

# Synthesis of Dual-Band Bandpass Filters With Short-Circuited Multiconductor Transmission Lines and Shunt Open Stubs

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**ABSTRACT** The design of dual-band bandpass filters consisting of series short-circuited wire-bonded multiconductor transmission lines and shunt open stubs is thoroughly carried out in this paper. Two different configurations are studied and closed-form analytical design equations are derived to synthesize Chebyshev filtering functions. A novel, simplified and time-saving synthesis procedure to design dual-band filters with narrow or moderate broad bandwidths is finally presented, attending to the required final specifications and the physical and manufacturing limitations. The usefulness and validity of the proposed analytical equations are illustrated by designing, manufacturing, and measuring three different prototypes, showing an excellent agreement between analytical and measured results.

**INDEX TERMS** Bandpass filter, Chebyshev response, coupled lines, dual-band, multiconductor transmission lines (MTLs), shunt stubs.

## I. INTRODUCTION

Nowadays, the enormous growth of data traffic is promoting new solutions which are used to transport this high amount of data. To satisfy this demand, different techniques, such as multiple-input-multiple-output systems, multicarrier, or carrier aggregation, are used. Nevertheless, not only signal processing is important to achieve high data rates, but also every part of the communication system can be enhanced. In the RF domain, there are many improvements that are utilized, such as the use of wider, double, triple band systems [1], [2]. In this sense, bandpass filters are one of the most important components, and multiband responses have become essential in the design of RF circuits [3], [4].

The main issue of double band filters is that they must be designed and optimized for diverse specifications and applications, which could need broad or narrow bandwidths, large or small frequency ratios and different in-band ripple factors or return losses. Different topologies have been proposed to achieve these specifications. The most straightforward ways of designing dual-band filters is by cascading two single-band filters [5] or combining a wideband filter with a bandstop filter [6]. These methods are the simplest, but they usually have problems with insertion losses and circuit size. For that

reason, the main topologies proposed by researches are based on multimode resonator. These filters can be implemented in different shapes and topologies, including open loop resonators [7], [8], ring resonators [9], [10], stepped-impedance resonators [11], [12] or quadruple-mode resonators [13]. Furthermore, the coupling between adjacent resonators is normally complicated, and there is a strong dependence on full-wave simulations to characterize it. Therefore, the time spent in the design and optimization process of filters based on resonators is usually large. Transmission or coupled lines are also quite used in planar dual-band bandpass filters, both in single-layer [14], [15] as in multilayer technologies [16], [17]. Under certain conditions, as proposed in this paper, it is possible to obtain analytical design expressions, even without the use of electromagnetic simulators. Apart from that, mathematical transformations from single-band to multiband filters have been proposed, in order to synthesize multifrequency responses from single ones [18].

In this work, two topologies of dual-band planar bandpass filters based on series short-circuited multiconductor transmission lines (MTLs) and shunt open stubs are proposed and analysed through analytical equations. Previously, one of these had been used by the authors for a design, without mak-

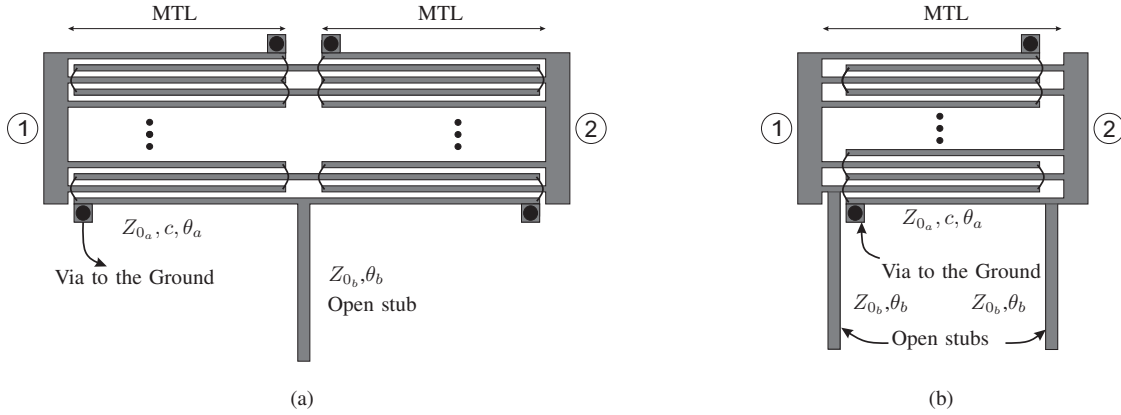


FIGURE 1. Layout of the proposed circuit for the analysed dual-band bandpass filters: (a) prototype I and (b) prototype II.

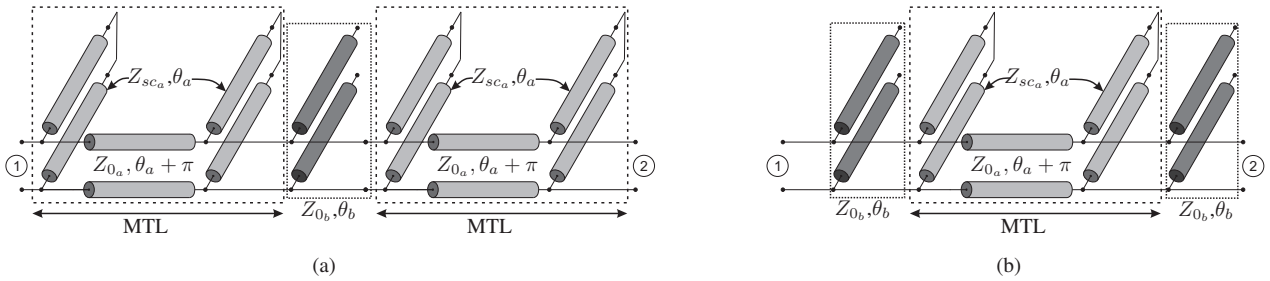


FIGURE 2. Equivalent circuit model for the proposed filters: (a) prototype I and (b) prototype II.

ing a deep analysis [19]. By using the proposed architectures, dual-band filters with one or two poles in each band can be achieved. As one of the main contributions, new design equations to synthesize filters with a Chebyshev-type response by means of a quick and reliable procedure are deduced.

This paper is structured as follows. First, in Section II-A, a circuit analysis of the two presented topologies is carried out, in order to characterize the behaviour of both topologies separately. Subsequently, dual-band filtering functions and a transformation between frequency and electric length domains are presented in Section II-B. By using such transformations, a synthesis procedure and a complete set of analytical equations for the design of Chebyshev-type dual band filters are obtained in Section II-C. A design methodology, taking into account the limitations of the filter, is proposed in Section III. Finally, in Section IV, an experimental validation is carried out, by designing, fabricating, and measuring three filters, corroborating the developed theory and techniques. Conclusions are given in the last section.

II. ANALYTICAL DESIGN PROCEDURE

In this work, two circuit topologies, shown in Fig. 1, have been analysed. The procedure used to analyse these circuits is similar to the one in [20]–[24]. Firstly, the frequency response of the circuits is computed (i.e., the  $S_{21}$  parameter). Then, a proper filtering function for synthesizing dual-band band

pass filters (BPFs) is obtained, and finally, the circuit design parameters are determined by equating the transfer functions to the filtering functions. By means of this procedure, closed-form analytical design equations are obtained to design dual-band BPFs with known return losses and operating bandwidths.

A. CIRCUIT ANALYSIS

The proposed circuits (see Fig. 1) are composed of series short-circuited MTLs and shunt open-circuited stubs. Two symmetrical prototypes are considered in order to design first- and second-order dual-band BPFs. The analysis of both filters can be directly carried out by using the equivalent circuit for a short-circuited MTL [25], consisting of a transmission-line section and two shunt short-circuited stubs with characteristic impedances  $Z_{0a}$  and  $Z_{sc_a}$ , respectively. The equivalent circuit models based on transmission-line for both prototypes are depicted in Fig. 2. On the one hand, the characteristic impedances of the MTL,  $Z_{0a}$  and  $Z_{sc_a}$ , are given by

$$Z_{0a} = \frac{2}{(k-1)(Y_{oo} - Y_{oe})} = \frac{2Z_{oe}Z_{oo}}{(k-1)(Z_{oe} - Z_{oo})} \quad (1a)$$

$$Z_{sc_a} = Z_{oe} \left( 1 + \frac{(k-2)Z_{oo}}{Z_{oe} + Z_{oo}} \right)^{-1} = Z_{0a} \frac{c}{1-c}, \quad (1b)$$

where  $Z_{oe}$  and  $Z_{oo}$  denote the even- and odd-mode impedances of a pair of coupled lines and  $k$  is the number of conductors.  $\theta_a$  is the electrical length of a MTL, computed as the arithmetic mean value of the even- and odd-mode electrical lengths, and  $c$  is related to the maximum coupling coefficient of a  $k$ -lines quarter-wavelength four-port coupler [26], which can be written as

$$c = \frac{Z_{oe} - Z_{oo}}{Z_{oe} + Z_{oo}} \left( 1 - \frac{(k-2)2Z_{oe}Z_{oo}}{(k-1)(Z_{oe} + Z_{oo})^2} \right)^{-1}. \quad (2)$$

On the other hand, the open-circuited stubs are defined by their characteristic impedance  $Z_{0b}$  and their electrical length  $\theta_b$ .

To analyse these circuits the even- and odd-mode analysis is employed. Once the even- ( $Z_{in_e}$ ) and odd-mode ( $Z_{in_o}$ ) input impedances are calculated, the S-parameters of the filters are determined as

$$S_{11} = \frac{Z_{in_e}Z_{in_o} - Z_0^2}{\Delta}, \quad S_{21} = \frac{Z_0(Z_{in_e} - Z_{in_o})}{\Delta} \quad (3)$$

$$\Delta = Z_0^2 + Z_0(Z_{in_e} + Z_{in_o}) + Z_{in_e}Z_{in_o},$$

being  $Z_0$  the characteristic impedance used to terminate the input and output ports. These expressions can be simplified because both, the wire-bonded MTL and the open stubs, are chosen to be a quarter-wavelength long at the middle frequency ( $f_o$ ) of the desired two passbands. Besides, assuming a TEM propagation, it can be considered that

$$\theta_a = \theta_b = \theta = \theta_o \frac{f}{f_o} = \frac{\pi f}{2 f_o}. \quad (4)$$

From (3) and after some algebraic transformations, the squared magnitude of  $S_{11}$  and  $S_{21}$  can be expressed as

- Prototype I

$$|S_{11}|^2 = \frac{F_I^2}{1 + F_I^2}, \quad |S_{21}|^2 = \frac{1}{1 + F_I^2} \quad (5a)$$

$$F_I = \frac{g_4 \tan^4 \theta + g_2 \tan^2 \theta + g_0}{\tan \theta (1 + \tan^2 \theta)} \quad (5b)$$

$$K_I = \frac{1}{2c^3 \bar{Z}_{0a} \bar{Z}_{0b}} \quad (5c)$$

$$g_4 = \bar{Z}_{0a}^3 c^3 K_I \quad (5d)$$

$$g_2 = (\bar{Z}_{0a} c + 2\bar{Z}_{0b} c^2 (1 - \bar{Z}_{0a}^2)) K_I \quad (5e)$$

$$g_0 = -2\bar{Z}_{0b} (1 - c^2) K_I \quad (5f)$$

- Prototype II

$$|S_{11}|^2 = \frac{F_{II}^2}{1 + F_{II}^2}, \quad |S_{21}|^2 = \frac{1}{1 + F_{II}^2} \quad (6a)$$

$$F_{II} = \frac{p_4 \tan^4 \theta + p_2 \tan^2 \theta + p_0}{\tan^2 \theta (1/\sin \theta)} \quad (6b)$$

$$K_{II} = \frac{1}{2c^2 \bar{Z}_{0a} \bar{Z}_{0b}^2} \quad (6c)$$

$$p_4 = \bar{Z}_{0a}^2 c^2 K_{II} \quad (6d)$$

$$p_2 = -(2\bar{Z}_{0a} \bar{Z}_{0b} c + \bar{Z}_{0b}^2 c^2 (1 - \bar{Z}_{0a}^2)) K_{II} \quad (6e)$$

$$p_0 = \bar{Z}_{0b}^2 (1 - c^2) K_{II} \quad (6f)$$

where

$$\bar{Z}_{0a} = Z_{0a}/Z_0, \quad \bar{Z}_{0b} = Z_{0b}/Z_0. \quad (7)$$

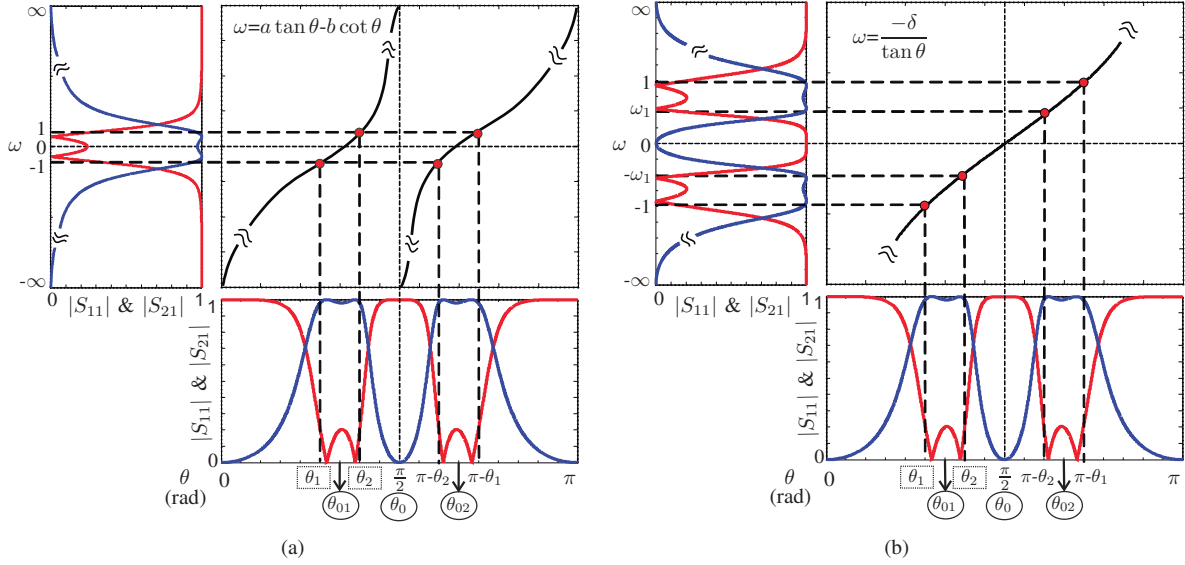
The frequency response of both structures is perfectly characterized by means of (5) and (6), and taking into account the form of  $F_I$  and  $F_{II}$ , designing dual-band bandpass filters with real transmission zeros at  $\theta_z = z \frac{\pi}{2}$  and  $z = 0, 1, 2, \dots$  is possible. These transmission zeros are used to enhance both, the isolation between two pass-bands and the rejection level in the lower and upper stop-bands. The transmission zeros at  $\theta_z = z \frac{\pi}{2}$ ,  $z=0, 2, 4, \dots$  are inherent to the series MTL while the zeros at  $\theta_z = z \frac{\pi}{2}$ ,  $z=1, 3, \dots$  are caused by the open stubs. Moreover, because of the degree of the numerator of  $F_I$  and  $F_{II}$  is four, both structures are, a priori, suitable to synthesize fourth-order dual-band band-pass filters. By adding different electrical length stubs, it could be possible to obtain multi-band bandpass responses, forcing zeros in the MTL passband. Notwithstanding, this feasibility will depend on the physical realizability of the required values of  $Z_{0a}$ ,  $Z_{0b}$  and  $c$ , which also conditions the operating bandwidths and the pass band frequency ratio.

Therefore, at this point it is important to highlight the advantages of using multiconductor transmission lines instead of only a pair of coupled lines. The achievable values of  $Z_{0a}$  and  $c$  as a function of the line width  $W$  and spacing  $S$  for several number of conductors  $k$  are shown in [27]. These curves were calculated by using the Rogers 4350B substrate with a dielectric constant of 3.66 and thickness of 30 mils. This substrate will be used throughout the rest of this work. It is straightforward to observe that by increasing the number of conductors it is possible to reduce the value of  $Z_{0a}$  with higher coupling values ( $c$ ), which can be directly translated into wider bandwidths and higher frequency pass bands ratios. This behaviour will be examined in Section III.

## B. DUAL-BAND FILTERING FUNCTIONS

Given the transfer functions of the two configurations analysed (5), (6), it is necessary to compute adequate filtering functions to properly synthesize the dual-band frequency responses. These functions have to be symmetric with respect to the middle design frequency  $f_o$ , with one or two transmission zeros at  $\theta = \theta_0 = \pi/2$ . Two procedures are explored to calculate such filtering functions. The first one uses a classical low-pass Chebyshev prototype [28], [29] with a dual-band frequency transformation (Fig. 3(a)) [18], [30], while the latter makes use of a generalized Chebyshev prototype [31] with the well-known Richard's transformation (Fig. 3(b)) [22]. Nevertheless, it is important to know the limitations or particularities of both methodologies. By using the first method, a dual-band filter of order  $2m$  will have  $m$  coincident transmission zeros in the middle of the two pass bands ( $\theta_0$ ), while by means of the generalized Chebyshev prototype, the number of zeros at  $\theta_0$  will be one or an odd number.

As seen in Fig. 3, the filtering functions in the normalized frequency  $\omega$  corresponds to a conventional low-pass proto-



**FIGURE 3.** Transformations from the normalized  $\omega$ -plane to the  $\theta$ -plane using a (a) conventional low-pass Chebyshev prototype or (b) a generalized Chebyshev polynomial.

type (Fig. 3(a)) or a filter with two pass bands symmetrical respect to  $\omega=0$  (Fig. 3(b)).  $\theta_1, \theta_2, \pi-\theta_2$  and  $\pi-\theta_1$  relate to the lower and upper cut-off frequencies of the two pass bands with central frequencies given by  $\theta_{01}$  and  $\theta_{02}$  (being  $\theta_{02}=\pi-\theta_{01}$ ). By considering the desired fractional bandwidth of the first band (FBW) and the central frequencies of the dual pass bands ( $f_{01}, f_{02}$ ), the required design parameters  $\theta_1$  and  $\theta_2$  are obtained as

$$f_o = \frac{f_{01} + f_{02}}{2}, \quad \text{FBW} = \frac{f_2 - f_1}{f_{01}} = \frac{\theta_2 - \theta_1}{\theta_{01}},$$

$$\theta_0 = \pi/2, \quad \theta_{01} = \frac{\pi f_{01}}{2 f_o}, \quad (8)$$

$$\theta_1 = \theta_{01} \left( 1 - \frac{\text{FBW}}{2} \right), \quad \theta_2 = \theta_{01} \left( 1 + \frac{\text{FBW}}{2} \right),$$

and the frequency transformations are calculated as

- Conventional low-pass Chebyshev prototype [28] to dual-band BPF

$$\omega = a \tan \theta - b \cot \theta \quad (9a)$$

$$a = \frac{1}{\tan \theta_2 - \tan \theta_1}, \quad b = \frac{\tan \theta_1 \tan \theta_2}{\tan \theta_2 - \tan \theta_1} \quad (9b)$$

- Generalized low-pass Chebyshev prototype [31] to dual-band BPF

$$\omega = \frac{-\delta}{\tan \theta}, \quad \delta = \tan \theta_1, \quad \omega_1 = \frac{\tan \theta_1}{\tan \theta_2}. \quad (10)$$

### C. SYNTHESIS OF DUAL-BAND BANDPASS FILTERS

Once the transfer functions of the structures analysed have been derived in Section II-A and the low-pass to dual-band bandpass frequency transformations are known (9), (10), the proper filtering functions are derived herein. Thus, the design

parameters  $Z_{0a}, Z_{0b}$  and  $c$  can be easily calculated equating the transfer function of the circuits ( $F_I, F_{II}$ ) to the theoretical filtering functions ( $F_n$ ) as

$$\epsilon F_n(\theta) = F_{I,II}(\theta), \quad (11)$$

where  $\epsilon$  is the in-band ripple factor related to a given return losses  $L_R$  in decibels as [32]

$$\epsilon = \frac{1}{\sqrt{10^{L_R/10} - 1}}. \quad (12)$$

#### 1) Prototype I

This prototype (Fig. 1(a)) has a polynomial  $F_I$  of order four with one real transmission zero at  $\theta=\pi/2$ , and thus, its frequency response can be modelled by using the generalized fourth-order Chebyshev function [31] and the Richard's frequency transformation. The transmission zeros of the  $S_{21}$  are located in

$$\tan \theta = 0, \infty; \quad \tan \theta = \pm j, \quad (13)$$

which can be mapped to the normalized  $\omega$  frequency as (10)

$$\omega_{z1} = 0, \quad \omega_{z2} = \infty, \quad \omega_{z3,z4} = \pm j\delta = \pm j \tan \theta_1. \quad (14)$$

To compute the filtering function in the  $\omega$ -plane, once the transmission zeros and the specifications of the pass bands ( $\theta_1$  and  $\theta_2$ ) are given, the recursive formulas derived in [31] are employed. Then, a filtering function for a fourth-order dual-band bandpass filter can be obtained. However, it can be demonstrated that, regardless of the values of  $Z_{0a}, Z_{0b}$  and  $c$ , it is not possible to obtain four real transmission poles. The roots of the numerator of  $F_I$  can be calculated by solving the quadratic equation

$$g_4 x^2 + g_2 x + g_0 = 0, \quad (15)$$

with  $x = \tan^2 \theta$ . However, because  $g_0/g_4$  is always negative, one root of (15) will be positive whilst the other negative and, consequently, this structure is unsuitable to generate four real poles. Nevertheless, under the condition  $\bar{Z}_{0_a}c=1$ , this topology can be used to design second-order dual-band BPFs. Imposing this relationship on (5), the new transfer function reduces to

$$F_I = \frac{g_2 \tan^2 \theta + g_0}{\tan \theta}, \quad K_I = \frac{1}{2c^2 \bar{Z}_{0_b}} \quad (16)$$

$$g_2 = K_I, \quad g_0 = -2\bar{Z}_{0_b} (1 - c^2) K_I.$$

Note that this transfer function has only a transmission zero at  $\theta = \pi/2$  and, thus, the dual-band filtering function  $F_n$  can be obtained using the two frequency transformations discussed in Section II-B. It can be proved that both methods lead to the same result. From a first-order Chebyshev polynomial in the  $\omega$ -plane ( $F_n = \omega$ ) and after the frequency mapping (9) to the bandpass domain, the filtering function is given by

$$F_n(\theta) = \frac{g_{2t} \tan^2 \theta + g_{0t}}{\tan \theta} \quad (17)$$

$$g_{2t} = a, \quad g_{0t} = -b.$$

Equating (17) to (16) the following relations between coefficients are obtained

$$\frac{g_0}{g_2} = \frac{g_{0t}}{g_{2t}}, \quad \epsilon = \frac{g_2}{g_{2t}}, \quad (18)$$

that can be used to calculate the closed-form design equations as

$$\bar{Z}_{0_b} = \frac{1 + b\epsilon}{2a\epsilon} \quad (19a)$$

$$c = \frac{1}{\sqrt{1 + b\epsilon}} \quad (19b)$$

$$\bar{Z}_{0_a} = 1/c. \quad (19c)$$

For this configuration there is a single transmission pole in each pass band, so that  $\epsilon$  determines the return losses ( $L_R$ ) at the cut-off frequencies ( $\theta_1$  and  $\theta_2$ ). Mathematical development of filtering functions for prototype I is described in Appendix A.

## 2) Prototype II

This prototype (Fig. 1(b)) has two real transmission zeros at  $\theta = \pi/2$  (6b) and it can be designed to generate four real poles. Therefore, the use of a conventional second-order Chebyshev polynomial with the frequency transformation indicated in (9) is used to obtain the required theoretical filtering function. If the generalized Chebyshev low-pass prototype presented in [31] was used, it would be possible to generate only an odd number of transmission zeros at the middle frequency of the two pass bands. However, with the second-order polynomial and the low-pass to dual band-pass transformation (9), a filtering function with two real trans-

mission zeros can be generated. The second-order Chebyshev polynomial  $F_n = 2\omega^2 - 1$  is mapped to the  $\theta$ -plane as

$$F_n(\theta) = \frac{p_{4t} \tan^4 \theta + p_{2t} \tan^2 \theta + p_{0t}}{\tan^2 \theta} \quad (20)$$

$$p_{4t} = 2a^2, \quad p_{2t} = -(4ab + 1), \quad p_{0t} = 2b^2,$$

and by equating this function with (6b), the following relationships are derived

$$\frac{p_2}{p_4} = \frac{g_{2t}}{g_{4t}}, \quad \frac{p_0}{p_4} = \frac{g_{0t}}{g_{4t}}, \quad \epsilon = \frac{p_4}{p_{4t}}. \quad (21)$$

Note that the term  $1/\sin \theta$  in (6b) is not in the filtering function obtained in (20). Therefore, the next design equations will provide an approximated synthesis procedure. Nevertheless, once the design parameters are obtained, they can be easily corrected by means of (6). From (21), the circuit design parameters can be calculated as

$$\bar{Z}_{0_a} = \left( 1 + \frac{4ab\sqrt{1-c^2} - (4ab+1)(1-c^2)}{2b^2c^2} \right)^{\frac{1}{2}} \quad (22a)$$

$$\bar{Z}_{0_b} = \bar{Z}_{0_a} \frac{c}{\sqrt{1-c^2}} \frac{b}{a} \quad (22b)$$

$$\epsilon = \frac{1-c^2}{c^2} \frac{1}{4\bar{Z}_{0_a}b^2}, \quad (22c)$$

where  $\bar{Z}_{0_a}$  and  $\bar{Z}_{0_b}$  depend on both, the coupling factor and the specifications of the pass bands ( $\theta_1$  and  $\theta_2$ ). Besides, from (22a) and (22c) it is possible to find an expression that relates  $c$  with the in-band ripple factor  $\epsilon$  (12) as

$$a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$$

$$a_4 = 1 - 8\epsilon^2m^2b^2(1 + 4ab + 2b^2)$$

$$a_3 = 32\epsilon^2m^2ab^3$$

$$a_2 = 8\epsilon^2m^2b^2(1 + 4ab + 4b^2) \quad (23)$$

$$a_1 = -32\epsilon^2m^2ab^3$$

$$a_0 = -16\epsilon^2m^2b^4$$

$$m = 1/\sin \theta_{01}$$

where

$$c = \sqrt{1-x^2}. \quad (24)$$

In (23) the term  $\sin \theta_{01}$  has been included to compensate for the effect of  $1/\sin \theta$ . By means of this correction, it is guaranteed that the desired return losses are achieved at the central frequency of both pass bands. The analytical solution of this quartic equation (23), using an efficient algorithm proposed in [33], is described in Appendix B.

Consequently, once the specifications of the dual-band bandpass filter are known, equations (22a) and (22b) can be used along with (23) to determine the design parameters of the filter.

III. BANDWIDTH AND DESIGN CONSIDERATIONS

In the previous section, design equations for the two prototypes shown in Fig. 2 are obtained. However, it would be desirable to get a relation between the circuit design parameters and both, the achievable operating bandwidth and the pass band frequency ratio. Obviously, the performance of both filters will be conditioned by the required design values for the MTL and the shunt stub, and the limit values imposed by the manufacturing process capability. Therefore, depending on the obtained values, it is possible to establish some design criteria. Figures 4 and 5 show several values of  $Z_{0_a}$ ,  $c$  and  $Z_{0_b}$  in order to achieve different combinations of fractional bandwidth (FBW) and frequency ratio ( $r = f_{02}/f_{01}$ ) for prototypes I and II, respectively.  $L_R=3$  dB ( $\epsilon=1$ ) is used for prototype I, while for prototype II  $L_R = 15$  dB has been employed. Thus, the 3 dB-fractional bandwidth is considered for the former, while the fractional bandwidth with equal-ripple response is defined for the latter. This definition is shown in Fig. 6.

As seen in Fig. 4, prototype I allows narrower bandwidths, independently of the separation between bands. Nevertheless, to achieve high frequency ratios, low stub impedances ( $Z_{0_b}$ ) must be used. Furthermore, increasing the number of conductors ( $k$ ) must be taken into account in order to get low MTL impedances ( $Z_{0_a}$ ) and high coupling factors ( $c$ ) [27]. Prototype II does not allow narrow bandwidths, because of large stub widths would be needed. In addition, it is remarkable that frequency ratio hardly varies with the MTL impedance. As this impedance must be low, the number of conductors has to be increased to achieve the desired MTL impedances [27], justifying again the use of multiconductor transmission lines instead of only a pair of coupled lines. Thus, prototype I is more appropriate when using higher frequency ratios and narrow bandwidths, whereas prototype II is better suited to wide bandwidths. Once these criteria are taken into account, the design parameters can be effortlessly selected to synthesize the desirable frequency response of the filter.

Taking into account the design considerations and limitations previously mentioned, it is possible to elaborate a design procedure to select the best prototype depending on the required specifications. This procedure can be summarized as follows.

- *Step 1:* From (8), calculate  $\theta_{01}$  and  $\theta_{02}$  according to the desired central frequencies of both bands ( $f_{01}$ ,  $f_{02}$ ) and the fractional bandwidth (FBW). Obtain the value of  $f_0$ .
- *Step 2:* Choose the MTLs and stubs lengths to be a quarter-wavelength long at  $f_0$ .
- *Step 3:* Obtain the values of  $a$  and  $b$  using (9).
- *Step 4:* Look at Figs. 4 and 5 and decide which prototype is more suitable for the given specifications, taking into account the fractional bandwidth definition. When choosing the first prototype, consider  $L_R=3$  dB ( $\epsilon=1$ ), but for the second one, obtain the in-band ripple factor  $\epsilon$ , depending on the desired return losses  $L_R$  (12).
- *Step 5:* Use (19) or (22) and (24), depending on the chosen prototype, to calculate the required values of  $Z_{0_a}$ ,

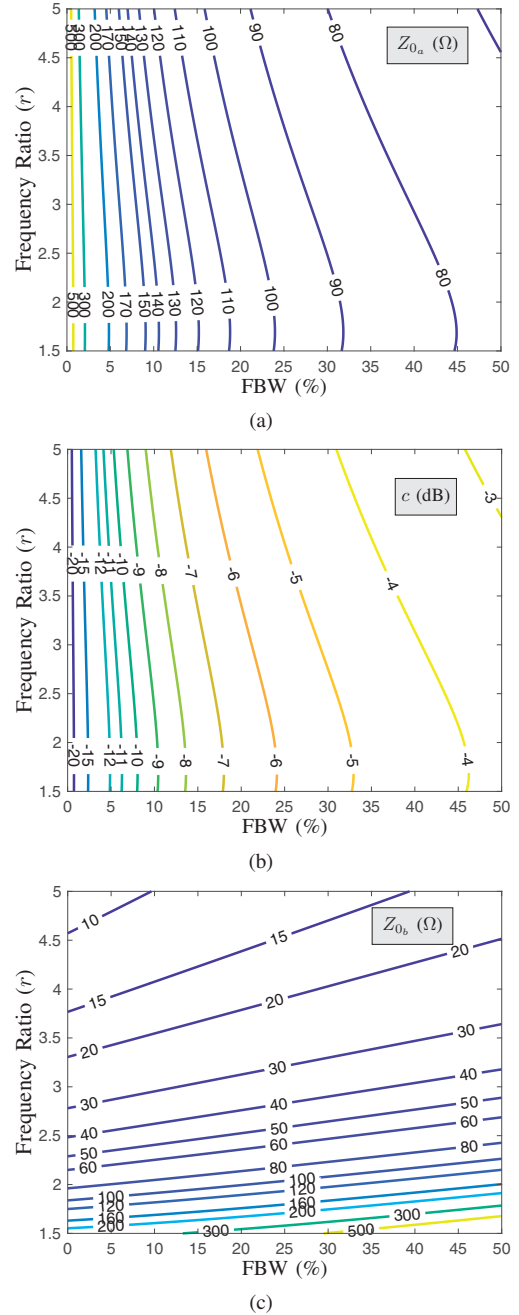


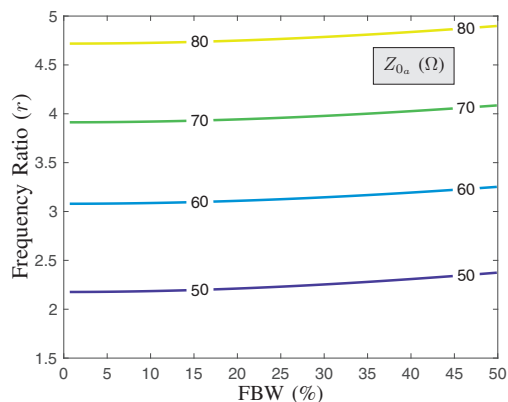
FIGURE 4.  $Z_{0_a}$ ,  $c$  and  $Z_{0_b}$  values for prototype I, depending on the fractional bandwidth (FBW) and the frequency ratio ( $r$ ), calculated using (19).

$c$  and  $Z_{0_b}$ .

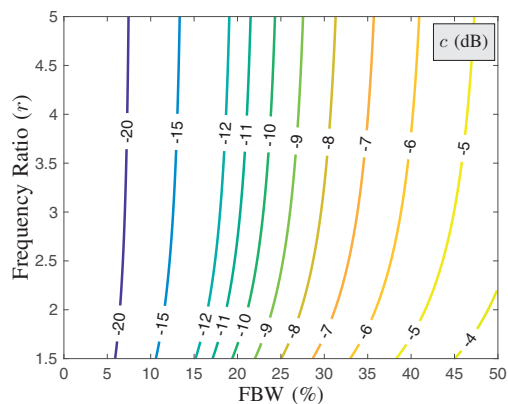
Finally, verify that the accomplished values are physically achievable by the manufacturing process.

IV. EXPERIMENTAL VALIDATION

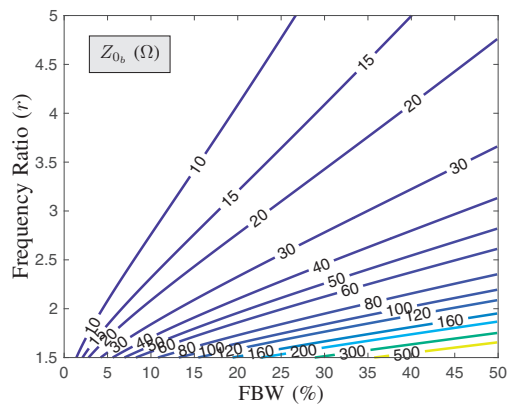
In order to assess the developed theory and techniques, three filters have been designed, fabricated and measured. For prototype I, the centre frequency was  $f_0 = 3.9$  GHz, using the analytical equation (19) to choose its parameters. For the



(a)



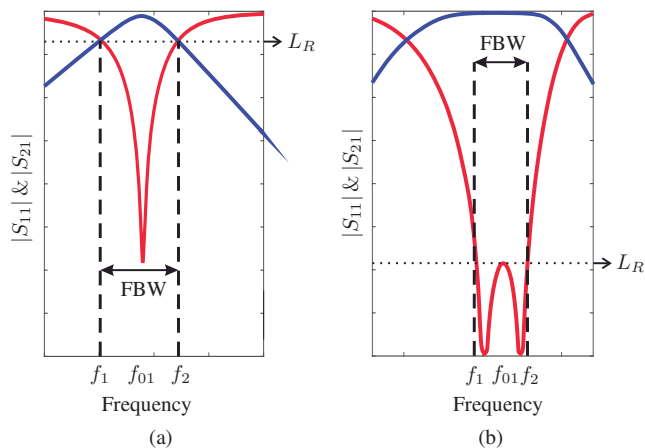
(b)



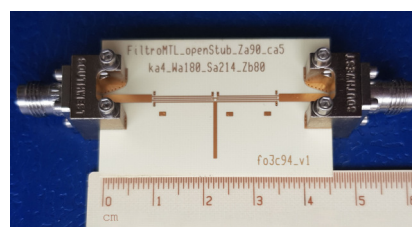
(c)

**FIGURE 5.**  $Z_{0a}$ ,  $c$  and  $Z_{0b}$  values for prototype II, depending on the fractional bandwidth (FBW) and the frequency ratio ( $r$ ), calculated using (22) and (24).

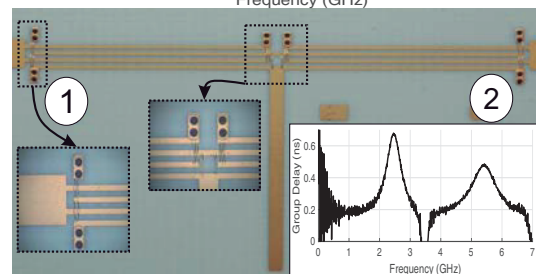
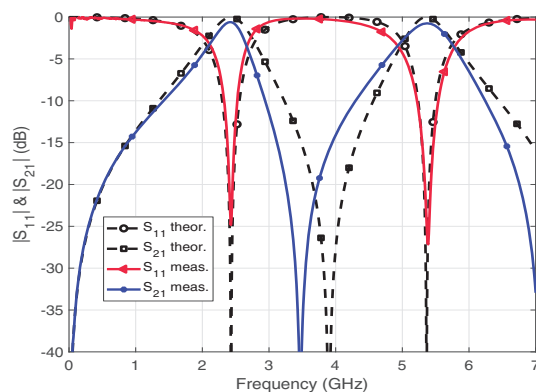
two filters of prototype II, the centre frequency was set to be  $f_0 = 2$  GHz, using (22a), (22b) and (23). The physical dimensions and design parameters are given in Table 1. All the measurements have been obtained by using Agilent N5247A PNA-X, after a TRL calibration to position reference planes correctly for further comparisons. Figure 7 details the coaxial to microstrip transition used for the characterization of filters designed in this paper. Figures 8 and 9 show the predicted



**FIGURE 6.** Fractional Bandwidth definition: (a) prototype I and (b) prototype II.

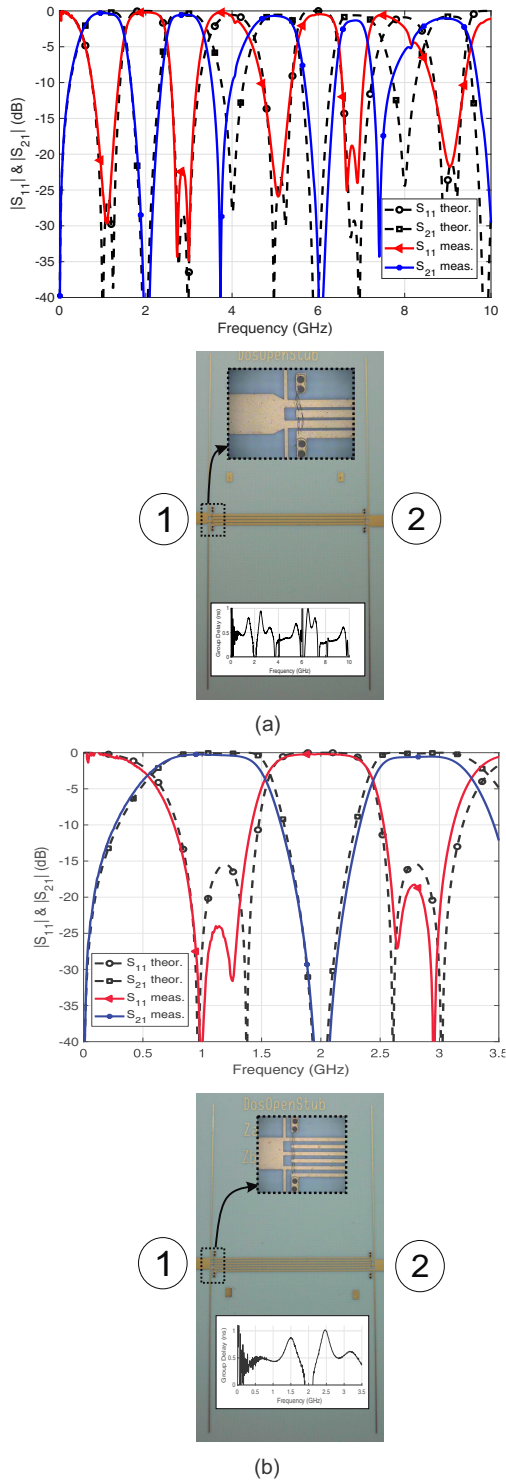


**FIGURE 7.** Photograph of the first prototype filter with connectors and a ruler that show its dimensions.



**FIGURE 8.** Theoretical prediction (5) and measured  $S_{11}$ ,  $S_{21}$  and group-delay parameter performances for the manufactured prototype I filter (see Table 1).

values by analytical equations (5) and (6) and measured  $S$ -



**FIGURE 9.** Theoretical prediction (6) and measured  $S_{11}$ ,  $S_{21}$  and group-delay parameter performances for the manufactured prototype II filters (see Table 1).

parameter results of the fabricated prototypes. In this sense, the measured group delay responses and photographs of these filters are depicted as well in Figs. 8 and 9. For prototype II, double shunt open stubs has been used, in order to reduce

the stub width. The minimum strip width and gap between lines allowed by our manufacturing capability is limited to  $100 \mu\text{m}$ , which determines the range of possible values of  $Z_{0a}$ ,  $Z_{0b}$ , and  $c$ , and therefore, conditions the achievable frequency responses of the filters.

From Figs. 8 and 9, it is noted that there is a very good agreement between the predicted and measured S-parameter results. In Fig. 9(a), it is plotted the broad-band measurement of one of the filters. As they are distributed structures, there will be replicas because of the wavelength dependence. The selectivity at the high side of the first passband and the low side of the second passband is better because they are close to the transmission zero, whereas in the opposite sides of the passband, transmission zeros are far away and the slope is slight. Fractional bandwidth of 30% is used in the filter of the first prototype, whereas 30% and 48% are the ones for the second type. Deviations in the central frequency  $f_0$  or  $S_{11}$  parameters and high-frequency degradation are mainly due to fabrication tolerances, unequal even- and odd-mode phase velocities in the wire-bonded MTLs as well as tee junction and open-ended effect in the shunt stubs [34].

Finally, a comparison of the proposed prototypes is summarized in Table 2. In this table, 3-dB FBW is used in all the cases, and  $\lambda_g$  denotes the guided wavelength at center frequency of the lower passband. The proposed filters are more compact even taking into account that stubs are not bent, which would have reduced even more the size of the circuits in the transversal dimension. In terms of insertion and return losses, the obtained results are significant in both bands, outperforming most of the compared cases. It must be taken into account that all the filters have been designed directly using the computed analytical equations previously developed, without any optimization made by an electromagnetic simulator. Furthermore, it is important to remark that there was no post-manufacture tuning. These facts are really significant not only for validating the presented theory, but also for providing feasibility in order to design dual-band bandpass filters without using an EM simulator, just by taking the analytical equations developed and calculating the MTL and stub parameters [37], [38].

## V. CONCLUSION

In this work, a rigorous analytical study consisting of short-circuited wire-bonded multiconductor transmission lines and shunt open stubs has been performed to design dual-band bandpass filters. First, closed-form equations are presented to characterize the behaviour of the two developed configurations. From these analytical equations, a synthesis procedure is carried out to obtain the parameters that enable to have a desired Chebyshev frequency response. Expressions for two configurations, single-stub with two MTL sections and double-stub with a MTL section filters, have been deduced and validated through experimental results. In addition, the formulas presented here are also suited for designing other double-band bandpass coupled-line based filters. Finally, it is important to stand out the excellent agreement between

**TABLE 1. Physical dimensions of the fabricated filters**

	$f_0$ (GHz)	FBW* (%)	$f_{02}/f_{01}$	$Z_{0a}$ ( $\Omega$ )	$Z_{0b}$ ( $\Omega$ )	$c$ (dB)	$Z_{sc_a}$ ( $\Omega$ )	$Z_{oe_a}$ ( $\Omega$ )	$Z_{oo_a}$ ( $\Omega$ )	$k_a$	$W_a$ ( $\mu\text{m}$ )	$S_a$ ( $\mu\text{m}$ )	$l_a$ (mm)	$W_b$ ( $\mu\text{m}$ )	$l_b$ (mm)
Fig. 8	3.5	30	2.2	90	80	-5	115	184	78	4	180	214	12	685	12
Fig. 9(a)	2	30	2.55	60	70	-4	102.5	157	57	4	314	118	23.5	130	23.8
Fig. 9(b)	2	48	2.45	50	70	-4	85	185	75	6	195	200	23.7	130	24

FBW\*: 3 dB-Fractional Bandwidth (Prototype I) or Fractional Bandwidth with equal-ripple response (Prototype II)

**TABLE 2. Comparison among the proposed dual-band filters and published ones**

Filter	Frequency ratio ( $f_{02}/f_{01}$ )	3-dB FBW (%)	Return Losses (dB)	Insertion Losses (dB)	Transmission Zeros in (0, $2f_0$ )	Size ( $\lambda_g \times \lambda_g$ )
[9]	1.08	4 / 3.7	21.52 / 23.78	0.98 / 1.03	2	$0.33\lambda_g \times 0.34\lambda_g$
[11]	1.41	13 / 9.3	12	1.2	4	$0.3\lambda_g \times 0.28\lambda_g$
[35]	2.24	6.5 / 19.1	15 / 12	1.86 / 1.4	3	$0.327\lambda_g \times 0.194\lambda_g$
[36] A	1.77	3.3 / 2.4	14	1.3 / 1.8	3	$0.29\lambda_g \times 0.58\lambda_g$
[36] B	1.97	8.2 / 6.7	14	1.3 / 1.2	4	$0.29\lambda_g \times 0.29\lambda_g$
Fig. 8	2.2	30	24.9 / 27.2	0.6 / 0.74	3	$0.36\lambda_g \times 0.18\lambda_g$
Fig. 9(a)	2.55	48	29.7 / 22	0.28 / 0.54	3	$0.165\lambda_g \times 0.33\lambda_g$
Fig. 9(b)	2.45	50	24 / 18.5	0.25 / 0.52	3	$0.17\lambda_g \times 0.34\lambda_g$

measured results and theoretical predictions, which gives designers an useful mathematical tool based on the developed theory for a quick and accurate synthesis of dual-band bandpass filters.

**APPENDIX A FILTERING FUNCTIONS FOR PROTOTYPE I**

Given the prescribed transmission zeros in the low-pass domain as

$$\omega_z = \{0, \infty, j, -j\} \tag{25}$$

the filtering function in the normalized frequency  $\omega$  for a fourth-order Chebyshev filter is obtained as follows [31]

$$F_I(\omega) = \frac{t_4\omega^4 + t_2\omega^2 + t_0}{\omega(\delta^2 + \omega^2)} \tag{26}$$

This function is mapped to the  $\theta$ -plane as ( $\omega = -\delta \cot \theta$ )

$$F_n(\theta) = \frac{g_{4t} \tan^4 \theta + g_{2t} \tan^2 \theta + g_{0t}}{\tan \theta (1 + \tan^2 \theta)}, \tag{27}$$

where coefficients  $t_i$  and  $g_{it}$  are given as

$$\begin{aligned} t_4 &= K \frac{\gamma(1 + \omega_1) + 2}{(1 - \omega_1)^2(1 + \omega_1)} \\ t_2 &= K \frac{-\gamma(1 + \omega_1)(1 + \omega_1^2) - (1 + \omega_1)^2 - S_1(1 - \omega_1)^2}{(1 - \omega_1)^2(1 + \omega_1)} \\ t_0 &= K \frac{\gamma\omega_1^2(1 + \omega_1) + \omega_1(1 + \omega_1^2 + S_1(1 - \omega_1^2))}{(1 - \omega_1)^2(1 + \omega_1)} \end{aligned} \tag{28}$$

$$g_{4t} = \frac{-t_0}{\delta^3}, \quad g_{2t} = \frac{-t_2}{\delta}, \quad g_{0t} = -t_4\delta$$

$$K = \frac{2\delta^2 + \omega_1^2 + 1}{2}, S_1 = \frac{\omega_1^2 - 1}{4K}, \gamma = \frac{2}{1 + \omega_1} \sqrt{1 - S_1^2}$$

Equating this filtering function with the transfer function in (5), the following equations are obtained

$$\begin{aligned} g_2/g_4 &= g_{2t}/g_{4t} = \delta^2 t_2/t_0 \\ g_0/g_4 &= g_{0t}/g_{4t} = \delta^4 t_4/t_0. \end{aligned} \tag{29}$$

From these equations it is easy to derive the design expressions to calculate the values of  $Z_{0a}$  and  $Z_{0b}$  as a function of the coupling factor  $c$  as follows

$$\bar{Z}_{0a}^4 - \frac{g_{2t}(1 - c^2) + g_{0t}c^2}{g_{0t}c^2} \bar{Z}_{0a}^2 + \frac{g_{4t}(1 - c^2)}{g_{0t}c^4} = 0 \tag{30a}$$

$$\bar{Z}_{0b} = \frac{-g_{0t}c^3 \bar{Z}_{0a}^3}{2g_{4t}(1 - c^2)} \tag{30b}$$

**APPENDIX B ANALYTICAL SOLUTION TO THE QUARTIC EQUATION OF PROTOTYPE II**

Given the quartic equation

$$a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0 \tag{31}$$

with  $a_4 \neq 0$ , the four roots are determined as

$$x_1 = -\frac{a_3}{4a_4} - S + \frac{1}{2} \sqrt{-4S^2 - 2p + \frac{q}{S}} \tag{32a}$$

$$x_2 = -\frac{a_3}{4a_4} - S - \frac{1}{2} \sqrt{-4S^2 - 2p + \frac{q}{S}} \tag{32b}$$

$$x_3 = -\frac{a_3}{4a_4} + S + \frac{1}{2} \sqrt{-4S^2 - 2p - \frac{q}{S}} \tag{32c}$$

$$x_4 = -\frac{a_3}{4a_4} + S - \frac{1}{2} \sqrt{-4S^2 - 2p - \frac{q}{S}} \tag{32d}$$

where

$$\begin{aligned} p &= \frac{8a_4a_2 - 3a_3^2}{8a_4^2} \\ q &= \frac{a_3^3 - 4a_4a_3a_2 + 8a_4^2a_1}{8a_4^3} \end{aligned} \tag{33}$$

$$S = \frac{1}{2} \sqrt{-\frac{2}{3}p + \frac{1}{3a_4} \left( Q + \frac{\Delta_0}{Q} \right)}$$

and where

$$Q = \sqrt[3]{\frac{\Delta_1 + \sqrt{\Delta_1^2 - 4\Delta_0^3}}{2}}$$

$$\Delta_0 = a_2^2 - 3a_3a_1 + 12a_4a_0$$

$$\Delta_1 = 2a_2^3 - 9a_3a_2a_1 + 27a_3^2a_0 + 27a_4a_1^2 - 72a_4a_2a_0. \quad (34)$$

If the discriminant  $\Delta$ , defined as

$$\Delta = \frac{\Delta_1^2 - 4\Delta_0^3}{-27} \quad (35)$$

is greater than 0, then  $Q$  is a complex number, and  $S$  must be recalculated as

$$S = \frac{1}{2} \sqrt{-\frac{2}{3}p + \frac{2}{3a_4} \sqrt{\Delta_0} \cos \frac{\phi}{3}} \quad (36)$$

where

$$\phi = \arccos \left( \frac{\Delta_1}{2\sqrt{\Delta_0^3}} \right). \quad (37)$$

It is worthy to mention that, if the value of  $q$  is negative,  $x_1$  (32a) must be chosen as the correct solution, but if it is positive,  $x_3$  (32c) will be the chosen solution for the synthesis procedure.

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