkinen, 1991).

Figure 2 shows the type of movement made by
 each participant. It should be noted that the chains
 and the Isokinetic dynamometer stopped the move-
 235 ment at a 45° angle from the vertical, which was at
 90° from the lower limbs (**Figure 2**).

240 During the execution, ultrasound images and tor-
 que measures were acquired. The architectural vari-
 ables (pennation angle and thickness) were obtained
 bilaterally after analysing the ultrasound images. The
 start and end of the synchronising of all systems
 during each test were marked by an activation device
 or trigger, which indicates the exact torque value for
 each ultrasound images analysed offline.

245 2.5. Statistical analysis

The statistical treatment consisted of a participant group descriptive analysis, a correlation between all the variables studied in this trial using a Pearson correlation test. The correlation coefficients classification used to understand the results was: $r < 0.49$, poor correlation, $0.50 > r < 0.74$, moderate correlation, $r > 0.75$, strong correlation (Portney & Watkins, 2000).

Moreover, through a linear regression an estimate of the contribution of each independent variable (muscle thickness and pennation angle) to the variation of the dependent variable (torque) was calculated.

For each variable (muscle thickness, pennation angle and torque), the reliability of the measure was calculated. Reliability was considered as a test-retest standard deviation of differences either as the 95% limits of agreement (Atkinson & Nevill, 1998; Nevill & Atkinson, 1997). For this, the three measures were acquired during maximal isometric lumbar extensions and they were used to calculate the internal consistency (Cronbach's alpha) of the measure, together with the 95% confidence interval for each variable.

These calculations were performed using the Statistical Package for the Social Sciences (SPSS) (version 17.0 for Windows, Illinois, USA).

3. Results

Table I summarises the descriptive values of the participants. Forty-six adult (21 males and 25 females) participated in this study. The males and females averaged 30.39 years of age with standard

deviations of 8.2 and 7.4 years, respectively. The average male height was 178.1 (± 6.7) centimetres, with 165.8 (± 5.2) centimetres for the females. On average, the men weighed 78.6 (± 14.4) kg and the females were 57.9 (± 6.7) kg. Body mass index for males and females was 21.61 (± 3.44) kg/m² and 24.84 (± 2.87) kg/m², respectively. The rest of the characteristics describing the group are presented in Table I.

The results after the reliability test performed on muscle thickness and pennation angle (architectural variables) showed a very high stability measure, with Cronbach's alpha values for right angle 0.976 (95% CI: 0.940–0.991), for left angle 0.979 (95% CI: 0.965–0.989), for right muscle thickness 0.983 (95% CI: 0.963–0.991) and for left muscle thickness 0.980 (95% CI: 0.966–0.992). Furthermore, the reliability of torque also showed a very high stability measure, with 0.994 (95% CI: 0.992–0.997) in Cronbach's alpha values. Table II shows, in addition, the Standard Error of Measurement (SEM) values for the different variables considered.

Table II. Reliability values of the different results variables.

	SEM (Stand. Error. Measu.)	Cronbach's α	IC (95%)	
			Min.	Max.
Pennation Angle _{Right}	0.200	0.976	0.940	0.991
Pennation Angle _{Left}	0.221	0.979	0.965	0.989
Muscle Thickness _{Right}	0.772	0.983	0.963	0.991
Muscle Thickness _{Left}	0.767	0.980	0.966	0.992
Torque	1.564	0.994	0.992	0.997

Table I. Descriptive analysis results of the participants.

	MEAN (CI 95%)	Women (CI 95%)	Men (CI 95%)
EQ 5D (0–1)	0.92 (0.61/1.00)	0.92 (0.75/1.00)	0.92 (0.61/1.00)
EQ VAS (0–100)	79.76 (49/100)	79.05 (56/95)	80.26 (49/100)
PHS (0–100)	51.77 (22.81/65.96)	50.23 (22.81/61.01)	52.85 (40.80/65.96)
MHS (0–100)	49.14 (18.92/62.24)	50.26 (41.10/62.24)	48.35 (18.92/59.73)
ÖMPQ (210–0)	47.22 (2/116)	53.53 (2/116)	42.78 (5/98)
RMQ (24–0)	1.43 (0/9)	1.47 (0/9)	1.41 (0/9)
Torque (N·m)	62.26 (40.54/109.20)	50.23 (40.54/65.52)	72.28 (40.95/109.20)
Angle Right (°)	12.94 (10/17)	12.63 (10/16)	13.42 (11/17)
Angle Left (°)	12.65 (10/16)	12.58 (10/16)	12.89 (10/15)
Thickness Right (mm)	30.6 (0.23/0.38)	29.43 (0.23/0.34)	31.48 (0.25/0.38)
Thickness Left (mm)	31.2 (0.24/0.37)	30.01 (0.24/0.35)	31.91 (0.25/0.37)
N	46	26	21

Notes: EQ 5D: EuroQoL 5 dimensions.

EQ VAS: EuroQoL VAS.

PHS: Physical Health State.

MHS: Mental Health State.

ÖMPQ: Örebro Musculoskeletal Pain Questionnaire.

RMQ: Roland Morris Questionnaire.

Table III. Correlations between torque, pennation angle (right and left sides) and muscle thickness (right and left sides).

	Torque	Angle Right	Angle Left	Thickness Right	Thickness Left
Torque	1				
Angle Right	-0.218	1			
Angle Left	-0.016	0.614 ($p < 0.001$)	1		
Thickness Right	0.157	0.490 ($p < 0.001$)	0.295 ($p = 0.019$)	1	
Thickness Left	0.201	0.392 ($p = 0.007$)	0.574 ($p < 0.001$)	0.762 ($p < 0.001$)	1

Note: Table II: Correlations between each variable considered in this study.

Table IV. Analysis of the degree of contribution of each independent variable to the dependent variable using a multiple regression.

DEPENDENT VARIABLE	Independent variables predictor	Standardised		R ²
		Beta	Sig	
Torque	B coef.			0.680
	No Stand.			
	4.827			
	Right Angle	-0.537	0.142	
	Left Angle	0.443	0.025	
	Right Thickness	0.402	0.090	
	Left Thickness	-0.807	0.020	

Note: Table III: Results of the Multiple regression analysis

Table III summarises the results obtained after conducting a bivariate correlation analysis between the variables. It is interesting to note how both muscle thickness and pennation angle were mild and significant in relationship.

Table IV summarises the results of the multiple linear regression analysis, where the dependent variable was the torque and the independent variables were those of muscle architecture, and are given in Table III.

The critical significance level (F) reached a value of 0.001, indicating a highly significant relationship between the dependent variable (torque) and the independent variables (thickness and pennation angle). Therefore, the regression line provides a good fit to the point cloud.

4. Discussion

The aim of this study was to examine the relationship between the variables of muscle architecture (pennation angle and muscle thickness) and the torque during a maximal isometric lumbar extension with the lumbar spine in neutral position and an angle of 90° between the hips and the trunk. To our knowledge, this is the first time a study has shown a relationship between architectural variables and erector spinae muscle torque during a maximal isometric lumbar extension.

The architectural variables were observed to significantly correlate with each other during the maximal isometric lumbar extension. In addition, multiple regression analysis showed that the four variables (right and left thickness and angle) considered in this study explained 68% of the variance, thus confirming our hypothesis.

The determination coefficients of regression analysis to estimate what proportion of the torque could be explained by architectural variables were studied. There are no published reports that correlate the angle of pennation and muscle thickness to the torque in mediating erector spinae muscle contraction during lumbar extension. The size of the ultrasound head was insufficient to measure the cross-sectional area (Stokes et al., 2007) of the erector spinae muscle. However, a previous study had linked the dependent variable in this study (torque) with the cross-sectional area, which is influenced by the two architectural variables measured in this study (Blazevich et al., 2009). Blazevich and Coleman (Blazevich et al., 2009) demonstrated a relationship between the torque and muscle volume, where the value of R^2 was 0.603, showing a slightly lower value than that obtained by the regression analysis performed between the dependent and independent variables in the present study ($R^2 = 0.680$).

The difference between the two studies (the current study and that of Blazevich and Coleman (Blazevich et al., 2009)), as well as the unexplained variance (32% in our study) could be due to the activation of agonist and antagonist muscles, the muscles that support postural stability and muscle fibre type (Blazevich et al., 2009). Moreover, it is important to note that the measurements were taken on participants who were not specifically trained in the contraction of the muscle. Therefore, anatomical and neuronal mechanisms could also explain the rest of the variance not elicited by the variables studied here, which could be studied further in the future.

On the other hand, other studies have analysed differently the correlation between architectural variables and functional capabilities measures. McMeeken et al. presented a correlation between muscle thickness and muscle activation (EMG) of 0.87 in the transversus abdominis muscle during

maximum voluntary contraction (McMeeken, Beith, Newham, Milligan, & Critchley, 2004). Likewise, another study analysed the correlation between the other architectural variables used in the present study (pennation angle) and muscle activation of different leg muscles during maximal isometric contractions placing every muscle in their optimal fibre length setting. The values of the regression of each muscle were 0.76, 0.82, 0.82 and 0.87 for the tibialis anterior, lateral gastrocnemius, medial gastrocnemius and soleus, respectively (Manal, Roberts, & Buchanan, 2008). These results are not consistent with those observed in the present study, where none of the architectural variables showed a significant correlation with the functional variable used in this study (torque).

To our knowledge, there are no published studies assessing the reliability of the pennation angle and muscle thickness of the erector spinae together. The results of the architectural variables' reliability values in this study ranged from 0.976 (pennation angle right side) to 0.983 (right muscle thickness). These reliability results are consistent with previous published ultrasound reliability levels on the paraspinal muscles of 0.72–0.98 (Stokes et al., 2007), which ensures minimal negative effect of the operator dependence on ultrasound use.

On the other hand, no studies measuring the erector spinae pennation angle were found. Some studies have measured the thickness of this muscle using the same method as that employed here (placing the head longitudinally to the muscle) (Masuda et al., 2005; Watanabe et al., 2004). Despite placing the head in the same way and taking measurements at the same point on the lumbar spine (L_3), the average values obtained in the two studies differed from those observed by us.

The erector spinae average thickness values on the right and left, 30.6 mm (± 6.1) and 31.2 mm (± 5.9), respectively, were different from the corresponding values observed in the study by Watanabe et al. (Watanabe et al., 2004), where the value was 33.9 mm (± 8.4), and Masuda et al. (Masuda et al., 2005), where the mean was 39.4 (± 4.2 mm). One explanation for the difference could be found at the position of the participants when the ultrasound image was recorded. Participants in the studies of Watanabe et al. (Watanabe et al., 2004) and Masuda et al. (2005) were in full lumbar extension, whereas in our trial, they stopped 45° from the vertical. The authors of the other two studies obtained the same trend, starting from a position of maximum flexion, of muscle thickness increasing progressively as it approached its maximum extension position, where the highest muscle thickness was recorded. This difference is consistent with that observed by Li et al. (Le Li, Tong, Hu, Hung, & Koo, 2009; Le Li,

Tong, Song, & Koo, 2007), who observed that decreasing the distance between the origin and insertion of a muscle increased thickness in the arm flexors.

Another explanation could be found in the level of muscle activation during ultrasound. The erector spinae of our participants was performing a maximal isometric lumbar extension at the time the image was taken. However, participants who took part in the trials of Watanabe et al. (2004) and Masuda et al. (2005) had their muscle in a relaxed state during image acquisition. In different muscles of the trunk (Brown & McGill, 2010; Dickx et al., 2010; Koppenhaver, Hebert, Parent, & Fritz, 2009), architectural parameters (including thickness) have been observed to increase progressively with increasing intensity of contraction.

A weakness of this study is the differentiation of the groups in terms of gender to improve the accuracy of the analysis when correlating the torque generated during a maximal isometric lumbar extension and the behaviour of the variables considered in this study architecture (pennation angle and muscle thickness). Future studies should increase the sample and analyse gender variance or invariance of participants. In addition, another weakness of this study would be the fixed position of the participant, as this limits the correlation between torque and architecture variables to the lumbar spine neutral position. Increasing the number of positions in which the participants perform the maximum isometric lumbar extension could improve the analysis of the correlation between torque and architectural variables. The procedure described in this study perhaps could be used to analyse difference between healthy and low back pain sufferers.

5. Conclusion

Pennation angle and muscle thickness have a moderate to high impact on the variance exerted on the torque during a maximal isometric lumbar extension with the lumbar spine in neutral position and an angle of 90° between the hips and the trunk.

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