

A FORMAL MODEL FOR EXPLICIT KNOWLEDGE AS AWARENESS *of* PLUS AWARENESS *that*

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Model-based Reasoning in Science and Technology

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EXPLICIT KNOWLEDGE AS AWARENESS *of* + AWARENESS *that*

1 INTRODUCTION

2 SYSTEM OF EXPLICIT KNOWLEDGE

- The model
- The concepts

3 PROPERTIES AND RELATIONSHIPS

- Awareness-of and Awareness-that
- Effects of the closure operation
- Moorean Phenomena
- Other Alternatives for the Concepts

4 EPISTEMIC ACTIONS

5 CLOSING

IN A NUTSHELL

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- *Purpose*: reconsider what constitutes *explicit knowledge*.
- *Here*: a formal model capturing the theoretical ideas.

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 - *explicit* and *implicit* w.r.t. *awareness* (Fagin and Halpern 1988);
- Note.
 - *Explicit knowledge*: what the agent *actually has*.
 - *'Implicit' knowledge*: what she can *reach via some given action*.

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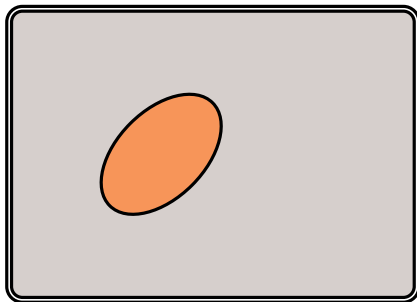
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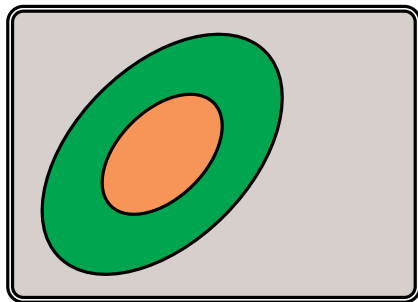
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- Here:
 - *Awareness-of* as *entertaining* ('*working memory*'), not implying any attitude in favour or against.
 - *Awareness-that* as *acknowledgement* or *acceptance*.

COMBINED DIAGRAM



(5) Awareness-that

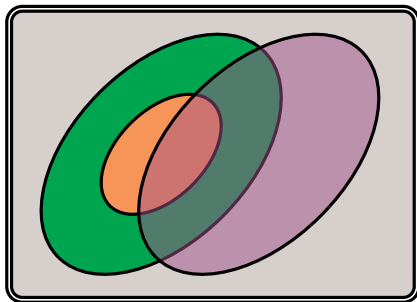
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(5) Awareness-that

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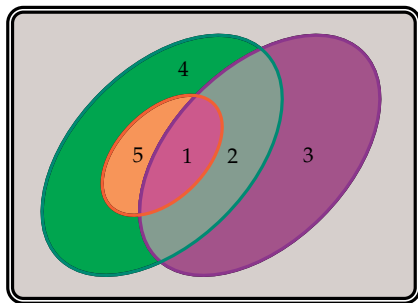


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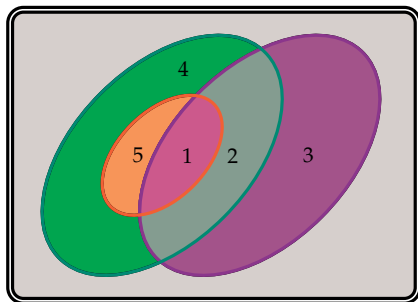
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(1) **Explicit knowledge**
(aware-of and aware-that)

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AWARENESS NEIGHBOURHOOD MODEL (ANM)

DEFINITION (AWARENESS NEIGHBOURHOOD MODEL (ANM))

Let \mathbf{P} be a set of atoms. An ANM is a tuple $M = \langle W, N, V, A \rangle$ where

- $W \neq \emptyset$
- $N : W \rightarrow \wp(\wp(W))$
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- *Awareness-of*: (global) set of atoms A .

LANGUAGE AND SEMANTIC INTERPRETATION (1)

DEFINITION (LANGUAGE \mathcal{L})

$$\varphi, \psi ::= \top \mid p \mid \neg\varphi \mid \varphi \wedge \psi \mid A^o \varphi \mid A^t \varphi \mid [*] \varphi$$

- $\llbracket \top \rrbracket^M := W,$
- $\llbracket p \rrbracket^M := V(p),$
- $\llbracket \neg\varphi \rrbracket^M := W \setminus \llbracket \varphi \rrbracket^M,$
- $\llbracket \varphi \wedge \psi \rrbracket^M := \llbracket \varphi \rrbracket^M \cap \llbracket \psi \rrbracket^M.$

LANGUAGE AND SEMANTIC INTERPRETATION (2)

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- $\llbracket A^t \varphi \rrbracket^M := \{w \in W \mid \llbracket \varphi \rrbracket^M \in N(w)\}$.

LANGUAGE AND SEMANTIC INTERPRETATION (3)

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Given $M = \langle W, N, V, A \rangle$, define $M^* = \langle W, N^*, V, A \rangle$ with

$$N^*(w) := \left\{ U \subseteq W \mid \bigcap N(w) \subseteq U \right\}$$

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The concepts of *satisfiability* and *validity* are defined as usual.

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THE CONCEPTS OF KNOWLEDGE

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Unaware knowledge

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- Since A^0 is defined as a set of atomic propositions, it is **closed under subformulas and superformulas**:

$$\Vdash A^0 \neg\varphi \leftrightarrow A^0 \varphi$$

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$$\Vdash A^0 A^0 \varphi \leftrightarrow A^0 \varphi$$

$$\Vdash A^0 A^t \varphi \leftrightarrow A^0 \varphi$$

$$\Vdash A^0 [*] \varphi \leftrightarrow A^0 \varphi$$

PROPERTIES OF AWARENESS-THAT (A^t)

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- But it is the only closure property, since
 $\Vdash \varphi$ does not imply $\Vdash A^t \varphi$
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- Hence, A^t is not closed under logical consequence:
 $\nVdash A^t(\varphi \rightarrow \psi) \rightarrow (A^t \varphi \rightarrow A^t \psi)$

AWARENESS-OF AND AWARENESS-THAT

In contrast to what happens in *Awareness Logic* by **Fagin and Halpern**, where $\models A\varphi \rightarrow \Box A\varphi$, with a global awareness set, we do not obtain this result, thanks to the different concepts of awareness we defined.

Recall that **awareness-of** is a global notion and **awareness-that** is locally defined.

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Thus, analogous properties do not hold:

- $\not\vdash A^o \varphi \rightarrow A^t A^o \varphi$
- $\not\vdash \neg A^o \varphi \rightarrow A^t \neg A^o \varphi$

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AWARENESS-THAT AFTER FULL DEDUCTIVE INFERENCE

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Aware-that awareness-of is the case:

$\Vdash [*](A^0 \varphi \rightarrow A^t A^0 \varphi)$ and $\Vdash [*](\neg A^0 \varphi \rightarrow A^t \neg A^0 \varphi)$

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But, $\Vdash \varphi \leftrightarrow \psi$ implies $\Vdash (K_{Im} \varphi \wedge A^o \psi) \rightarrow K_{Im} \psi$
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 $\Vdash K_{Im}(\varphi \rightarrow \psi) \rightarrow (K_{Im} \varphi \rightarrow K_{Im} \psi)$
Thus, $\Vdash (K_{Im} \varphi \wedge K_{Im} \psi) \rightarrow K_{Im}(\varphi \wedge \psi)$; and also
 $\Vdash K_{Im}(\varphi \wedge \psi) \rightarrow K_{Im} \varphi$ and $\Vdash K_{Im}(\varphi \wedge \psi) \rightarrow K_{Im} \psi$

EXPLICIT KNOWLEDGE AS AWARENESS *of* + AWARENESS *that*

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THE MOOREAN PHENOMENA

- *'Implicit is not always Explicit':* $\nexists K_{Ex} \varphi \rightarrow K_{Im} \varphi$

THE MOOREAN PHENOMENA

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What the agent has acknowledged as true does not need to hold after the closure operation. Thus,

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- $\not\vdash A^t \varphi \rightarrow [*] A^t \varphi$.

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- $\not\vdash A^t \varphi \rightarrow [*] A^t \varphi$. Take $\varphi := \neg A^t q$,
 then $A^t \neg A^t q$ has a similar effect as a *Moore sentence*, stating
 “the agent is aware that it is the case that she is not aware that q
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 its truthset has shrunk after the operation.

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 What the agent has acknowledged as true does not need to hold after the closure operation. Thus,
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 then $A^t \neg A^t q$ has a similar effect as a *Moore sentence*, stating
 “the agent is aware that it is the case that she is not aware that q
 is the case”.
- While ' $A^t \neg A^t q$ ' is true at M , it will not be the case at M^* , since
 its truthset has shrunk after the operation.
- Though, $\Vdash \varphi \rightarrow [*] \varphi$ implies $\Vdash K_{Ex} \varphi \rightarrow K_{Im} \varphi$

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OTHER ALTERNATIVES FOR REPRESENTING OUR BASIC CONCEPTS

For representing Awareness-of:

- Concept of *topics* in Berto and Hawke (2018) (cf. Berto 2018). (A *topic* being what the sentence is *about*.)
- The *issue relation* in, e.g., Grossi (2009), van Benthem and Minică (2012), Baltag et al. (2018). (Equivalence relation that creates partitions of the domain in relational model.)

For representing Awareness-that:

- *Explicit knowledge* in proposals not incorporating the notion of *awareness*, e.g., Konolige 1984, Levesque 1984, Duc 1997, Artemov and Nogina 2005, Jago 2009, Velázquez-Quesada 2013.
- Alternatives where the knowledge/belief relies on *evidences* (van Benthem and Pacuit 2011, Özgün 2017) and *arguments* (Shi et al. 2018a, 2017, 2018b).

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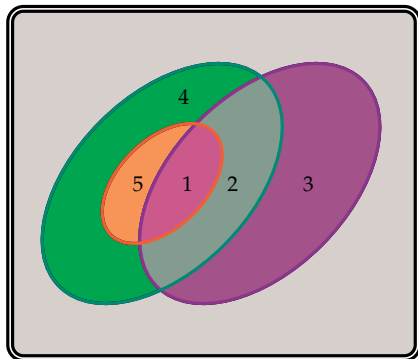
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RECALL: COMBINED DIAGRAM



(5) Awareness-that (not in working memory)

(4) 'Implicit' Awareness-that (not in working memory)

(3) Awareness-of

(2) Aware-of not aware-that, but deducible

(1) **Explicit knowledge (aware-of and aware-that)**

ACTION: BECOMING AWARE-OF $[+\chi]$ (1)

DEFINITION (THE BECOMING AWARE-OF OPERATION)

Given $M = \langle W, N, V, A \rangle$ and $M^{+\chi} = \langle W, N, V, A^{+\chi} \rangle$, we have

$$A^{+\chi} = A \cup \text{atm}(\chi)$$

ACTION: BECOMING AWARE-OF $[+\chi]$ (1)

DEFINITION (THE BECOMING AWARE-OF OPERATION)

Given $M = \langle W, N, V, A \rangle$ and $M^{+\chi} = \langle W, N, V, A^{+\chi} \rangle$, we have

$$A^{+\chi} = A \cup \text{atm}(\chi)$$

Then, we define $\llbracket [+ \chi] \varphi \rrbracket^M = \llbracket \varphi \rrbracket^{M^{+\chi}}$ and extend the language \mathcal{L} with $[+\chi] \varphi$, read as *after the agent becomes aware-of χ , φ is the case*.

ACTION: BECOMING UNAWARE-OF $[-\chi]$

DEFINITION (THE BECOMING UNAWARE-OF OPERATION)

Given $M = \langle W, N, V, A \rangle$ and $M^{-\chi} = \langle W, N, V, A^{-\chi} \rangle$, we have

$$A^{-\chi} = A \setminus \text{atm}(\chi)$$

ACTION: BECOMING UNAWARE-OF $[-\chi]$

DEFINITION (THE BECOMING UNAWARE-OF OPERATION)

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Then, we define $\llbracket [-\chi] \varphi \rrbracket^M = \llbracket \varphi \rrbracket^{M^{-\chi}}$ and extend the language \mathcal{L} with $[-\chi] \varphi$, read as *after the agent becomes unaware-of χ , φ will be the case.*

ACTION: BECOMING UNAWARE-OF $[-\chi]$

DEFINITION (THE BECOMING UNAWARE-OF OPERATION)

Given $M = \langle W, N, V, A \rangle$ and $M^{-\chi} = \langle W, N, V, A^{-\chi} \rangle$, we have

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Then, we define $\llbracket [-\chi] \varphi \rrbracket^M = \llbracket \varphi \rrbracket^{M^{-\chi}}$ and extend the language \mathcal{L} with $[-\chi] \varphi$, read as *after the agent becomes unaware-of χ , φ will be the case.*

Alternative definition: *weak* becoming unaware-of

- $\llbracket [-'Q] \varphi \rrbracket^M = \llbracket \varphi \rrbracket^{M^{-Q}}$
- $\llbracket [-'\chi] \varphi \rrbracket^M = \llbracket \bigwedge_{\{Q \subseteq \text{atm}(\chi) \mid Q \neq \emptyset\}} [-Q] \varphi \rrbracket^M$
- $\llbracket \langle -\chi \rangle \varphi \rrbracket^M = \llbracket \bigvee_{\{Q \subseteq \text{atm}(\chi) \mid Q \neq \emptyset\}} [-Q] \varphi \rrbracket^M$

ACTION: DEDUCTIVE INFERENCE (MODUS PONENS STEP)

$[\eta \rightarrow \chi]$

DEFINITION (THE DEDUCTIVE INFERENCE OPERATION)

For $\eta, \chi, \varphi \in \mathcal{L}$, $\text{atm}(\eta \rightarrow \chi) \subseteq A$, and $M = \langle W, N, V, A \rangle$, we have $M^{\eta \rightarrow \chi} = \langle W, N^{\eta \rightarrow \chi}, V, A \rangle$ where for any $w \in W$:

$$N^{\eta \rightarrow \chi}(w) = \begin{cases} N(w) \cup \llbracket \chi \rrbracket^M & \text{if } \{ \llbracket (\eta \rightarrow \chi) \rrbracket^M, \llbracket \eta \rrbracket^M \} \subseteq N(w) \\ N(w) & \text{otherwise} \end{cases}$$

ACTION: DEDUCTIVE INFERENCE (MODUS PONENS STEP)

 $[\eta \rightarrow \chi]$

DEFINITION (THE DEDUCTIVE INFERENCE OPERATION)

For $\eta, \chi, \varphi \in \mathcal{L}$, $\text{atm}(\eta \rightarrow \chi) \subseteq A$, and $M = \langle W, N, V, A \rangle$, we have $M^{\eta \rightarrow \chi} = \langle W, N^{\eta \rightarrow \chi}, V, A \rangle$ where for any $w \in W$:

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Then, we define $\llbracket [\eta \rightarrow \chi] \varphi \rrbracket^M = \llbracket \varphi \rrbracket^{M^{\eta \rightarrow \chi}}$ and extend the language \mathcal{L} with $[\eta \rightarrow \chi] \varphi$, read as *after the agent performs a deductive inference from $\eta \rightarrow \chi$ and η holds, φ is the case.*

ACTION: FORGETTING $[\backslash\chi]$

DEFINITION (THE FORGETTING OPERATION)

For $\chi \in \mathcal{L}$ such that $\text{atm}(\chi) \subseteq A$, we have $M = \langle W, N, V, A \rangle$ and $M^{\backslash\chi} = \langle W, N^{\backslash\chi}, V, A \rangle$ where for $w \in W$:

$$N^{\backslash\chi}(w) = N(w) \setminus \llbracket \chi \rrbracket^M$$

ACTION: FORGETTING $[\backslash\chi]$

DEFINITION (THE FORGETTING OPERATION)

For $\chi \in \mathcal{L}$ such that $\text{atm}(\chi) \subseteq A$, we have $M = \langle W, N, V, A \rangle$ and $M^{\backslash\chi} = \langle W, N^{\backslash\chi}, V, A \rangle$ where for $w \in W$:

$$N^{\backslash\chi}(w) = N(w) \setminus [\chi]^M$$

Then, we define $[[\backslash\chi]\varphi]^M = [[\varphi]]^{M^{\backslash\chi}}$ and extend the language \mathcal{L} with $[\backslash\chi]\varphi$, read as *after the agent forgets χ , φ is the case*.

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SUMMARIZING

- *Awareness-of* and *awareness-that* as primitive concepts defining *explicit knowledge*.
- A *semantic model*; defined the involved notions.
- *Properties* as compared with related approaches (e.g., Hintikka 1962, Konolige 1984, Fagin and Halpern 1988).

CURRENT AND FUTURE WORK

- More precise comparison with other *semantic alternatives*
- Axiom system.
- Further *epistemic actions* like *observation or communication*

Thank you!
¡Muchas gracias!

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