

**DIFFERENCES AND SIMILARITIES IN SKULL SHAPE BETWEEN THE RED
AND GIANT PANDA REVEALED BY GEOMETRIC MORPHOMETRICS**

Running title: Differences and similarities between the pandas

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ABSTRACT

In this study we develop a comparative study of skull anatomy in the living pandas for evaluating the importance of natural selection and phylogenetic constraints in shaping the convergent morphological adaptations of these peculiar carnivores. Relative warp analysis of landmark data was used in a comparative morphometric analysis across the families Procyonidae, Ursidae and Ailuridae. Skull morphospaces show that major patterns of morphological variation among these carnivorans correlate with differences in feeding behavior. More specifically, this study reveals an extreme convergence in skull shape between the bamboo specialists: the red panda, *Ailurus fulgens* (Ailuridae), and the giant panda, *Ailuropoda melanoleuca* (Ursidae). Following the most recent molecular and morphological phylogenies and the poor evidence from the fossil record, it seems highly improbable that homology could explain the shared morphology of the two pandas' lineages. On the contrary, most phylogenetic and paleontologic data suggest that convergent or parallel evolution (homoplasy) would be the major evolutionary process shaping the common morphological traits of these species. Therefore, the overall morphological resemblance between the two pandas was probably driven by extrinsic factors (natural selection) as well as by intrinsic ones (shared developmental pathways), which may have posed constraints on the direction of pandas' evolution.

Key words: Convergent evolution, skull morphology, landmark-based morphometrics, *Ailuropoda melanoleuca*, *Ailurus fulgens*.

INTRODUCTION

Few organisms have received as much attention from an evolutionary point of view as the living pandas (Gittleman 1994). These emblematical mammals belong to two species, the giant panda (*Ailuropoda melanoleuca*) and the red or lesser panda (*Ailurus fulgens*). Both are native to Central Asia, exhibit a highly specialized anatomy and have an unusual trophic behavior among the order Carnivora, as they feed almost entirely on bamboo (Chorn & Hoffmann, 1978; Roberts & Gittleman, 1984; Schaller *et al.* 1989; Pradhan *et al.* 2001). Given the peculiar diet and shared morphological specializations of red and giant panda (Davis 1964; Radinsky 1981; Endo *et al.* 1996; Endo *et al.* 1999; Endo *et al.* 2001a; Endo *et al.*, 2001b; Antón *et al.* 2006; Salesa *et al.* 2006a, 2006b; Zhang *et al.* 2007; Endo *et al.* 2008), their systematic position and phylogenetic relationships have been under debate for over a century. For example, several authors have grouped them within the same clade, either in Procyonidae (e.g., Mivart 1885; Lankester & Lydekker 1901; Gregory 1936; Simpson 1945) or in Ursidae (e.g., Segall 1943, Ginsburg 1982). However, with the advent of new approaches for reconstructing phylogenies that combine molecular data with morphologically-based inferences, it has been revealed that both species of pandas diverged during the early Oligocene and belong to different families within the suborder Caniformia: Ailuridae for the red panda and Ursidae for the giant panda (Goldman *et al.* 1989; Ledje & Arnason 1996; Flynn *et al.* 1998; Bininda-Emonds *et al.* 1999; Ginsburg 1999; Flynn *et al.* 2000; Flynn *et al.* 2005).

Despite the phylogenetic position of *Ailuropoda* as a sister lineage to all living ursids is well documented (Davis 1964; Flynn *et al.* 1988; Wyss & Flynn 1993; see also the references in Fig. 1), the evolutionary affinities of *Ailurus* have yet to be resolved: while some authors suggest a close phylogenetic relationship with Ursidae (e.g., Segall

1943; Hunt 1974; Wozencraft 1989), others favor Procyonidae (e.g., Flower & Lydekker 1891; Beddard 1902; Tagle *et al.* 1986; Goldman *et al.* 1989; Wayne *et al.* 1989) or even Mephitidae (Flynn *et al.* 2000; Delisle & Strobeck 2005; Flynn *et al.* 2005). Therefore, the status of *Ailurus* and *Ailuropoda* as distantly related taxa makes their shared morphology one of the most remarkable examples of evolutionary convergence among mammals (e.g., Bardenfleth 1913; Raven 1936; Davis 1964; Gould 1978; Salesa *et al.* 2006b; Zhang *et al.* 2007).

Convergence and parallelism are central concepts in evolutionary theory (Wroe & Milne 2007) and the study of mammalian evolution has provided key elements to this regard (Madsen *et al.* 2001; Nevo 2001; Winter and Oxnard 2001). However, few comparative studies have addressed the common adaptations of pandas from a functional perspective, despite that only such studies could shed light on the origin of the extreme morphophysiological convergence between them. It is worth noting that Davis (1964) drew Thompsonian diagrams of deformed coordinates for exploring morphological differences between the giant panda and other bears. However, this study was hampered by the lack of technological advances for obtaining mathematical shape descriptors currently available. As a result, Davis (1964) could not develop a multivariate approach based on geometric morphometrics for characterizing the patterns of shape change in arctoid carnivorans. Also, recently, Zhang *et al.* (2007) have analyzed the mandible morphology of the giant panda relative to other sympatric Chinese carnivores by multivariate approaches. However, the authors do not developed a comparative study of skull morphology between both pandas using geometric morphometrics. Geometric morphometrics (GM) is a powerful tool for multivariate analysis of shape by means of a configuration of *landmarks* which opens the possibility

to explore geometrically the variance explained by the eigenvectors graphically (Rohlf & Marcus, 1993; Adams et al., 2004).

In this article, we develop for the first time a comparative analysis of skull shape between *Ailurus* and *Ailuropoda* using *landmark*-based methods of geometric morphometrics. The specific goals of this paper are: (i) To quantify skull shape similarities between *Ailurus* and *Ailuropoda* for exploring morphological convergences of feeding behavior between them; (ii) To explore skull shape differences between *Ailurus* and *Ailuropoda* in order to reveal skull morphological autoapomorphies of each species; and (iii) to debate on the role of evolutionary convergence between *Ailurus* and *Ailuropoda* for shaping the skull design of these emblematical mammals.

MATERIAL AND METHODS

We digitized 13 landmarks on high resolution digital images in direct lateral view (Fig. 1) of XXX crania and XXX mandibles belonging to 18 species within Ursidae, Procyonidae, Ailuridae and Mephitidae (Table 1) using TPSdig v.2.11 (Rohlf, 2008). We collected only adult specimens to avoid influence of ontogenetic variation. The specimens were collected at the American Museum of Natural History (New York, USA), the Natural History Museum (London, UK) and the Museum für Naturkunde (Berlin, Germany).

All the specimens were aligned using the Procrustes superimposition method (e.g., Dryden and Mardia, 1998) for both samples (i.e., mandibles and crania) separately. We computed a Principal component analysis (PCA) from the covariance matrix of the aligned coordinates of cranium and mandible shape separately using all the specimens included in the sample. Also, we computed a discriminant analyses (DA) from the Procrustes coordinates of cranium and mandible shape separately to identify

skull shape similarities between both pandas and the differences between them with other related arctoid carnivores (i.e., Procyonidae, Mephitidae and Ursidae). The statistical significance to discriminate between both groups was tested by a permutation test for the Procrustes and Mahalanobis distances between both mean shapes.

In order to report skull shape differences between *Ailurus* and *Ailuropoda*, we conducted a second round of PCA from the covariance matrix of aligned coordinates of cranium and mandible shape using only both species of pandas. Also, a second DA from the aligned coordinates of cranium and mandible shape was computed separately for distinguishing the skull shape between *Ailurus* and *Ailuropoda*. The statistical significance to discriminate between both groups in DA was tested by a permutation test for the Procrustes and Mahalanobis distances between both mean shapes.

All the geometric morphometric procedures and statistical analysis were carried out with MORPHOJ software package (Klingenberg, 2008).

RESULTS

Skull shape similarities

The PCA of the aligned coordinates for the 13 landmarks describing mandible and cranium shape yielded 22 PCs (Supporting information Table S2; Figure S1). The regression analysis between Cs and the scores of the third PC derived from mandible analysis was significant ($P < 0.0001$). For the crania, the regression between Cs and the scores of second PC was also significant ($P < 0.0001$). Therefore, the patterns of shape variation described by the third PC in mandible analysis, and by the second PC in cranial analysis result from allometrics effects (Figure S2). As a result, we only present the results of the first two PCs in the case of the mandible and of the first and third PCs

in the case of the cranium, as they contain the most relevant information regarding shape.

Figure 2A show the morphospace depicted from the first two PCs derived from mandible morphology. The first PC explains shape changes accounted from the mandibles of the red panda (*A. fulgens*), giant panda (*A. melanoleuca*) and the kinkajou (*P. flavus*) with negative scores, to the mandibles of other procyonids, ursids and mephitids (Fig. 2A, *x-axis*). The second PC mainly separates the kinkajou, with positive scores, to other carnivores included in the dataset (Fig. 2A, *y-axis*).

Figure 2B show the morphospace depicted from the first and third PCs derived from cranial analysis. The first PC account for shape changes associated from the crania of the red panda (*A. fulgens*) and the kinkajou (*P. flavus*), taking negative scores, to the crania of the rest of carnivores included in the sample, scoring positively (Fig. 2B; *x-axis*). The third PC mainly separates the crania of the giant panda (*A. melanoleuca*), taking positive scores, to the crania of other carnivores, taking now from less positive to negative scores in this axis (Fig. 2B, *y-axis*).

After visual inspection of these results, we can conclude that the combination of both PCs defines the mandibles of *Ailurus* and *Ailuropoda* into a delimited region of the morphospace (Fig. 2C.). In contrast, both pandas do not converge in the same place of the cranium shape space, but they are grouped in the upper left corner of this graph (Fig. 2B). This fact strongly suggests that both species of pandas have a combination of mandible and cranial traits in a unique manner among the rest of carnivores included in the analysis. These results are also confirmed by DA computed from mandible (Fig. 2E) and cranial (Fig. 2F) shapes to discriminate between *Ailurus* plus *Ailuropoda* and the other carnivores analyzed. The highly significant discrimination among both groups (see Table 1) allows us to recognize a set of shared traits between the pandas which

distinguish them from other carnivores. The mandible of *Ailurus* and *Ailuropoda* is comparatively deep and concave, with a tall and narrow coronoid process, a robust articular condyle, an angular process more distantly positioned from the jaw condyle, and well developed cheek teeth (Fig. 2E). The cranium of the red and giant panda is brachycephalic with short snout, high-vaulted calvarium, short braincase, broad zygomatic arches, and well developed postglenoid process, large molars, and comparatively small canines (Fig. 2F).

Skull shape differences

The PCA of the aligned coordinates for the 13 landmarks describing mandible and cranium shape of *Ailurus* and *Ailuropoda* yielded 22 PCs (Supporting information Table S2; Figure S3).

Figure 3A shows the morphospace depicted from the first two PCs derived from mandible analysis which jointly explains more than 75% of variance explained (Supporting information Table S2). The first PC explains shape changes accounted from the mandibles of the red panda (*A. fulgens*), with negative scores, to the mandibles of the giant panda (*A. melanoleuca*) scoring positively (Fig. 3A, *x*-axis) according with a set of morphological traits (Fig. 3C). The second PC (Fig. 3A, *y*-axis) explains intraspecific changes in each species (Fig. 3C).

Figure 3B show the morphospace depicted form the first two PCs derived from cranial analysis. As in the case of the mandible analysis, the first PC is mainly related with the shape changes associated from the crania of the red panda (*A. fulgens*), taking negative scores, to the crania of the giant panda (*A. melanoleuca*) scoring positively (Fig. 3B; *x*-axis) according with a set of morphological traits (Fig. 3D). Again, the second PC (Fig. 3B, *y*-axis) explains changes related with intraspecific variation in each

species. However, the fact that we are only analyzing two species and given that *Ailurus* and *Ailuropoda* possess high different body masses (~5 Kg and ~100 Kg, respectively; Roberts and Gittleman 1984; Chorn and Hoffmann 1978) preclude us to evaluate if this shape changes are associated with size (i.e., interspecific allometry).

The results obtained in DA from both mandible (Fig. 3E) and cranial (Fig. 3F) anatomy also shows a significant discrimination (Table 1) between both species of pandas, according with a set of morphological traits. It is worth noting that given the small sample size of both species of pandas and the high dimensionality of the data, the power of test could be low. However, all the specimens were correctly classified under cross-validation procedure and also, the morphological traits that allow to discriminate between both groups are the same of those obtained in the first PC obtained from mandible and cranial analyses. For example, the red panda possesses deeper mandibular bodies, shorter molar tooth rows (for the absence of the third lower molar), a more vertically oriented coronoid process, more developed angular process and larger distances between the condylar and angular processes relative to the mandible of the giant panda (Fig. 3E). The cranium of the red panda is deeper, it has a less developed zygomatic and glenoid fossa, has a larger neurocranium and a shorter molar tooth row relative to the one of the giant panda (Fig. 3F).

DISCUSSION

Our results demonstrate that both species of pandas (i.e., *A. melanoleuca* and *A. fulgens*) share a number of craniodental traits that we interpret as common adaptations toward bamboo feeding. It is worth noting that in the case of the mandible morphology this convergence is particularly striking (Fig. 4). This opens the possibility of

hypothesizing on the evolutionary causes below the shared morphological traits in both species of pandas.

There are two possible evolutionary scenarios that could explain the common morphological patterns related to the dietary preferences of pandas. The first is that these traits were present in their most immediate common ancestor (homology). Obviously, this evolutionary scenario would involve that both pandas must be grouped under the same clade. This could be the case if the red panda were closely related to Ursidae (Segall 1943; Hunt 1974; Ginsburg 1982; Wozencraft 1989). However, the most recent molecular and morphological phylogenies indicate that this species is more related to Procyonidae (e.g., Goldman *et al.* 1989; Wayne *et al.* 1989; Bininda-Emonds *et al.* 1999) or even to Mephitidae (e.g., Flynn *et al.* 2000; Delisle & Strobeck 2005; Flynn *et al.* 2005). In addition, the scarce fossil record of both *Ailurus* and *Ailuropoda* points in the same direction. There is consistent evidence that the common ancestor of Ailuridae was a generalized carnivore, not a bamboo feeder, which indicates that the highly specialized morphology of *Ailurus* is secondary (Salesa *et al.* 2006b). The craniodental adaptations of *Ailuropoda* for durophagous feeding behavior centered on bamboo were already evident in the late Pliocene species *A. microta*, although dental and basicranial anatomy indicate a less specialized morphology in the early history of the lineage during Miocene times (McLellan & Reiner 1994; Jin *et al.* 2007).

Given that homology is an improbable cause for explaining the evolution of the pandas' common traits, how can we explain their extreme morphological and ecological resemblance? We hypothesize that similar selection pressures posed by similar ecological requirements (e.g., bamboo feeding) drove morphological evolution and feeding specialization in the unrelated *Ailuropoda* and *Ailurus*. Thus, the processes that

more likely gave rise to the common morphology of pandas would be either convergent or parallel evolution (homoplasy).

The independent evolution of similar skull morphologies could result from a common developmental basis or genetic channeling, which would indicate that parallel evolution played a major role in the evolution of pandas. In contrast, if the morphological traits shared among these lineages evolved from different antecedent features (and thus through different developmental pathways), convergent evolution would be the main process for shaping these traits (Brakefield 2006). It is well documented that closely related taxa often use different developmental pathways to reach the same phenotype and vice versa (Arendt & Reznick 2008), at least within vertebrates (Leander 2008). For these reasons, distinguishing parallel from convergent evolution is a difficult task (Arendt & Reznick 2008). In addition, many authors cast doubts on if there is a clear-cut theoretical distinction between these two evolutionary processes, which are frequently envisaged as extremes of a continuum (e.g., Meyer 1999; Gould 2002; Desutter-Grandcolas *et al.* 2005; Arendt & Reznick 2008; Hall 2007; Abouheif 2008).

Could it be possible that natural selection may have favored other morphological designs highly specialized for bamboo feeding different to those shown by pandas? Or, on the contrary, are the pandas' morphologies the only possible given the underlying developmental channels and/or their shared genetic basis? The answers to these questions demand combined **morphometric** studies based on phylogenetic reconstructions, which are key points for providing a better understanding of the evolutionary history of pandas.

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Table 1. Sample sizes (N , crania/mandibles) of the species included in the morphometric analyses.

Figure 1. Landmarks used in the morphometric analysis of crania and mandibles.

Mandible, 1- most antero-dorsal border of the canine alveolus, 2- most postero-dorsal border of the canine alveolus, 3- point between the fourth premolar and lower carnassial, 4- point between the first and second lower molar, 5- posterior edge of the lower tooth row, 6- postero-ventral edge of the coronoid process, 7- intersection between the coronoid and condylar processes, 8- most posterior edge of the articular surface condyle, 9- intersection between the most antero-dorsal point of the angular process and the ascending ramus, 10- tip of angular process, 11- ventral outline below the mesial end of the tooth row, 12- ventral outline below the intersection of the fourth premolar/first molar, 13- ventral outline below the most posterior edge of the canine;

Cranium, 1- most anterior edge of the nasal bones, 2- dorsal outline directly superior to post-orbital process, 3- dorsal outline directly superior to the end of the zygomatic arch, 4- intersection between sagittal and nuchal crests, 5- postero-dorsal border of the zygomatic arch, 6- ventral tip of postglenoid process, 7- lacrimal duct, 8- ventral tip of the post-orbital process, 9- most antero-dorsal border of the canine alveolus, 10- most postero-dorsal border of the canine alveolus, 11- point between the third and fourth upper premolars, 12- ventral intersection between the zygomatic arch and the maxilla, 13- posterior edge of the upper tooth row.

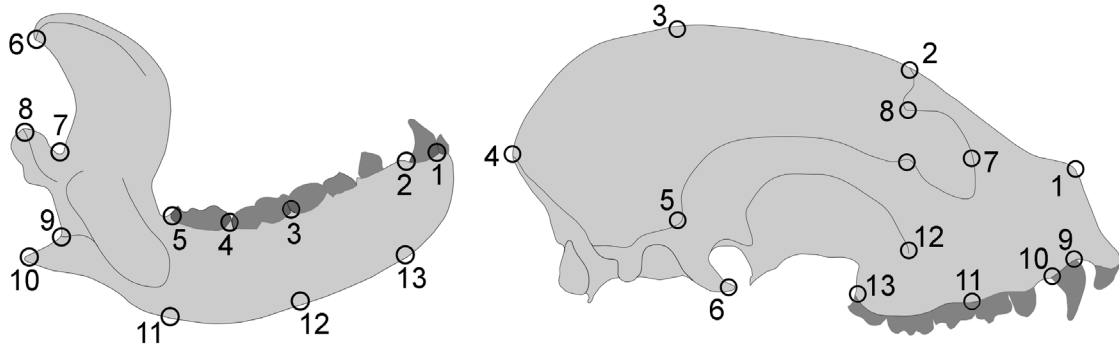


Figure 2. Multivariate analyses to reveal skull shape similarities between *Ailurus* and *Ailuropoda*. A, Bivariate plot of the scores derived from PCA of jaw anatomy on the morphospace depicted by the first two PCs. B, Bivariate plot of the scores derived from PCA of cranial anatomy on the morphospace depicted by PCI and PCIII. In both cases, the 95% of confidence ellipses are also showed. C, Morphological variation accounted by the first two PCs derived from mandible morphology. D, Morphological variation accounted by PCI and PCIII derived from the morphology of the crania. In both cases, the transformation grids show the deformation from the consensus to each extreme of the axis. E, Histogram showing the frequency of the specimens within the scores of the discriminant function obtained from the analysis of mandible morphology. F, Histogram showing the frequency of the specimens within the scores of the discriminant function obtained from the analysis of mandible morphology. The morphological variation accounted by each discriminant function is also showed as a grid deformation from one group (*Ailurus* plus *Ailuropoda*) to the second group (other related carnivores).

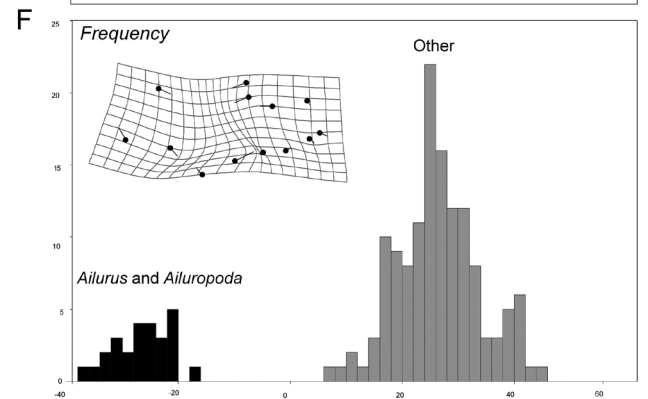
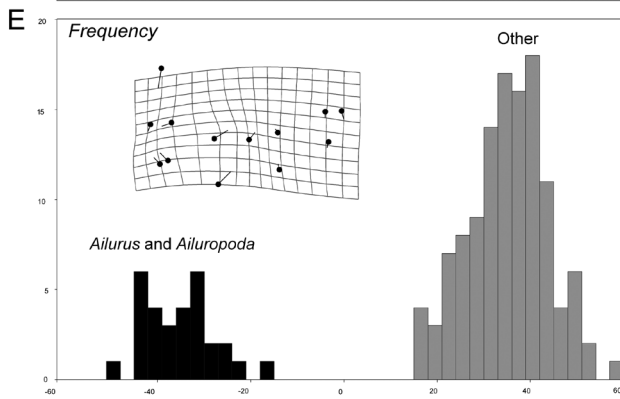
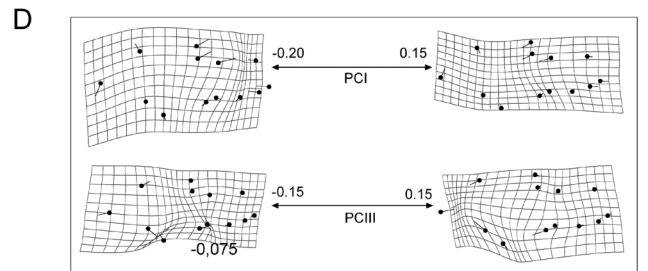
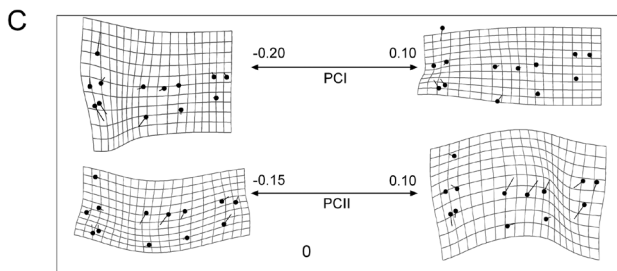
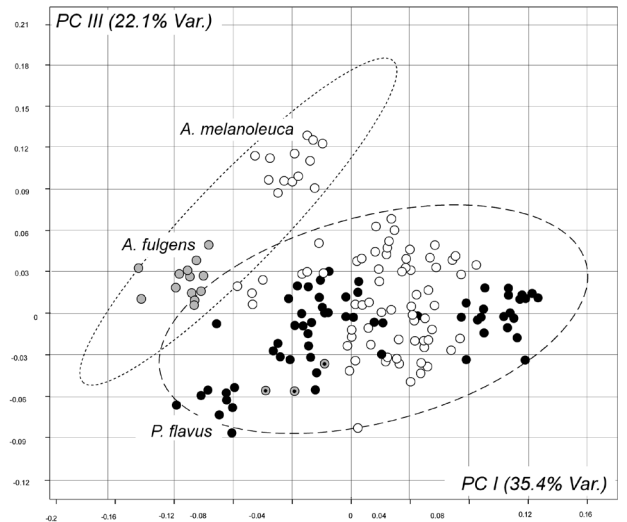
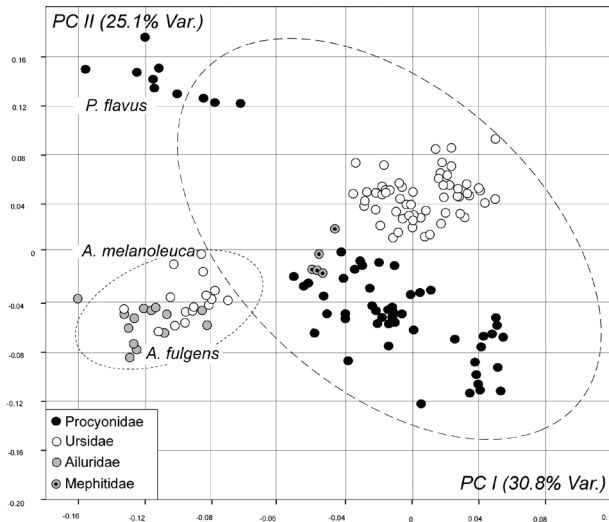


Figure 3. Multivariate analyses to reveal skull shape differences between *Ailurus* and *Ailuropoda*. A, Bivariate plot of the scores derived from PCA of jaw anatomy on the morphospace depicted by the first two PCs. B, Bivariate plot of the scores derived from PCA of cranial anatomy on the morphospace depicted by PCI and PCII. In both cases, the 95% of confidence ellipses are also showed. C, Morphological variation accounted by the first two PCs derived from mandible morphology. D, Morphological variation accounted by PCI and PCII derived from the morphology of the crania. In both cases, the transformation grids show the deformation from the consensus to each extreme of the axis. E, Histogram showing the frequency of the specimens within the scores of the discriminant function obtained from the analysis of mandible morphology. F, Histogram showing the frequency of the specimens within the scores of the discriminant function obtained from the analysis of mandible morphology. The morphological variation accounted by each discriminant function is also showed as a grid deformation from one group (*Ailurus*) to the second group (*Ailuropoda*).

